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A. GENERAL PROVISIONS

The following provisions shall apply where applicable to the solicitation.

A.1. Definitions

As used herein, the following terms shall have the following meaning unless the context clearly indicates otherwise:

- A.1.1. "Acquisition" means items, products, materials, supplies, services and equipment acquired by purchase, lease purchase, lease with option to purchase, or rental pursuant to applicable state law.
- A.1.2. "Addendum" means a written modification to a contract.
- A.1.3. "Alteration" means a modification a bidder makes to a solicitation response prior to the response due date.
- A.1.4. "Alternate or alternative offer" means an offer, which contains an intentional substantive variation to a basic provision, specification, term or condition of the solicitation.
- A.1.5. "Amendment" means a written restatement of or modification to a Contract Document executed by both parties.
- A.1.6. "Bid" means an offer in the form of a bid, proposal or quote an bidder submits in response to a solicitation.
- A.1.7. "Bidder" means an individual or business entity that submits a bid or proposal in response to an invitation to bid or a request for proposal. When used in this Chapter, bidder is synonymous with a "supplier", "vendor", or "bidder" responding to a solicitation.
- A.1.8. "Business Entity" means any individual, business, partnership, joint venture, corporation, S-corporation, limited liability corporation, limited liability partnership, limited liability limited partnership, sole proprietorship, joint stock company, consortium, or other legal entity recognized by statute.
- A.1.9. "COTS" means software that is commercial off the shelf.
- A.1.10. "Contract" means this document, as may be amended from time to time, which together with other Contract Documents, evidences the final agreement between the parties with respect to this statewide contract for the Products.
- A.1.11. "Contract Document" means, when executed by all applicable parties, this Contract, Attachments to this Contract, any statement of work, work order, rider or similar document related hereto, any purchase order related hereto, other statutorily required or mutually agreed documents related hereto, and any Amendment to any of the foregoing.
- A.1.12. "Contractor" means the Business Entity with whom the State enters into this contract.
- A.1.13. "Close of business" means 5:00PM Central Time.
- A.1.14. "Closing Date" is the date the RFP closes, also proposal opening date, and response due date,
- A.1.15. "Interlocal Entity" means, with respect to any state other than Oklahoma, any authority, office, bureau, board, council, court, commission, department, district, institution, unit, division, body or house of any branch of such state government, any political subdivision of such state, and any organization related to any of the foregoing. The term "Interlocal Entity is inapplicable to this Solicitation.
- A.1.16. "Minor Deficiency" or "minor informality" means an immaterial defect in a response or variation in a bid from the exact requirements of a solicitation that may be correct or waived without prejudice to other bidders. A minor deficiency or informality does not affect the price, quantity, quality, delivery or conformance to specifications and is negligible in comparison to the total cost or scope of the acquisition.
- A.1.17. "Offer" shall be synonymous with "bid", "proposal", "quote" or other similar term.
- A.1.18. "Bidder" shall be synonymous with "vendor", "bidder", or other similar term.
- A.1.19. "OMES" means the Office of Management and Enterprise Services for the State of Oklahoma.
- A.1.20. "Procuring Agency" means the State of Oklahoma Agency initiating the procurement.



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- A.1.21. "Request for Information or RFI" means a non-binding procurement practice used to obtain information, comments, and feedback from interested parties or potential suppliers prior to issuing a solicitation.
- A.1.22. "State" means the government of the State of Oklahoma, its employees and authorized representatives, including without limitation any department, agency, or other unit of the government of the State of Oklahoma. References to "State" in this document refer to the Office of Management and Enterprise Services - ISD.
- A.1.23. "State Entity" means any authority, office, bureau, board, council, court, commission, department, district, institution, unit, division, body or house of any branch of the State government, any political subdivision of the State, and any organization related to any of the foregoing.
- A.1.24. "State CIO" is the State Chief Information Officer, as used herein the CIO has the same authority as the State Purchasing Director for all IT and Telecommunications purchasing and are used interchangeably.
- A.1.25. "Solicitation" means a request or invitation by the State Purchasing Director or a State agency for a bidder to submit a priced offer to sell acquisitions to the State. A solicitation may be an invitation to bid, request for proposal, or a request for quotation.
- A.1.26. "Utilities" means Vendor's reusable or pre-existing proprietary intellectual property that forms the basis for a customized or developed software deliverable for the State and which is specifically identified as such by the Vendor in writing prior to execution of this Contract.

A.2. Offer Submission

- A.2.1. Submitted offers shall be in strict conformity with the instructions to bidder, and shall be submitted with a completed "Responding Bidder Information" OMES Form 076OSF, and any other forms completed as required by the solicitation.
- A.2.2. Offers shall be submitted to the State Agency identified in the front page of this solicitation, in a single envelope, package, or container and shall be sealed. The name and address of the bidder shall be inserted in the upper left corner of the single envelope, package, or container. SOLICITATION NUMBER AND SOLICITATION RESPONSE DUE DATE AND TIME MUST APPEAR ON THE FACE OF THE SINGLE ENVELOPE, PACKAGE, OR CONTAINER.
- A.2.3. The required certification statement, "Certification for Competitive Bid and/or Contract (Non-Collusion Certification)", OSF Form 004ISD, must be made out in the name of the bidder and must be properly executed by an authorized person, with full knowledge and acceptance of all its provisions.
- A.2.4. All offers shall be legibly written or typed. Any corrections to offers shall be initialed. Penciled bids and penciled corrections shall NOT be accepted and shall be rejected as non-responsive.
- A.2.5. All offers submitted shall be consistent with the Oklahoma Central Purchasing Act, the Central Purchasing Rules, and subject to the Information Services Act and other statutory regulations as applicable, these General Provisions, any Special Provisions, solicitation specifications, required certification statement, and all other terms and conditions listed or attached herein, all of which are made part of this solicitation.
- A.2.6. By submitting a proposal, contractor agrees not to make any claims for damages or have any rights to damages, because of any misunderstanding or misrepresentation of the specifications or because of any misinformation or lack of information.
- A.2.7. If a contractor fails to notify the State of an error, ambiguity, conflict, discrepancy, omission or other error in the SOLICITATION, known to the contractor, or an error that reasonably should have been known by the contractor, the contractor shall submit a proposal at its own risk; and if awarded the contract, the contractor shall not be entitled to additional compensation, relief, or time, by reason of the error or its later correction. If a contractor takes exception to any requirement or specification contained in the SOLICITATION, these exceptions must be clearly and prominently stated in their response.
- A.2.8. Bidder should note that this solicitation reflects those changes in the existing operation to increase efficiencies and streamline business environment in the State of Oklahoma. All previous solicitations



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or resultant contracts should not be either depended upon, perceived or interpreted to have any relevance on this exclusive solicitation.

A.3. Solicitation Amendments

- A.3.1. If an "Amendment of Solicitation", OMES Form 011OSF (or other format as provided), is issued, then the bidder shall acknowledge receipt of any/all amendment(s) to solicitations by signing and returning the solicitation amendment(s). Amendment acknowledgement(s) may be submitted with the offer or may be forwarded separately. If forwarded separately, amendment acknowledgement(s) must contain the solicitation number and response due date and time on the front of the envelope. The State must receive the amendment acknowledgement(s) by the response due date and time specified for receipt of bids for the offer to be deemed responsive. Failure to acknowledge solicitation amendments may be grounds for rejection.
- A.3.2. No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in the solicitation. All amendments to the solicitation shall be made in writing by the State.
- A.3.3. It is the contractor's responsibility to check the State's website frequently for any possible amendments that may be issued. The State is not responsible for the contractor's failure to download any amendment documents required to complete a solicitation.

A.4. Offer Change

If the bidder needs to change an offer prior to the solicitation response due date, a new offer shall be submitted to the State with the following statement "This offer supersedes the offer previously submitted" in a single envelope, package, or container and shall be sealed. The name and address of the bidder shall be inserted in the upper left corner of the single envelope, package, or container. SOLICITATION NUMBER AND SOLICITATION RESPONSE DUE DATE AND TIME MUST APPEAR ON THE FACE OF THE SINGLE ENVELOPE, PACKAGE, OR CONTAINER.

A.5. Certification Regarding Debarment, Suspension, and Other Responsibility Matters

By submitting an offer to this solicitation:

- A.5.1. The Bidder certifies that the Vendor and their principals or participants:
- A.5.1.1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal, state or local department or agency;
- A.5.1.2. Have not within a three-year period preceding this Contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract; or for violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- A.5.1.3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the foregoing offenses enumerated in this certification; and
- A.5.1.4. Have not within a three-year period preceding this Contract had one or more public (federal, state or local) contracts terminated for cause or default.
- A.5.2. Where the Vendor is unable to certify to any of the statements in the certification above, Vendor shall attach an explanation to this offer.
- A.5.3. The prospective primary participant and any subcontractor certifies to the best of their knowledge and belief, that they and their principals or participants:
- A.5.3.1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal, State of Oklahoma or local department or agency;
- A.5.3.2. Have not within a three-year period preceding this solicitation been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in



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connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) contract; or for violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

A.5.3.3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph A.6.3.1 of this certification; and

A.5.3.4. Have not within a three-year period preceding this solicitation had one or more public (Federal, State or local) contracts terminated for cause or default.

A.5.4. Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to its offer.

A.6. Offer Public Opening

Sealed offers MAY BE OPENED UPON PUBLIC REQUEST, by the requesting agency identified in the front page of this solicitation, at the time and date specified in the solicitation as Response Due Date and Time.

A.7. Offers Subject To Public Disclosure

A.7.1. Unless otherwise specified in the Oklahoma Open Records Act, Central Purchasing Act, or other applicable law, documents and information a bidder submits as part of or in connection with an offer are public records and subject to disclosure. Bidders claiming any portion of their offer as proprietary or confidential must specifically identify what documents or portions of documents they consider confidential and identify applicable law supporting their claim of confidentiality. It is the sole discretion of the State CIO shall make the final decision as to whether the documentation or information is confidential pursuant to 74 O.S. §85.10.

A.7.2. If the CIO agrees the information is proprietary, ISD will maintain the information as Confidential. If the CIO does not acknowledge the information as proprietary, ISD will return or destroy the information with proper notice to the bidder and the evaluation will be completed without consideration of the information marked Proprietary.

A.7.3. PROPOSALS MARKED, IN TOTAL, AS PROPRIETARY and/or CONFIDENTIAL SHALL NOT BE CONSIDERED.

A.8. Oklahoma Open Records Act

Proposals are subject to public disclosure in accordance with the Open Records Act. To the extent permitted by the Oklahoma Open Records Act, 51 O. S. § 24A.1-27, the bidders proposals will not be disclosed, except for purposes of evaluation, prior to approval by the CIO of the resulting contract. All material submitted becomes the property of the State of Oklahoma. Proposals will not be considered confidential after a contract is awarded except that information in the proposal determined to be confidential by the CIO shall continue to be considered confidential.

A.9. Late Offer

Offers received by the State after the response due date and time shall be deemed non-responsive and shall NOT be considered for any resultant award.

