

INVITATION TO BID (ITB) SPECIFICATIONS FOR CUSTODY, ACCOUNTING, AND BROKERAGE SERVICES FOR UNCLAIMED SECURITIES

Questions and Answers

- 1) Will the contracting Bank be held to a 30 day certificate issuance standard from the date of OST's request per section 4.05.01 should the transfer agent not be able to complete the request during that time period?

No. The contracting Bank will not be held to the 30 day certificate issuance standard per section 4.05.01 should the transfer agent not be able to complete the request.

- 2) Do you require detailed fee analysis for each transaction if the transaction fees are the same per the statement of charges?

OST requires a detailed report separate from the statement of charges. The detailed report will reflect the individual charges that support the statement of charges.

- 3) For those mutual funds currently registered to OST directly, is that the result of each mutual funds minimum asset requirements?

No. The reason mutual funds currently registered to OST directly is not the result of minimum asset requirements. According to the individual funds, the shares are not transferred to our custodian due to the fact that they are "proprietary" in nature.