A.10. Legal Contract

A.10.1. Submitted offers are rendered as a legal offer and when accepted by the State, shall constitute a contract.

A.10.2. The contract resulting from this solicitation shall consist of the following documents in order of preference: State of Oklahoma Statutes, contract award documents, including but not limited to the Purchase Order, Contract Modifications, required certification statement, and change orders; the solicitation including any amendments; and the successful offer to the extent that the offer does not conflict with the requirements of the contract award documents or solicitation or applicable law. In the event there is a conflict between any of the preceding documents, the contract award documents



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prevail over the solicitation, and both the contract award documents and the solicitation shall prevail over the successful offer.

- A.10.3. Any contract(s) awarded pursuant to the solicitation shall be legibly written or typed.
- A.10.4. All transactions related to this solicitation, and any contract resulting therefrom, may be conducted by electronic means pursuant to the Oklahoma Uniform Electronic Transactions Act.

A.11. Pricing

- A.11.1. Offers shall remain firm for a minimum of one-twenty (120) days from the solicitation closing date.
- A.11.2. Bidders guarantee unit prices to be correct.
- A.11.3. In accordance with 74 O.S. §85.40, ALL travel expenses to be incurred by the contractor in performance of the contract shall be included in the total bid price/contract amount.
- A.11.4. All costs incurred by the bidders for proposal preparation and participation in this competitive procurement shall be the sole responsibility of the bidders. The State of Oklahoma shall not reimburse any bidder for any such costs.

A.12. Firm Fixed Price

Unless the solicitation specifies otherwise, an bidder shall submit a firm, fixed price for the term of the contract.

A.13. Pricing Requirements

If bidder pricing does not meet requirements of a solicitation, the offer may be considered non-responsive.

A.14. Manufacturers' Name and Approved Equivalent

Unless otherwise specified in the solicitation, manufacturers' names, brand names, information, and/or catalog numbers listed in a specification are for information and not intended to limit competition. Bidder may offer any brand for which they are an authorized representative, which meets or exceeds the specification for any item(s). However, if offers are based on equivalent products, indicate on the offer form the manufacturer's name and number. Bidder shall submit sketches, descriptive literature, and/or complete specifications with their offer. Reference to literature submitted with a previous offer shall not satisfy this provision. The bidder shall also explain in detail the reason(s) why the proposed equivalent will meet the specifications and not be considered an exception thereto. Offers that do not comply with these requirements are subject to rejection.

A.15. Rejection of Offer

The State reserves the right to reject any offers that do not comply with the requirements and specifications of the solicitation. An offer may be rejected when the bidder imposes terms or conditions that would modify requirements of the solicitation or limit the bidder's liability to the State. Other possible reasons for rejection of offers are listed in OAC 580:15-4-11

Attempts to impose unacceptable conditions on the State, or impose alternative terms not in the best interest of the State shall not be tolerated. Continued attempts to impose unacceptable conditions or terms on the State shall result in a determination of your non-responsiveness of your offer due to the lack of compliance with the terms and conditions of negotiation or the solicitation.

A.16. Award of Contract

- A.16.1. The State may award the contract to more than one bidder by awarding the contract(s) by item or groups of items, or may award the contract on an ALL OR NONE basis, whichever is deemed by the State to be in the best interest of the State of Oklahoma.
- A.16.2. Contract awards shall be made to the lowest and best offer(s) unless the solicitation specifies that best value criteria is being used.
- A.16.3. In order to receive an award or payments from the State of Oklahoma, vendor must be registered. The vendor registration process can be completed electronically through the DCS website at the following link: <https://www.ok.gov/dcs/vendors/index.php>.



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A.16.4. It is the preference of the State to award to a single vendor. However, the State reserves the right to award to multiple vendors when it has been determined to be in the best interest of the State.

A.17. Contract Modification

A.17.1. The contract issued as a result of this solicitation is under the authority of the State personnel signing the Contract. The contract may be modified only through a written Contract Modification, signed by the State.

A.17.2. Any change to the contract, including the addition of work or materials, the revision of payment terms, or the substitution of work or materials, directed by a person who is not specifically authorized by the Office of Management and Enterprise Services - ISD in writing, or made unilaterally by the contractor, is a breach of the contract. Unless otherwise specified by applicable law or rules, such changes, including unauthorized written Contract Modifications, shall be void and without effect, and the contractor shall not be entitled to any claim under a contract based on those changes. No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in the resultant contract.

A.18. Delivery, Inspection and Acceptance

A.18.1. All deliveries shall be F.O.B. Destination. The Vendor shall prepay all packaging, handling, shipping and delivery charges and prices quoted shall include all such charges. Any Products delivered pursuant to this Contract shall be subject to final inspection and acceptance by the procuring entity at Destination and the procuring entity has no responsibility for the delivered Products prior to acceptance. Title and risk of loss or damage to all items shall be the responsibility of the Vendor until accepted. The Vendor shall be responsible for filing, processing, and collecting any and all damage claims accruing prior to acceptance. "Destination" shall mean delivered to the receiving dock or other point specified in the applicable purchase order.

A.18.2. Vendor shall be required to deliver Products as offered on or before the required date. Deviations, substitutions, or changes in the Products shall not be made unless expressly authorized in writing by the State or Interlocal Entity, as applicable.

A.19. Invoicing and Payment

A.19.1. Upon submission of an accurate and proper invoice, the invoice shall be paid in arrears after products have been delivered or services provided and in accordance with applicable law. Invoices shall contain the purchase order number, a description of the products delivered or services provided, and the dates of such delivery or provision of services.

A.19.2. State Acquisitions are exempt from sales taxes and federal excise taxes.

A.20. Audit and Records Clause

A.20.1. As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form. In accepting any contract with the State, the Vendor agrees any pertinent state or federal agency shall have the right to examine and audit all records relevant to execution and performance of this Contract.

A.20.2. The Vendor is required to retain records relative to this Contract for the duration of this Contract and for a period of seven (7) years following completion and/or termination of this Contract. If an audit, litigation, or other action involving such records is started before the end of the seven-year period, the records are required to be maintained for two (2) years from the date that all issues arising out of the action are resolved, or until the end of the seven (7) year retention period, whichever is later.

A.21. Non-Appropriation Clause

The terms of this Contract and any purchase order issued for multiple years under this Contract are contingent upon sufficient appropriations being made by the applicable state legislature, federal government or other appropriate government entity. Notwithstanding any language to the contrary in this Contract, or any other Contract Document, any State Entity or Interlocal Entity may terminate its obligations under this Contract if sufficient appropriations are not



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made by the Oklahoma Legislature, federal government or other appropriate governing entity to pay amounts due for multiple year agreements. The decision as to whether sufficient appropriations are available shall be accepted by, and be final and binding on, the Vendor.

A.22. Choice of Law and Venue

- A.22.1. Any claims, disputes or litigation relating to the Contract Documents, singularly or in the aggregate, or the execution, interpretation, performance, or enforcement thereof shall be governed by the laws of the State of Oklahoma, or in the case of an Interlocal Entity, in the state in which the Interlocal Entity is located, without regard to application of choice of law principles.
- A.22.2. Venue for any action, claim, dispute, or litigation relating in any way to the Contract Documents shall be in Oklahoma County, Oklahoma, or in the case of an Interlocal Entity, as agreed to between such Interlocal Entity and Vendor or as otherwise provided by applicable law.

A.23. Termination for Cause

- A.23.1. The Vendor may terminate this Contract in whole or in part for default by the State with both a thirty (30) day written request and upon written approval from the State. The State may terminate this Contract in whole or in part for default by the vendor or any other just cause upon a thirty (30) day written notification to the Vendor.
- A.23.2. The State may terminate this Contract immediately, in whole or in part, without a thirty (30) day written notice to the Vendor, when violations are found to be an impediment to the function of the State and detrimental to the cause of a procuring State Entity, when conditions preclude the thirty (30) day notice, or when the State determines that an administrative error occurred prior to Contract performance. Similarly, an Interlocal Entity may terminate its obligations to Vendor immediately upon any of the foregoing conditions in this subsection.
- A.23.3. The State retains all of its rights and remedies against the vendor for its violation or breach of the terms hereof, and the state does not waive any such right in the event it elects to terminate this Contract according to the terms of § A.23.1 and §A.23.2.
- A.23.4. If this Contract or certain obligations hereunder are terminated, the State, State Entity or Interlocal Entity, as applicable, shall be liable only for payment for Products delivered and accepted and such termination shall not be an exclusive remedy but shall be in addition to any other rights and remedies provided for by law.

A.24. Termination for Convenience

- A.24.1. The State may terminate this Contract, in whole or in part, for convenience if the State Chief Information Officer determines that termination is in the State's best interest. The State shall terminate this Contract by delivering to the Vendor a notice of termination for convenience specifying the terms and effective date of termination. The Contract termination date shall be a minimum of sixty (60) days from the date the notice of termination is issued by the State. Similarly, an Interlocal Entity may terminate its obligations to Vendor upon a determination by the proper authority for such Interlocal Entity that termination is in the Interlocal Entity's best interest and notice of termination by such Interlocal Entity shall be provided in accordance with the foregoing requirements set forth in this subsection.
- A.24.2. If this Contract or certain obligations hereunder are terminated pursuant to this section, the State, State Entity, or Interlocal Entity, as applicable, shall be liable only for Products delivered and accepted and such termination shall not be an exclusive remedy but shall be in addition to any other rights and remedies provided for by law.

A.25. Insurance

The Vendor shall maintain and promptly provide proof to the State of the following insurance coverage, and any renewals, additions or changes thereto, as long as the Vendor has any obligation under a Contract Document:

- a) Worker's Compensation and Employer's Liability Insurance in accordance with applicable law.



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- b) Commercial General Liability Insurance on a per occurrence basis with limits of liability not less than \$1,000,000 per occurrence and aggregate combined single limit, Personal Injury, Bodily Injury and Property Damage;
- c) Automobile Liability Insurance with limits of liability of not less than \$1,000,000 per occurrence combined single limit including bodily injury and property damage and with coverage, if applicable, for all owned vehicles, all non-owned vehicles, and all hired vehicles;
- d) Professional Errors and Omissions Insurance which shall include Consultant's Computer Errors and Omissions Coverage with limits not less than \$1,000,000 per claim and in the aggregate; and
- e) Additional coverage required by the State in writing in connection with a particular Acquisition.

A.26. Employment Relationship

This Contract does not create an employment relationship between the parties. Individuals performing services required by this Contract are not employees of the State, a State Entity or an Interlocal Entity and, accordingly, shall not be eligible for rights or benefits accruing to such employees including but not limited to health insurance benefits, workers' compensation insurance, paid vacation or other leave, or any other employee benefit.

A.27. Compliance with the Oklahoma Taxpayer And Citizen Protection Act Of 2007

The Vendor certifies that it is registered and participates in the Status Verification System, available at www.dhs.gov/E-Verify, as required under applicable State law and is in compliance with applicable federal immigration laws and regulations. Vendor agrees that compliance with the certification set forth in this section shall be a continuing obligation.

A.28. Compliance with Applicable Laws

A.28.1. In connection with its performance of obligations under the terms of this Contract, the Vendor shall comply with all applicable federal, state, and local laws, rules, regulations, ordinances and orders, as amended, including but not limited to the following:

- a) Drug-Free Workplace Act of 1988 and as implemented at 45 C.F.R. part 76, Subpart F;
- b) Section 306 of the Clean Air Act, Section 508 of the Clean Water Act, Executive Order 11738, and Environmental Protection Agency Regulations which prohibit the use under nonexempt Federal contract, grant or loans of facilities included on the EPA List of Violating Facilities;
- c) Prospective participant requirements set forth at 45 C.F.R. part 76 in connection with debarment, suspension and other responsibility matters;
- d) 1964 Civil Rights Act, Title IX of the Education Amendment of 1972, Section 504 of the Rehabilitation Act of 1973, Americans with Disabilities Act of 1990 and Executive Orders 11246 and 11375;
- e) Anti-Lobbying Law set forth at 31 U.S.C. §1325 and as implemented at 45 C.F.R. part 93;
- f) Obtaining certified independent audits conducted in accordance with Government Auditing Standards and Office of Management and Budget Circular A-133 with approval and work paper examination rights of the applicable procuring entity; and
- g) Be registered as a business entity licensed to do business in the State, have obtained a sales tax permit and be current on franchise tax payments to the State, as applicable.

A.28.2. The Vendor shall maintain all applicable licenses and permits required in association with its obligations hereunder.

A.28.3. The Vendor shall inform its employees or agents who perform services for the State under this Contract of the Vendor's obligations hereunder and shall require its employees or agents to comply accordingly. At the request of the State, Vendor shall promptly provide adequate evidence that such persons are its employees or agents and have been informed of their obligations hereunder.

A.29. Gratuities

The rights of Vendor under the terms of this Contract may be immediately terminated, in whole or in part, by written



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notice if it is determined that the Vendor, its employee, agent or another representative offered or gave a gratuity (e.g., an entertainment or gift) to any State or Interlocal Entity employee directly involved in this Contract. In addition, a Vendor determined to be guilty of such a violation may be suspended or debarred.

A.30. Preclusion from Resulting Contracts

Any contractor that has provided any consulting services or technical assistance that resulted in any specifications or concepts in this solicitation, either directly or indirectly, is precluded from the award of such contract and from securing a sub-contractor that has provided such services.

A.31. Mutual Responsibilities

The State and contractor agree that under this Agreement:

- A.31.1. Neither party grants the other the right to use any trademarks, trade names, or other designations in any promotion or publication without express written consent by the other party.
- A.31.2. This is a non-exclusive agreement and each party is free to enter into similar agreements with others.
- A.31.3. Each party grants the other only the licenses and rights specified in the Contract Document.
- A.31.4. Except as otherwise set forth herein, where approval, acceptance, consent, or similar action by either party is required under this Contract, such action shall not be unreasonably delayed or withheld

A.32. Background Checks and Verifications

At the sole discretion of the State, State Entity or Interlocal Entity, as applicable, employees of the Vendor and any subcontractor of the Vendor may be subject to background checks. If background check information is requested, the Vendor must submit, or cause to be submitted, the required information in a timely manner and the Vendor's access to facilities, data and information may be withheld prior to completion of background verification acceptable to such State, State Entity or Interlocal Entity.

A.33. Confidentiality

- A.33.1. The Vendor shall maintain strict security of all State data and records entrusted to it or to which the Vendor gains access, in accordance with and subject to applicable federal and state laws, rules, regulations and policies and shall use any such data or records only as needed by Vendor for performance of its obligations hereunder. The Vendor further agrees to evidence such confidentiality obligation in a separate writing if required under such applicable federal or state laws, rules and regulations. If Vendor utilizes a permitted subcontractor, Vendor shall obtain specific written assurance, and provide a copy to the State, that the subcontractor shall maintain this same level of security of all data and records entrusted to or accessed by the subcontractor and agree to the same obligations as Vendor, to the extent applicable. Such written assurance may be set forth in the required subcontractor agreement referenced herein.
- A.33.2. No State data or records shall be provided or the contents thereof disclosed to a third party unless specifically authorized to do so in writing by the State Chief Information Officer, the Director of a procuring State Entity or in compliance with a valid court order. The Vendor shall immediately forward to the State and the State Chief Information Officer any request by a third party for data or records in the possession of the Vendor or any subcontractor or to which the Vendor or subcontractor has access and Vendor shall fully cooperate with all efforts to protect the security and confidentiality of such data or records in response to a third party request.

A.34. Unauthorized Obligations

At no time during the performance of this Contract shall the Vendor have the authority to obligate any other party hereto for payment of any goods or services over and above those set forth in this Contract. If the need arises for goods or services over and above the Products, Vendor shall cease the project and contact the appropriate procuring entity for written approval prior to proceeding.



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A.35. Electronic and Information Technology Accessibility

Vendor shall comply with federal and state laws, rules and regulations related to information technology accessibility, as applicable, including but not limited to Oklahoma Information Technology Accessibility Standards (“Standards”) set forth at http://www.ok.gov/cio/documents/isd_itas.pdf and Vendor shall provide a Voluntary Product Accessibility Template (“VPAT”) describing such compliance, which may be provided via a URL linking to the VPAT. If the Products will require development or customization, additional requirements and documentation may be required and compliance shall be necessary by Vendor. Such requirements may be stated in appropriate documents including but not limited to state bids, request for proposals, statements of work, riders, agreements, purchase orders and Amendments. Accordingly, in each statement of work or similar document issued pursuant to this Contract, Vendor shall describe such compliance and identify, if and as applicable, (i) which exception to the Standards applies or (ii) a description of the tasks and estimated cost to make the proposed products and/or services compliant with applicable Standards.

All representations contained in the VPAT provided will be relied upon by the State for accessibility compliance purposes.

A.36. Patents and Copyrights

- A.36.1. Without exception, the Products prices shall include all royalties or costs owed by the Vendor to any third party arising from the use of a patent or copyright.
- A.36.2. If a third party claims that any portion of the Products provided by Vendor under the terms of this Contract infringes that party’s patent or copyright, the Vendor shall defend the State against the claim at the Vendor’s expense and pay all related costs, damages, and attorneys’ fees incurred by, or assessed to, the State, provided the State (i) promptly notifies the Vendor in writing of the claim and (ii) to the extent authorized by the Attorney General of the State, allows the Vendor to control the defense and any related settlement negotiations. If the Attorney General of the State does not authorize sole control of the defense and settlement negotiations to Vendor, Vendor shall be granted authorization to equally participate in any proceeding related to this section but Vendor shall remain responsible to indemnify the State for all associated costs, damages and fees incurred by or assessed to the State.
- A.36.3. If such a claim is made or appears likely to be made, the Vendor shall enable the State to legally continue to use, or modify for use, the portion of Products at issue or replace such potential infringing Products with at least a functional non-infringing equivalent. If the Vendor determines that none of these alternatives is reasonably available, the State shall return such portion of the Products at issue to the Vendor, upon written request, in exchange for a refund of the price paid for such returned goods as well as a refund, if applicable, of other Products which are rendered materially unusable as intended due to removal of the portion of Products at issue.
- A.36.4. Vendor has no obligation regarding a claim based on any of the following: (i) modification of a product by any party other than Vendor, its employee, agent, representative, permitted subcontractor, or any State employee acting in conjunction with the Vendor; (ii) a program’s use in other than its specified operating environment; (iii) the combination, operation, or use of a product with other products not provided by Vendor as a system or (iv) infringement solely by a non-Vendor product that has not been provided to the State by, through or on behalf of the Vendor as opposed to its combination with products Vendor provides to or develops for the State as a system.

A.37. Assignment

Vendor’s obligations under a Contract Document may not be assigned or transferred to any other person or entity without the prior written consent of the State which may be withheld in its sole discretion. Ownership of Products purchased under the terms of this Contract and rights granted under the terms of this Contract may be assigned or transferred, at no additional cost, to other entities within the State.

A.38. Severability

If any provision for this contract shall be held to be invalid or unenforceable for any reason, the remaining provisions shall continue to be valid and enforceable. If a court finds that any provision of this contract is invalid or unenforceable, but that by limiting such provision it would become valid and enforceable, then such provision shall be deemed to be written, construed, and enforced as so limited.



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A.39. Paragraph Headings

The headings used in this Contract are for convenience only and do not constitute part of the Contract.

A.40. Failure to Enforce

Failure by the State, as applicable, at any time to enforce a provision of, or exercise a right under, any Contract Document shall not be construed as a waiver of any such provision. Such failure to enforce or exercise shall not affect the validity of any Contract Document, or any part thereof, or the right of the State to enforce any provision of, or exercise any right under, a Contract Document at any time in accordance with its terms. Likewise, a waiver of a breach of any provision in a Contract Document shall not affect or waive a subsequent breach of the same provision or a breach of any other provision in a Contract Document.

A.41. Conflict of Interest

A.41.1. Vendor must provide immediate disclosure of any contractual relationship or any other relevant contact with any State personnel or another State contractor or vendor involved in the development of a Vendor's response to any solicitation resulting in this Contract. Any conflict of interest shall, at the sole discretion of the State, be grounds for termination of project involvement.

A.41.2. In addition to any requirement of law or through a professional code of ethics or conduct, the Vendor and the Vendor's employees performing services for the State are required to disclose any outside activity or interest that conflicts or may conflict with the best interest of the State. Further, without prior written approval of the State, such employees shall not plan, prepare, or engage in any activity that conflicts or may conflict with the best interest of the State as long as the Vendor has an obligation under this Contract. Prompt disclosure is required under this section if the activity or interest is related, directly or indirectly, to any person or entity currently under contract with or seeking to do business with the State, its employees or any other third-party individual or entity awarded a contract with the State.

A.42. Limitation of Liability

To the extent any limitation of liability in any Contract Document is construed by a court of competent jurisdiction to be a limitation of liability in violation of applicable law, such limitation of liability shall be void.

A.43. Media Ownership (Disk Drive and/or Memory Chip Ownership)

A.43.1. In accordance with the State of Oklahoma Information Security Policy, Procedures, Guidelines set forth online at <http://www.ok.gov/cio/documents/InfoSecPPG.pdf> ("Electronic Media Retention Requirements"), any disk drives and memory cards purchased with or included for use in leased or purchased equipment under this Contract remain the property of the State.

A.43.2. Personal Identification Information may be retained within electronic media devices and components; therefore, the State shall not allow the release of electronic media either between State Entities or for the resale of refurbished equipment that has been in use by State Entities, by the Vendor to the general public or other entities. Electronic Media Retention Requirements shall also be applied to replacement devices and components, whether purchased or leased, the Vendor may supply during the downtime (repair) of equipment purchased or leased through this Contract. If a device has to be removed from a location for repairs, the State shall have sole discretion, prior to removal, to determine and enforce sufficient safeguards (such as a record of hard drive serial numbers) to protect Personal Identification Information that may be stored within the hard drive or memory of the device.

A.44. Offshore Services

No offshore services are provided for under this Contract. State data shall not be used or accessed internationally, for troubleshooting or any other use not specifically provided for herein without prior written permission, which may be withheld in the State's sole discretion, from the appropriate authorized representative of the State.

A.45. Failure to Provide

The contractor's repeated failure to provide defined services, without reasonable basis as determined by the sole



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discretion of the State of Oklahoma's Chief Information Officer, shall constitute a material breach of the contractor's obligations, which may result in cancellation of the contract.

A.46. Agency Policies

The contractor's employees and/or sub-contractors must adhere to the agency policies pertaining to acceptable use of Internet and electronic mail, facility and data security, press releases, and public relations. It is up to the contractor to review and relay agency policies covering the above to the consulting staff.

A.47. Compliance with Technology Policies

The contractor agrees to adhere to the State of Oklahoma "Information Security Policy, Procedures, and Guidelines" available at:

www.ok.gov/OSF/documents/StateOfOklahomaInfoSecPPG_osf_12012008.pdf

A.48. High Technology System Performance and Upgrades

A.48.1. If an Acquisition pursuant to the Contract includes a "high technology system" as defined under Oklahoma law, the Vendor shall provide documentation of the projected schedule of recommended or required system upgrades or improvements to such system for the three (3) year period following the target purchase date. If Vendor does not plan such system upgrades or improvements, the Vendor shall provide documentation that no system upgrades or improvements to the high technology system are planned for the three (3) year period following the target purchase date.

A.48.2. Any Acquisition pursuant to this Contract of an upgrade or enhancement to a high technology system shall be conditioned upon the Acquisition being provided at no charge to the State; the Acquisition being provided to the State at no additional charge pursuant to a previous agreement with the Vendor; the Vendor providing documentation that any required or recommended upgrade will enhance or is necessary for performance of the applicable State agency duties and responsibilities; or the Vendor providing documentation that it will no longer supply maintenance assistance to the applicable State agency and the applicable State agency documenting that the functions performed by the high technology system are necessary for performance of the State agency duties and responsibilities.

A.49. Emerging Technologies

The State of Oklahoma reserves the right to modify the terms of this contract at any time to allow for technologies not identified elsewhere under this document. If there are repeated requests for an "emerging technology" and the State feels it is warranted to add such technologies, the State reserves the right to include such technology hereunder or to issue a formal modification or amendment to the contract.

A.50. Ownership Rights

A.50.1. Any software developed by the Vendor is for the sole and exclusive use of the State including but not limited to the right to use, reproduce, re-use, alter, modify, edit, or change the software as it sees fit and for any purpose. Moreover, except with regard to any deliverable based on the Vendor's Utilities, the State shall be deemed the sole and exclusive owner of all right, title, and interest therein, including but not limited to all source data, information and materials furnished to the State, together with all plans, system analysis, and design specifications and drawings, completed programs and documentation thereof, reports and listing, all data and test procedures and all other items pertaining to the work and services to be performed pursuant to this Contract including all copyright and proprietary rights relating thereto. With respect to Utilities, the Vendor grants the State, for no additional consideration, a perpetual, irrevocable, royalty-free license, solely for the internal business use of the State, to use, copy, modify, display, perform, transmit and prepare derivative works of Utilities embodied in or delivered to the State in conjunction with the Products.

A.50.2. Except for any Utilities, all work performed by the Vendor of developing, modifying or customizing software and any related supporting documentation shall be considered as Work for Hire (as defined under the U.S. copyright laws) and, as such, shall be owned by and for the benefit of State.

A.50.3. In the event that it should be determined that any portion of such software or related supporting documentation does not qualify as "Work Made for Hire", Vendor hereby irrevocably grants to the



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State, for no additional consideration, a non-exclusive, irrevocable, royalty-free license to use, copy, modify, display, perform, transmit and prepare derivative works of any such software and any Utilities embodied in or delivered to the State in conjunction with the Products.

- A.50.4. Vendor shall assist the State and its agents, upon request, in preparing U.S. and foreign copyright, trademark, and/or patent applications covering software developed, modified or customized for the State. Vendor shall sign any such applications, upon request, and deliver them to the State. The State shall bear all expenses that incurred in connection with such copyright, trademark, and/or patent applications.
- A.50.5. If any Acquisition pursuant to this Contract is funded wholly or in part with federal funds, the source code and all associated software and related documentation owned by the State may be shared with other publicly funded agencies at the discretion of the State without permission from or additional compensation to the Vendor.
- A.50.6. It is understood and agreed that the Software is being developed by the contractor for the sole and exclusive use of the State of Oklahoma. Moreover, except with regard to any deliverable based on contractor's reusable or pre-existing intellectual property ("Utilities"), the State of Oklahoma shall be deemed the sole and exclusive owner of all right, title, and interest therein, including all copyright and proprietary rights relating thereto.
- A.50.7. Except for any utilities, all work performed by the contractor of software and any supporting documentation therefore shall be considered as Works for Hire (as such are defined under the U.S. Copyright Laws) and, as such, shall be opened by and for the benefit of State of Oklahoma.

A.51. Source Code Escrow – Reference Title 62 O.S. § 34.31

If required under applicable Oklahoma law relating to customized computer software developed or modified exclusively for a state agency, the Vendor shall have a continuing obligation to comply with such law and place the source code for such software and any modifications thereto into escrow with an independent third party escrow agent. Vendor shall pay all fees charged by the escrow agent and enter into an escrow agreement, the terms of which are subject to the prior written approval of the State, with the escrow agent including terms that provide the State receives ownership of all escrowed source code upon the occurrence of any of the following:

- a) A bona fide material default of the obligations of the Vendor under the agreement with the agency;
- b) An assignment by the Vendor for the benefit of its creditors;
- c) A failure by the Vendor to pay, or an admission by the Vendor of its inability to pay, its debts as they mature;
- d) The filing of a petition in bankruptcy by or against the Vendor when such petition is not dismissed within sixty (60) days of the filing date;
- e) The appointment of a receiver, liquidator or trustee appointed for any substantial part of the Vendor's property;
- f) The inability or unwillingness of the Vendor to provide the maintenance and support services in accordance with the agreement with the agency;
- g) The ceasing of a Vendor of maintenance and support of the software; or
- h) Such other condition as may be statutorily imposed by the future amendment or enactment of applicable Oklahoma law.

A.52. Right to Renegotiate

Prior to exercising the State's right to cancel a contract, the State may renegotiate an existing contract with a contractor for the purpose of obtaining more favorable terms for the State, provided that the term of the contract is not modified.

A.53. Used or New Products

Bidder shall offer new items of current design unless the solicitation specifies used, reconditioned, or remanufactured products are acceptable. Warranties in both cases should be the same.



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A.54. Publicity

The award of this Contract to Vendor is not in any way an endorsement by the State of Vendor or the Products and shall not be so construed by Vendor in any advertising or publicity materials. Vendor agrees to submit to the State all advertising, sales promotion, and other publicity matters relating to this Contract wherein the State's name is mentioned or language used from which the connection of the State's name therewith may, in the State's judgment, be inferred or implied as an endorsement. Vendor further agrees not to publish or use such advertising, sales promotion, or publicity matter or release any informational pamphlets, notices, press releases, research reports, or similar public notices concerning this Contract without obtaining the prior written approval of the State.

A.55. Mandatory and Non-Mandatory Terms

A.55.1. Whenever the terms "shall", "must", "will", or "is required" are used in this RFP, the specification being referred to is a mandatory specification of this RFP. Failure to meet any mandatory specification may cause rejection of the Bidder's Proposal.

A.55.2. Whenever the terms "can", "may", or "should" are used in this RFP, the specification being referred to is a desirable item and failure to provide any item so termed shall not be cause for rejection.

A.56. Non Tobacco – Smoke Free

By order of the Governor's Executive Order 2012-01, effective August 06, 2012 the use of any tobacco product shall be prohibited on any and all properties owned, leased or contracted for use by the State of Oklahoma, including but not limited to all buildings, land and vehicles owned, leased or contracted for use by agencies or instrumentalities of the State of Oklahoma.

A.57. OMES/ISD / Agency Relationship

Pursuant to Title 62 of the Oklahoma Statutes OMES is the entity designated to purchase information technology assets on behalf of the State of Oklahoma. OMES acquires necessary hardware and software, and authorizes the use of same by other State agencies."

A.58. Federal Terms and Conditions

The following terms apply if federal monies are used to fund this solicitation:

A.58.1. Equal Opportunity and Discrimination

The contractor certifies they are an Equal Opportunity Employer, a provider of services and/or assistance, and is in compliance with the 1964 Civil Rights Act, Title IX of the Education Amendments of 1972, Section 504 of the Rehabilitation Act of 1973, as amended and Executive Orders 11246 and 11375. The provider assures compliance with the Americans with Disabilities Act of 1990 (Public Law 101-336), all amendments to, and all requirements imposed by the regulations issued pursuant to this act

A.58.2. Lobbying

The contractor certifies compliance with the Anti-Lobbying law, Section 1352, Title 31 of the U.S. Code, and implemented at 45 CFR Part 93, for persons entering into a grant or cooperative agreement over \$100,000.00 as defined at 45 CFR 93, Section 93.105 and 93.110.

A.58.3. Drug-Free Workplace

The contractor certifies compliance in providing or continuing to provide a drug-free workplace in accordance with the Drug-Free Workplace Act of 1988, and implemented at 45 CFR part 76, Subpart F, for grantees, as defined at 45 CFR Part 76, Sections 76.605 and 76.610

A.58.4. Environmental Protection

If the payments pursuant to the contract are expected to exceed \$100,000.00, then the contractor must comply with all applicable Federal Laws such as Section 306 of the Clean Air Act (42 U.S.C. 1857 (L)), Section 508 of the Clean Water Act (33 U.S.C. 1638), Executive Order 11738, and Environmental Protection Agency Regulations (40 C.F.R Part 15), which prohibit the use under nonexempt Federal contract, grant or loans of facilities included on the EPA List of Violating Facilities



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A.59. Acceptance of Request for Proposal Content

Unless otherwise provided in Section One (see §E.10.1) herein) (of the Vendor's response to this Request for Proposal, all Offers shall be firm representations that the responding Vendor has carefully investigated and will comply with all terms and conditions contained in this Request for Proposal Upon award of any contract to the Successful Vendor, the contents of this Request for Proposal, as may be amended by the Vendor's response in Section One, shall become contractual obligations between the parties. Failure to provide all proposed amendments to the terms and conditions contained in this Request for Proposal in Section One of the Contractor's response may cause the bid to be rejected from consideration for award.

A.60. Special Provisions

Special Provisions apply with the same force and effect as these General Provisions. However, conflicts or inconsistencies shall be resolved in favor of the Special Provisions.

B. SPECIAL PROVISIONS

B.1. Glossary of Terms

B.1.1. Contractor – A vendor, bidder, or bidder that has been awarded a contract by the State.

B.2. Contract Term, Renewal and Extension Option

B.2.1. Under Oklahoma law, the State may not contract for a period longer than one (1) year. By mutual consent of the parties hereto, it is intended that there shall be **nine (9) options** to renew maintenance and support, each for duration of one (1) year.

B.2.2. After the initial term of one year, the Agreement may be renewed annually upon mutual written consent of the parties. Prior to each renewal, the State will review the terms and conditions to determine validity with current state statues and rules. If required prior to renewal, the State will work with the contractor to incorporate any required changes to this agreement.

B.2.3. The State, at its sole option, may choose to exercise an extension for 90 days beyond the final renewal option period, at the contract compensation rate for the extended period. If this option is exercised, the State shall notify the contractor in writing prior to contract end date. The State, at its sole option, may choose to exercise subsequent 90 day extensions, by mutual consent and at the contract compensation rate, to facilitate the finalization of related terms and conditions of a new award or as needed for transition to new contractor.

B.2.4. Notification to exercise the option to renew the contract shall be set forth, in writing, by the State at least 30 days prior to the end of each contract period. The contract shall be contingent upon approval by the State. If a decision is made not to exercise an option period, notice shall be sent at least 30 days prior to the end of the current contract period.

B.2.5. Term Extensions – The State CIO reserves the right to extend any contract awarded if it is determined to be in the best interest of the State.

B.3. Obligations of Permitted Subcontractor

B.3.1. If the Vendor is permitted to utilize subcontractors in support of this Contract, the Vendor shall remain solely responsible for its obligations under the terms of this Contract and for its actions and omissions and those of its agents, employees and subcontractors. Any proposed subcontractor shall be identified by entity name and by employee name in the applicable proposal and shall include the nature of the services to be performed. Prior to a subcontractor being utilized by the Vendor in connection with provision of the Products, the Vendor shall obtain written approval of the State of such subcontractor and each employee of such subcontractor proposed for use by the Vendor. Such approval is within the sole discretion of the State. As part of the approval request, the Vendor shall provide a copy of a written agreement executed by the Vendor and subcontractor setting forth that such subcontractor is bound by and agrees to perform the same covenants and be subject to the



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same conditions, and make identical certifications to the same facts and criteria, as the Vendor under the terms of all applicable Contract Documents. Vendor agrees that maintaining such agreement with any subcontractor and obtaining prior approval by the State of any subcontractor and associated employees shall be a continuing obligation. The State further reserves the right to revoke approval of a subcontractor or an employee thereof in instances of poor performance, misconduct or for other similar reasons.

- B.3.2. All payments for Products shall be made directly to the Vendor. No payments shall be made to the Vendor for any services performed pursuant to this Contract by unapproved or disapproved employees of the Vendor or a subcontractor.

B.4. Warrants

Contractor warrants and represents that products or deliverables specified and furnished by or through the contractor shall individually, and where specified by contractor to perform as a system, be substantially uninterrupted and error-free in operation and guaranteed against faulty material and workmanship for a warranty period of a minimum of ninety (90) days from the date of acceptance or the maximum allowed by the manufacturer. During the warranty period, defects in the products or deliverables specified and furnished by or through the contractor shall be repaired or replaced by contractor at no cost or expense to the State.

B.5. Commercial Off-The-Shelf (Cots) Software

In the event that Vendor specifies terms and conditions or clauses in an electronic license agreement notice that conflict with the terms of this Contract, the additional terms and conditions or conflicting clauses shall not be binding on the State and the provisions of this Contract shall prevail.



C. SOLICITATION SPECIFICATIONS

C.1. Overview

The State of Oklahoma Office of Management and Enterprise Services (OMES) Information Services Division (ISD) on behalf of The Oklahoma Department of Public Safety (DPS), is seeking the procurement and implementation of a proven **Commercial off the Shelf (COTS) Web based solution**. **The proposed solution is expected to contain a minimum of 80% COTS configuration with a maximum of 20% custom code; however, a preferred solution will contain a 90% or in excess COTS configuration with a maximum of 10% custom code. The Awarded vendor cannot have any proprietary rights to the proposed solution. DPS expects to retain all rights to any modifications or configurations to the proposed solution and ownership of future licenses. DPS expects to be the licensee of all software provided. The proposed solution will be state hosted.**

The intent is to modernize DPS's existing business systems in an effort to take control of its future and transform itself into a 21st Century operating environment. The modernization project will move DPS to a more practical platform which will reduce ongoing costs and provide a lower-risk core architecture to support future DPS needs. A modernized system will utilize modern technologies that support a service-oriented architecture with a built-in redundancy. DPS is interested in a client/server or web based platform to reduce ongoing costs and provide lower risk core architecture to support future needs. The proposed system will replace the existing mainframe in order to eliminate dependence on antiquated technologies and limited technical resources to support such a system. The proposed solution will be an IT system that makes maximum use of the web and creates a customer friendly self-help environment for Oklahoma citizens and an intelligent easy to use common information platform for DPS employees. DPS Envisions a system that will interface and work closely with OK.gov services which is currently working on web access solutions for public acquisition of many DPS.

For Informational, purposes please see the following Exhibits:

Exhibit 'A' DPS Background,

Exhibit 'B' Current Architecture/Environment,

Exhibit C, Core Business Divisions,

Exhibit "D", Non-Core Business Divisions and

DPS Glossary of Terms

The process being used for this specific solicitation will be The Performance Information Procurement Systems (PIPS) –Best Value Process developed by Arizona State University Performance Based Studies Research Group (PBSRG).

Vendors who responded to the Sources Sought Notice and participated in the Vendor Pre-education meeting can visit the PBSRG web site for an overview of The Best Value Business Model Overview @ <http://pbsrq.com/best-value-model/>



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C.2. Expectations

A proven solution applicable to DPS's functions of Public Safety, Law Enforcement, Driver License Issuance, and Records Repository for law enforcement and driving records. The proposed solution should include the technological systems requirements applicable to such functions, processes and demonstrate expert experience in developing, implementing and maintaining systems of similar size and scope where the data is typically tied to data initially generated through the driver license issuance process."

Overall, the proposed solution is expected to possess the architecture to integrate predominately COTS software packages that are integrated to minimize custom coding, such that each module is expected to have a declared percentage of custom code versus COTS components in its presentation. The solution is expected to interface with business partners through existing web services specifications. A web based platform utilizing proven technologies such as .Net or Java is preferred. Industry-standard relational database such as SQL Server or Oracle is preferred. It is expected to meet all applicable Federal and State requirements including, but not limited to Commercial Driver's License (CDL), American Association of Motor Vehicle Administrators (AAMVA), etc.

DPS expect the current environment and systems to be retained and used through the installation, and testing to full complete implementation and acceptance of the new system.

DPS expects the Web based COTS solution to have the following capabilities

C.2.1. Web-Enabled

The ability for outside stakeholders such as court clerks and the public to interact with the agency via the web and web services; and allows employees to access reports and/or different services from off-site locations.

C.2.2. Near Real-time Processing

Provide secure near real-time processing and access to data based on defined business rules in receiving and sending data from internal and external entities. Information and transaction outputs will be available as soon as the transaction has been completed.

C.2.3. Customer Centric System

"The foregoing solution is expected to contain a customer-centric model that effectively supports DPS customer relationship management, including a single customer record (DL, ID, or Setup Number) utilized to encompass all Driver License, ID card, and employee information.

C.2.4. Access to Data

Data storage with the ability to access statistical data and information, through ad hoc and standard reporting. A report generalized framework utilizing DPS business rules, and the ability to generate statistical data and information through customizable and standard reports.

C.2.5. User and Infrastructure Security and Single Sign On

Microsoft Active Directory is the primary method to be used for single-person sign on.

Expectations

- a) Active Directory supplemented by two factor authentication as required (Active Directory will be used).
- b) Single sign on with access to data, fields and values, screens, and system processes limited to authorized users only. User authentication, segmenting, and tracking access based on user roles and responsibilities (role-based security)."
- c) Configurable as to allocation of authorization and privilege.
- d) User levels and security should be table driven (utilize Active Directory).



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- e) Enhanced security and fraud prevention by tracking patterns of suspicious activity (customer and employee), producing automated reports, and creating systems alerts to potential safety, security, and risk management issues.
- f) Provide positive access control while denying unauthorized entry.
- g) Infrastructure security to include but not be limited to the notification of intrusion, spoofing, phishing, virus infection and the denial of services.

C.2.6. Relational Database

Expectations

- a) An industry-standard relational database.
- b) Minimal de-normalization for performance considerations
- c) The ability to exploit hardware capabilities, and critical data rules will be maintained.
- d) The ability to perform adequately under high volume.

C.2.7. Database Design

Expectations

- a) A database design scheme that uses a person-centric model that preserves data integrity with a standardized entity relationship that meets national standards like NIEM and CJIS specifications.
- b) The entity relationships normalized to minimize instances of inefficient repetition of data.
- c) Database design that supports inherent security features that feature principles of role based security within each module and a highly configurable privilege management scheme.
- d) The fields defined in each entity must adhere to standard naming conventions.
- e) A graphic user interface (GUI) shall be standardized throughout the system

C.2.7.1. Elimination of Multiple Entry of Data

- ❖ Provide a database that allows fields of information to be available for use for of different processes and different functions and by different users, ensuring no redundancy of data entry

C.2.7.2. Batch and 1 to 1

- ❖ The proposed solution should contain both a batch and a one-to one process in the entry of records.

C.2.7.3. Business Rules Engine

- ❖ A Business Rules Engine to be included in the proposed solution that is fully integrated with the Customer Relations Management application and the Enterprise Bus.

C.2.8. Data Center Interfaces

Expectations

- a) The ability to communicate with business partners by providing a consistent method for interfacing and a consistent message format for exchanging data.



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- b) Web-based services
- c) Interfacing capabilities for internal applications including credential printing, imaging, and the existing external website.
- d) The interface is expected to have the ability for each exchange to be accessed and migrated to use full web services standards and adapters that identify where exchanges are sent to or received from legacy systems.
- e)

C.2.9. Maintenance and Modification

Expectations

- a) A re-configurable system, easy to use, affordable, maintainable, and expandable to meet the agency's future needs and comply with legislative changes and agency directives and mandates directed to the agency.
- b) Provide the opportunity to integrate all of our current data streams into some form of intelligent network.
- c) Allow users to access the information needed to carry out their daily work functions while automatically linking related information threads from across the entire system.
- d) Eliminate redundant data entry and once data has been entered, it shall never have to be re-keyed again.
- e) easy to maintain and modify to accommodate frequent legislative changes, federal directives, and necessary enhancements
- f) Application logic will be table driven where feasible and meet system and business performance requirements.
- g) Programs shall be structured and well documented and easily support changing business rules.
- h) Modular with programs organized to maximize the re-use of common logic.
- i) Programs shall be designed for ease of maintenance and impact analysis
- j) A business-rules engine

- k) The proposed solution is expected to include a centralized capability to deploy and manage application changes to all modules.

C.2.10. Backup/Redundancy/Data Recovery

Expectations

- a) Fully redundant with no single point of failure.
- b) Designed with fault tolerance in mind at an overall application architecture level.
- c) Data recovery and back up operations with the same real time standard met by the system.

C.2.11. Scalable



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Expectations

The number of clients to grow significantly with a linear increase in network and server load, and should be able to row in predictable scalability without needing a major infrastructure overhaul in network or server load. Amount of data will have the ability to increase substantially without degradation of performance and response time.

C.2.12. Compliance

Expectations

The proposed solution should meet the standards of the following entities and legislation:

- a) **AAMVA** - American Association of Motor Vehicle Administrator
- b) **NIEM** - National Information Exchange Model
- c) **HIPPA** - Health Insurance Portability and Accountability Act
- d) **CJIS - Criminal Justice Information Services**
- e) **DPPA - Driver's Privacy Protection Act**
- f) **PCI DSS** - Payment Card Industry Data Security Standards
- g) **LEITSC**- Law Enforcement Information Technology Standards Council

C.2.13. User interface

Expectations

- a) An intuitive and user friendly design solution
- b) User-centered design that will optimize the product around how users can, want, or need to use the system, rather than forcing the users to change their behavior to accommodate the system.

C.2.14. Data Exchange

DPS currently hosts many information exchanges that are critical to the private, public and law enforcement services provided to its customers and affiliates.

Expectations

- a) The ability for each exchange to be assessed and migrated to use full web services standards and adapters that identify where exchanges are sent or received from legacy systems.

C.2.15. Driver License/ID Notice

The Driver License/ID application (DL-10) is a document generated out of the Identification and Driver License Application process and includes a series of Notices. **Applicant and Examiner signatures are required.**

These notices apply to the following:

- Registration requirements for violent crime convictions
- Social security number use
- Notice about making false claims or statements during the application process



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- Registration requirements for convicted sex offenders.

Expectations

The ability to generate notices required under the driver license issuance process.

C.3. Business Intelligence -Expectations

DPS expects the Web based COTS solution to have the following Business Intelligence capabilities.

C.3.1. Consequences of Data or Process Modification

- ❖ A Business Intelligence application with the ability to easily test and immediately see the consequences of a proposed modification or change in data or process

C.3.2. Reports, Charts, Analysis and Data Export

- ❖ Provide the Information Systems representatives and the users with the ability to create reports and charts and the ability to conduct data analysis and export data as needed

C.3.3. Business Rules Engine

- ❖ Business Rules Engine that allows changes to be made easily and efficiently

C.4. Case Management- Expectations

- ❖ A Case Management application that will be integrated into the system to allow cases to go through different processes and steps within a division and the agency. "The Case Management application should be able to seamlessly interact with other case management system, including OnBase.
- ❖ A Case Management application that will allow Legal and other divisions use for case support in specific instances, such as litigation support, etc.

C.5. Customer Relationship Management- Expectations

- ❖ A Customer Relationship Management application allowing users to access everything in the system relating to a particular customer.

C.6. Collaboration Software- Expectations

- ❖ Collaboration Software integrated into the system

C.7. Correspondence Application- Expectations

- ❖ Correspondence Application for the automation of creating and printing letters

C.8. Change Management- Expectations

- ❖ Change Management integrated into the system.

C.9. Impaired Driver Tracking System

- a) A single repository of impaired driver arrest data to provide a comprehensive Impaired Driver Tracking System that will track all offenses, from arrest through dismissal or sentence completion.
- b) This information should be accessible on a central network, so that updates are available immediately.

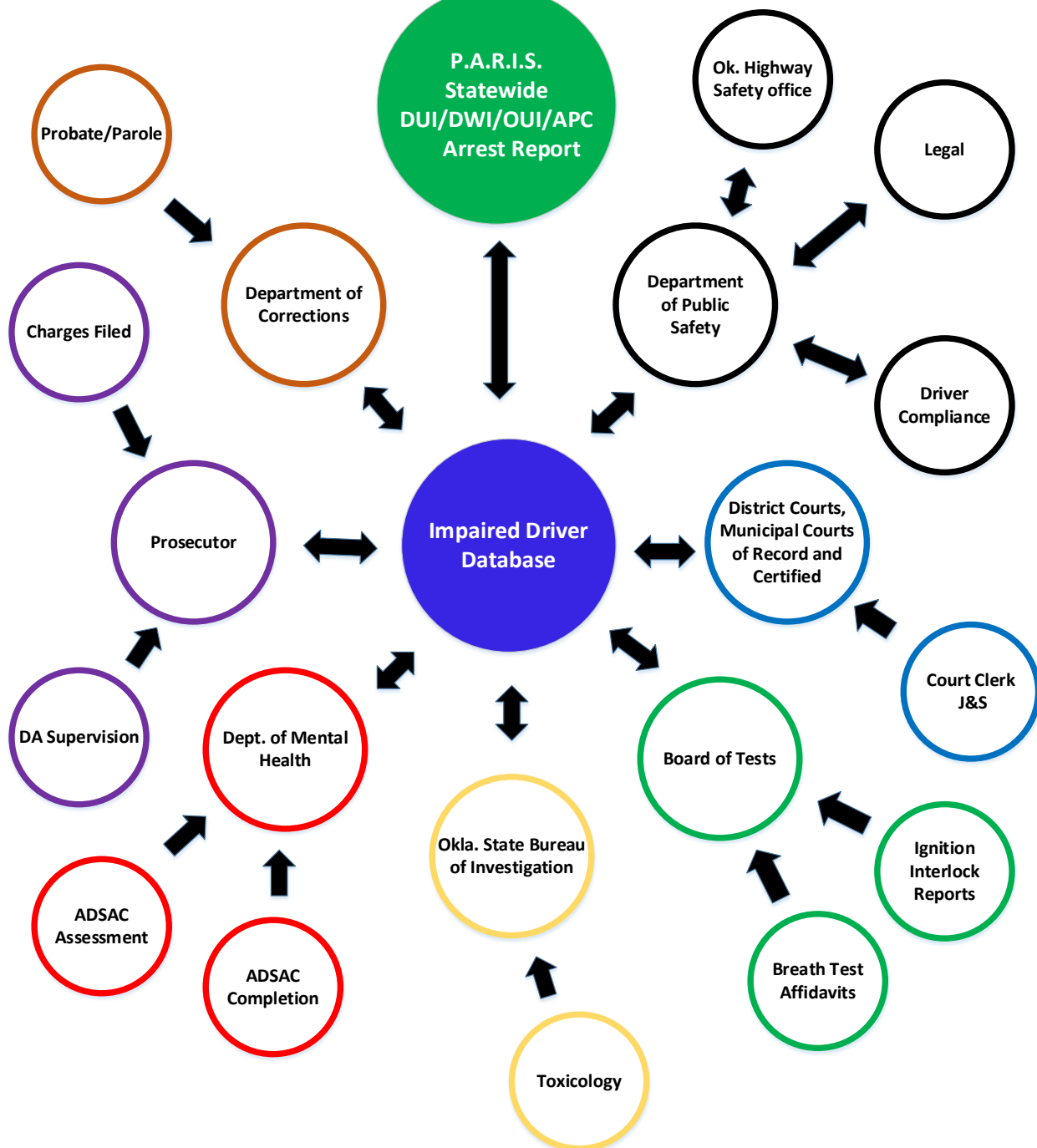
The proposed solution is expected to provide an aggregate of data from several sources as shown in the following diagram.



Impaired Driver Tracking System Diagram

Oklahoma Impaired Driver Database Module

Proposed





D. EVALUATION

D.1. Evaluation and Award

D.1.1. Offers shall be evaluated on the "best value" determination based upon the BV PIPS evaluation process.

D.2. Proposal Clarification Questions

The State reserves the right, at its sole discretion, to request clarifications of technical proposals or to conduct discussions for the purpose of clarification with any or all contractors. The purpose of any such discussions shall be to ensure full understanding of the proposal. If clarifications are made because of such discussion, the contractor(s) shall put such clarifications in writing. The clarification shall not alter or supplement the proposal.

D.3. Selection Criteria (Define Evaluation Criteria to be used)

Note: Accessibility is required to be a part of the selection criteria.- VPAT

D.3.1. The Selection stage focuses on a vendor's ability to differentiate itself. Based upon their ability to identify, prioritize, and minimize risks, add differential value to State of Oklahoma and show a high level of past performance on behalf of other clients. State of Oklahoma has made the assumption that each supplier can provide requested services. Instead of focusing on this minimum expectation, State of Oklahoma is allowing suppliers to compete based on value and their ability to maximize State of Oklahoma's satisfaction. Consequently, the submitted proposals should be brief, show differentiation, and allow State of Oklahoma to see which firm is the best value supplier. It is imperative that each supplier realizes that what is written in the proposals and discussed in the interview will become part of the awarded supplier's final contract.

D.3.2. The selection and award of supplier is based upon "Best Value" to the Oklahoma Department of Human Services and the evaluation criterions listed below under Section D.4.

D.4. Evaluation Process

D.4.1. Evaluation Process – Determination of Solicitation Responsiveness

A responsive offer is defined as an offer that meets all the general mandatory requirements as outlined below:

- Responding Bidder Information Sheet complete Form 076
- Certification for Competitive Bid and Contract (Non-Collusion Certification) Form 004
- Amendments, if issued, are acknowledged.

Meeting all requirements outlined above allows the offer to proceed in the evaluation process. Failure to meet all of the above may result in the proposal being disqualified from further evaluation.

D.4.2. Tentative Schedule of Selection Process and Allocated Budget

D.4.2.1. Allocated Project Budget: 20 Million Dollars- Over time]

D.5. PROPOSAL REQUIREMENTS AND EVALUATION CRITERIA

D.5.1. The State will evaluate Proposals against the evaluation criteria for the degree to which each Proposal meets the criteria as follows:



Attachment/Section	Description	RFP Section	Value
Attachment A	RFP (PIPS) Cover Page and Checklist	D.6.1	Pass / Fail
Attachment B	Proposal Form	D.6.2	Pass / Fail
Attachment B	Project Cost	D.6.3	Pass / Fail
Attachment C	Project Schedule	D.6.4	Pass / Fail
Attachment D	Project Capability Submittal	D.6.5	Pass / Fail
Attachment D	Project Capability Plan (PC)	D.6.5.3	Value
Attachment D	Risk Management Plan (RMP)	D.6.5.4	Value
Attachment D	Value Added Plan (VA)	D.6.5.5	Value
	Letter of Introduction	E. 10.1	Pass / Fail
Form 076	Responding Bidder Info	E. 10.1	Pass / Fail
Form 004	Certification for Competitive Bid and Contract (Non-Collusion Certification)	E. 10.1	Pass / Fail
OMES form 053	VPAT ETA Compliance-Mandatory	E. 10.3	Pass / Fail
Bidder Agreements		E. 10.4	Pass / Fail

D.5.2 Award(s) shall be made to the responsible Respondent(s) whose offer is determined in writing to be the most advantageous to the State based upon the evaluation factors listed below. The evaluation factors are listed in their relative order of importance:

No.	Rating Criteria	Value
1	Interview	35
2	Project Capability Plan (PC)	20
3	Risk Management Plan (RMP)	10
4	Value Added (VA)	5
5	Project Cost	30

D.6. Description of Evaluation Criteria

- D.6.1. RFP Cover Page and Checklist - **Respondent will prepare and submit the RFP Cover Page and Checklist (See Attachment A)**
- D.6.2. Proposal Form – The respondent will prepare and submit a proposal form. (See Attachment B)
- D.6.3. Project Cost - The selected Respondent will perform the Work for a Contract Sum that shall be a fixed, lump sum and shall include all costs necessary to complete the Work in accordance with the



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Contract Documents, including Respondent's overhead and profit. The Respondent shall state its proposed Contract Sum as a fixed, lump sum in Attachment B.

- D.6.4. Project Schedule - The Respondent will prepare and submit information regarding their proposed schedule for the Project. (See Attachment C)
- D.6.5. Project Capability (PC) Submittal - The Project Capability Submittal (six page maximum) has three components: Project Capability Plan (two 2 page max.), a Risk Management Plan (two 2 page max.), and a Value Added Plan (two 2 page max.). (See Attachment D)

D.6.5.1. Purpose of PC Submittal

D.6.5.1.1. Assist the State in prioritizing Respondent's submittals based on their expertise, and their ability to understand and deliver the Project.

D.6.5.1.2. Assist the Respondent in planning what they are going to do before they do it.

D.6.5.1.3. Provide high performing Respondent's the opportunity to differentiate themselves from their competitors due to their experience and expertise by using verifiable performance metrics and previous best value results.

D.6.5.2. PC Submittal Format Requirements

D.6.5.2.1. PC submittal must NOT contain any names that can be used to identify who the Respondent is (such as firm names, personnel names, Project names, or product names). The State reserves the right to additionally redact submissions to assure anonymity.

D.6.5.2.2. The PC submittal must not include the proposed cost or proposed duration that the Respondent has identified in the Proposal Form.

D.6.5.2.3. A PC proposal template is included in this RFP. This document must be used by all Respondent's. Respondents are NOT allowed to re-create, re-format, or modify the template in any manner. Respondent's must type their responses on the template provided.

D.6.5.2.4. The PC submittal (whether the pages are blank or filled) must NOT exceed 6 pages (front side of page only).

D.6.5.2.5. Failure to comply with any of the PC format requirements may result in disqualification.

D.6.5.2.6. The PC Submittal shall not contain any marketing information. The Submittal should be used to prove to the State that the Respondent has expertise for the specific project being proposed on.

D.6.5.3. Overview of the Project Capability Plan

D.6.5.3.1. The two (2) page Project Capability Plan is to allow the Respondent to differentiate themselves based on their technical capability. Respondent's will show how they meet the requirements of this project by conveying successes with previous customers for projects of similar size and scope. Respondent's should include high performance claims that can be verified by previous customers and proven with metrics. All cost and schedule impacts associated with technical capabilities listed below must be included in your base cost/schedule.



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D.6.5.4. Overview of the Risk Management Plan

D.6.5.4.1. The Respondent's should list and prioritize major risk items on this project that could cause their "vision" or "plan" to deviate or not meet the expectations of the client (i.e. risks that the Respondent's do not control). This includes sources, causes, or actions that are beyond the scope of the contract that may cause cost increases, delays, change orders, or dissatisfaction to the State. Do not include in this submittal any risks caused by a lack of the Respondent's technical competency. The risks should be described in simple and clear terms so that non-technical personnel can understand the risk. The Respondent's must also explain how they will mitigate, manage, and/or minimize or eliminate the risk from occurring. A mitigation / management plan solution with supporting documented performance (references, performance measurements of projects when the risk mitigation was used etc.) is required for a high rating from the selection committee. The backup performance information can include how many times the mitigation plan was previously used, and the impact on performance in terms of customer satisfaction.

D.6.5.5. Overview of the Value Added Plan

D.6.5.5.1. The purpose of the Value Added (VA) Plan is to provide Respondent's with an opportunity to identify any value added options or ideas that may benefit the State at a change in cost or scope. These options or ideas may also be referred to as additional or optional services. Where applicable, the Respondent's should identify: 1) what the State may have excluded or omitted from its scope; and 2) how these options or ideas have been successful through verifiable performance information and/or best value practices. The Respondent should list the cost and time impact of its options or ideas. The ideas identified in the VA Plan must **NOT** be included in the Respondent's Cost Proposal. The Respondent should identify and briefly describe any options, ideas, alternatives, or suggestions to add value to this project, and indicate how the items will increase or decrease cost (note: a Value Added option must impact cost). Cost impacts associated with these Value Added options must **NOT** be included in your base cost.

D.6.5.6. Interviews - The State may shortlist (if necessary) the top rated Respondent's. The shortlisted Respondent's may be required to participate in an interview period. The State may interview all critical team members, including (but not limited to):

- a) Program Manager
- b) Lead Software Designer

D.6.5.6.1. The State may also request to interview additional personnel. The State will interview individuals separately (and may perform a group interview after the individual interviews are completed). No other individuals (from the Respondent's) will be allowed to sit in or participate during the interviews. The State may request additional information prior to interviews. All proposed team members should be available in person for interviews on the date specified in this RFP. At the State's discretion, substitutes, proxies, phone interviews, or electronic interviews may be allowed. Individuals who fail to participate in the interview will not be given a score, which may jeopardize the Respondent's competitiveness.

D.7. Evaluation Process

D.7.1 The State will evaluate and score each Proposal. The Respondent's selected for an award will be the one whose Proposal is responsive, responsible, shows the highest expertise and is the most advantageous to the State, as determined by the State in its sole discretion.

D.7.2 All Respondent's will be prioritized based on the selection criteria, weights, and ratings, and the State will verify if the information submitted in the best prioritized Respondent's offers are accurate.

D.7.1.1. If the highest ranked Respondent is within the allocated budget, but its cost is more than 10% greater than the second highest ranked Respondent's cost, the State reserves the right to invite the second highest ranked Respondent to the Clarification Phase.



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- D.7.1.2. If the highest ranked Respondent is over the allocated budget, the State reserves the right to proceed to the highest ranked Respondent within the budget unless the State obtains additional funding.
- D.7.1.3. If all of the Respondents are over-budget, the State may obtain additional funds and apply the rules above, re-scope the Project, or cancel the Project.
- D.7.1.4. If the best value Respondent's cost is more than 10% above or below the average Respondent's cost, the State reserves the right to proceed to the next prioritized best value Respondent.
- D.7.1.5. When the State is considering going to the next best value Respondent, the best value Respondent can stay in the process by having presented dominant performance metrics which justify their selection despite their higher or lower cost.
- D.7.3 Those Respondent's that are not moved into the clarification phase will be put on a secondary award list and shall be evaluated from the same process results of the Clarification process from both lists. A primary list and a secondary list will be utilized if any of the Respondent's that are identified for an award are unable to make it through the clarification or if additional firms are required under the State's contract in the future for any reason.

D.8. Clarification/Pre-Award Phase

- D.8.1. The potential best-value Respondent will be required to perform the Clarification Phase functions as outlined in Attachment E. The intent of this period is to allow the Respondent an opportunity to clarify Respondent's proposal, address any issues or risks, and allow the State to add any concerns, and to prepare a Clarification Phase document.

D.9. Contract Form and Requirements

Form of Contract between the State and the Selected Respondent

- D.9.1. The Contract between the selected Respondent and the State will be the original solicitation along the Respondent's submission, which is incorporated into this RFP (the "Contract"). By submitting a Proposal, the Respondent acknowledges and agrees they have received, read, understands, and shall be bound by and comply with the Contract.
- D.9.2. The Contract will incorporate by reference this RFP, any Attachments and any RFP modifications agreed to by State. The State may attach to the Contract as Supplementary Conditions Respondent's Proposal, selected provisions of Respondent's Proposal or modifications to Respondent's Proposal agreed to by State and Respondent.
- D.9.3. All contractors' performance will be tracked through Weekly Risk Reports (WRR) [see Attachment F] and posted on PBSRG website to identify highest performers.



E. INSTRUCTIONS TO BIDDER

E.1. Introduction

Prospective contractors are urged to read this solicitation carefully. Failure to do so shall be at the bidder's risk. Provisions, terms, and conditions may be stated or phrased differently than in previous solicitations. Irrespective of past interpretations, practices or customs, offers shall be evaluated and any resultant contract(s) shall be administered in accordance with the plain meaning of the contents hereof. The bidder is cautioned that the requirements of this solicitation can be altered only by written amendment approved by the State and that verbal communications from whatever source are of no effect. In no event shall the bidder's failure to read and understand any term or condition in this solicitation constitute grounds for a claim after contract award.

E.2. Preparation of Offer

- E.2.1. Any usage amounts specified are estimates only and are not guaranteed to be purchased.
- E.2.2. Information shall be entered on the form provided or a copy thereof.

E.3. Submission of Offer

- E.3.1. Completeness of offer(s): It is desirable that the bidder respond in a complete, but concise manner. It is the bidder's sole responsibility to submit information in the offer as requested by the solicitation. The bidder's failure to submit required information may cause its offer to be rejected. However, unnecessary information should be excluded from the bidder's offer.
- E.3.2. Copies: Proposal should be paginated and indexed in alpha order with reference to RFP sections. Proposal must include an original hardcopy, and eight (8) duplicate copies for nine (9) hardcopy documents. The documents' front pages should indicate original or copy.
- E.3.3. The bidder shall include a "machine readable" version, preferably in Microsoft WORD format, on CD or DVD, of the bidder's offer. One original, plus one (1) copies for two (2) electronic documents, one electronic version should be indicated as the original.

E.4. Proprietary and/or Confidential

- E.4.1. Bidders claiming any portion of their offer as proprietary or confidential must specifically identify what documents or portions of documents they consider confidential and identify applicable law supporting their claim of confidentiality. The CIO shall make the final decision as to whether the documentation or information is confidential pursuant to 74 O.S. §85.10.
- E.4.2. If an bidder believes particular information requested by the RFP for evaluation purposes is proprietary, the bidder shall submit that information separate and apart from its response and mark it Proprietary and Confidential. If ISD in its sole discretion agrees the information is proprietary, ISD will maintain the information as Confidential. If ISD does not acknowledge the information as proprietary, ISD will return or destroy the information with proper notice to the bidder and the evaluation will be completed without consideration of the information marked Proprietary. PROPOSALS MARKED, IN TOTAL, AS PROPRIETARY and/or CONFIDENTIAL SHALL NOT BE CONSIDERED.

E.5. Oklahoma Open Records Act

Proposals are subject to public disclosure in accordance with the Open Records Act and will not be considered confidential except as determined by the Oklahoma Chief Information Officer in his sole discretion.

E.6. Communications Concerning Solicitation

The contracting officer listed on the cover page of this solicitation is the only individual in which the bidder should be in contact with concerning any issues with this solicitation. Failure to comply with this requirement may result in the bidder response being considered non-responsive and not considered for further evaluation.



E.7. Administrative Review

- E.7.1. Bidders who believe solicitation requirements or specifications are unnecessarily restrictive or limit competition may submit a request for administrative review, in writing, to the procurement specialist listed herein. To be considered a request for review must be received no later than 3:00 P.M. Central Time on **July 02, 2015**. The State shall promptly respond in writing to each written review request, and where appropriate, issue all revisions, substitutions or clarifications through a written amendment to this Solicitation. Requests for administrative review of technical or contractual requirements shall include the reason for the request, supported by information, and any proposed changes to the requirements.

E.8. General Solicitation Questions

Bidder may submit general questions concerning the specifications of the solicitation. All questions and answers regarding this RFP shall be posted to the IT procurement wiki at:

<https://wiki.ok.gov/display/itprocurement/5850000473>

- E.8.1. Questions received via any other means will not be addressed. If your firm is not currently registered with the State of Oklahoma with wiki access, you may go to the link below to request access.

<https://wiki.ok.gov/display/itprocurement/Home>

- E.8.2. In order to guarantee that your access is created prior to closing date for submitting questions for a solicitation, please request access at least 5 business days prior to the closing date for questions. The State of Oklahoma cannot be responsible for a vendor's lack of access if the request is not made within this timeline.
- E.8.3. When posing questions, every effort should be made to:
- be concise
 - include section references, when possible
 - do not use tables or special formatting, use simple lists
- E.8.4. These questions shall be answered directly on the wiki and in the form of an amendment, posted on the OMES website, and linked on the wiki. Bidders are advised that any questions received after **July 09 2015** shall not be answered.

E.9. Electronic Funds Transfer (EFT)

The State of Oklahoma passed legislation in 2012 requiring funds disbursed from the State Treasury be sent electronically.

If awarded a contract will your company accept payment for invoices from the State by EFT:

Yes _____ No _____ (check one)

E.10. Deliverables (Define in Detail All Required Deliverables to Be Considered Responsive) (Modify Sections as required)

Responses should be bond, tabbed by section, and clearly marked as Original or Copy.

Note: Deliverables are to be in both hard copy and in a single machine-readable format, preferably in Microsoft Word format, on either CD or DVD.

- E.10.1. Section One – Introduction



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-
- a) Letter of Introduction
 - b) Completed "Responding Bidder Information" OSF Form 0761SD.
 - c) Completed "Certification for Competitive Bid and Contract" OSF Form 0041SD.
 - d) Signed Amendment(s), if any.
 - e) Any exceptions to solicitation terms and conditions.

E.10.2. Section Two – Solicitations Responses –Attachments A-G

E.10.3. Section Three – EITA Compliance

Provide adequate information defining your products level of EITA compliance by providing a Voluntary Product Accessibility Template (VPAT) that indicates compliance of all products offered with the provisions of Section 508 of the Rehabilitation Act Amendments included in the Workforce Investment Act of 1998.

Bidder must complete the attached VPAT & Accessibility -OMES form 053 also attached is the VPAT Instructions Template.

E.10.4. Section Six – Bidder Agreements

Bidder shall provide any required software licenses, maintenance, or service agreements.

Note: Any software licensing, maintenance, or service agreements the bidder requires, should they be the successful contractor, not submitted with contractor's original offer shall not be considered

F. CHECKLIST

G. OTHER



ATTACHMENT A – RFP COVER PAGE, DECLARATION & CHECKLIST

Respondent's Name: _____

The Vendor must complete and submit this Attachment. This Attachment shall be the cover page for the Vendor's Proposal.

Project Number:	
Project Name:	

Vendors Name:	
Address:	
City:	
State:	
Post Code:	
Point of Contact for this RFP:	
Phone:	
Fax:	
Email:	

The following documents are required for this proposal (please mark off each document to acknowledge that you have completed and submitted the document in the proper format):

- Attachment A RFP Cover Page, Declaration & Checklist
(Include as cover page in your proposal)
- Attachment B Proposal Form
- Attachment B Project Cost
- Attachment C Project Schedule
- Attachment D Project Capability Submittal Checklist and Format
- Attachment D Project Capability Plan
- Attachment D Risk Assessment Plan

- Attachment D Value Added Plan
- Attachment G Letter of Introduction
- Form 076 Responding Bidder Info
- Form 004 Certification for Competitive Bid and Contract (Non-Collusion Certification)
- VPAT ETA Compliance –**Mandatory**
- Bidder Agreements Any required software licenses, maintenance, or service agreements.-**Mandatory**

PROJECT COST PROPOSAL

CRITICAL TEAM COMPONENTS:

Project Manager

[PERSON 1]:

Lead Project Manager

[PERSON 2]:

General Instructions

Vendor shall provide a cost breakout:

- I. “Initial Year” is for “initial” or “one-time” costs incurred during the initial year of project and will not be costs in ongoing or future years for the life of the project. **“Implementation”** refers to the costs associated with deployment of all functions in support of implementation of the vendor’s proposed solution. **“Support & Maintenance” and/or Licenses** and **Fee Structure** as applicable to proposed solution.
- II. If additional cost related items can be added to convey additional project costs, expand table as needed.

ATTACHMENT B – PROPOSAL FORM

Implementation –Cost-Fixed Rate

(Add table rows as needed)

Pricing Detail	Cost/Fixed Rate	Notes
Implementation		
Licensing		
Support & Maintenance		
Fee Structure (<i>clearly defined</i>)		
Other-		
Other-		
Total		

Fixed Proposed Cost 1st year of Contract _____

[Post Implementation Costs-Fixed Rate

Option Renewals and Fee Structure

Optional renewal years	Service Description i.e. Maintenance/support/training License	Cost Fixed Rate	Notes
Optional Renewal- Year One			
Optional Renewal Year Two			
Optional Renewal Year Three			
Optional Renewal Year Four			
Optional Renewal Year Five			
Optional Renewal Year Six			
Optional Renewal Year Seven			
Optional Renewal Year Eight			
Optional Renewal Year Nine			
Fee Structure- <i>(clearly defined)</i>			
Other			
Total			

If the rates remain the same as the initial contract period, please indicate here:

Yes, Rates remain the same for all contract periods.

Total Project Cost (Fixed Rate) _____

ATTACHMENT C – PROJECT SCHEDULE FORM

a) **PROJECT DURATION**

Project Duration (Total Time):

Note: The Project Duration (Total Time) should include the total time from the anticipated Contract Award Date to work completion. This must include submission of shop drawing, As-Built drawing, operation manual, testing & commissioning (if applicable), etc.

The Respondent must also attach a draft project MILESTONE schedule that starts from the anticipated Contract Award Date to work completion date.

Note: The Respondent MUST use the Anticipated Contract Award Date as the starting point of their Project schedule. The Respondent should not be doing any Project work, with the exception of any work related to the Clarification Phase, prior to this Contract Award Date.

ATTACHMENT D – PROJECT CAPABILITY SUBMITTAL CHECKLIST AND FORMAT

The Respondent must complete and submit this checklist along with the Project Capability (PC) Submittal. This PC Submittal Checklist is not counted in the 6-page PC Submittal limit. Failing to answer, or answering “No” to any of the questions below may result in disqualification.

1. Is your PC Submittal 6 pages or less? Yes No
2. Do you understand that your PC Submittal can NOT contain any names, past projects, or information that may be used to identify who your firm is? Yes No
3. Do you understand that you have to use the PC Submittal templates provided in this RFP and that you are NOT allowed to re-create the PC Submittal Templates (cannot alter font size, add colors, add pictures, etc.) or handwrite your responses? Yes No
4. Do you understand that the contents of PC Submittal will become part of the Contract? Yes No
5. Do you understand that your Proposal will be disqualified if you fail to meet any of the above requirements? Yes No

ATTACHMENT D – PROJECT CAPABILITY SUBMITTAL CHECKLIST AND FORMAT

PROJECT CAPABILITY PLAN

This template should be used. The Project Capability Plan should identify the Respondent’s **capability to meet the project’s requirements** with a plan that meets time and cost goals. The capability claims should be prioritized (list the most important claims first). The Respondent may add or delete Project Capability Claim table templates, but **do not exceed the 2-page limit for this section**. Do NOT include any identifying information in your Project Capability Plan. Information listed under the “Documented Performance” line may describe where the Respondent has used the approach or solution previously, and what the results were in terms of verifiable metrics. These instructions and the example below may be deleted from this form.

Example:

Project Capability Claim: *We have a significant amount of experience in this type of projects, and consistently delivery high performance*

Documented Performance: *We have completed 45 similar projects in the past 5 years, with 0.5% cost deviation, and 2% schedule deviation. The average customer satisfaction rating on these projects was 9.8 out of 10*

Project Capability #1 Claim: _____

Documented Performance: _____

Project Capability #2 Claim: _____

Documented Performance: _____

Project Capability #3 Claim: _____

Documented Performance: _____

Project Capability #4 Claim: _____

Documented Performance: _____

Project Capability #5 Claim: _____

Documented Performance: _____

Project Capability #6 Claim: _____

Documented Performance: _____

Project Capability #7 Claim: _____

Documented Performance: _____

ATTACHMENT D – PROJECT CAPABILITY SUBMITTAL CHECKLIST AND FORMAT

RISK ASSESSMENT PLAN

This template should be used. The Risk Assessment Plan should address the risks that the Respondent **does NOT control**. The risks should be prioritized (list the greatest risks first). The Respondent may add or delete Risk table templates, but **do not exceed the 2-page limit for this section**. Do NOT include any identifying information in the Risk Assessment Plan. Information listed under the "Documented Performance" line may describe where the Respondent has used the approach or solution previously, and what the results were in terms of verifiable metrics. These instructions and the example below may be deleted from this form.

Example:

Risk Description: *Risk that is not identified by client professional, competing vendors, or expert contractor will be identified and solved within 3 days maximum (unless more time is justified and requested)*

Risk Impact / Why is this a risk: *Unforeseen circumstances / risks may cause a deviation to our planned baseline expectation.*

Once we are notified of a change, we will take the following action:

- 1. Contractor shall immediately notify the designer and the State the same day as discovery of potential cost and time impact*
- 2. Contractor shall find best possible options to minimize risk, with accompanying cost and time*

Solution: *Contractor shall propose the best solution with justification and present to the State representative*

Documented Performance: *We use this approach as part of every project we complete. We have had to use the approach 15 times over the past 3 years. Our solution resulted in less than 1% change orders, and 100% of the clients on these 15 projects rated our performance 10 out of 10*

Risk #1 Description: _____

Risk Impact / Why is this a risk: _____

Solution: _____

Documented Performance: _____

Risk #2 Description: _____

Risk Impact / Why is this a risk: _____

Solution: _____

Documented Performance: _____

VALUE ADDED PLAN

This template should be used. The Value Added Plan should identify any **value added options or ideas that may benefit the State**. The value added claims should be prioritized (identify the most important claims first). The Respondent may add or delete Value Added Claim table templates, but **do not exceed the 2-page limit for this section**. Do NOT include any identifying information in the Plan. Information listed under the “Documented Performance” line may describe where the Respondent has used the approach or solution previously, and what the results were in terms of verifiable metrics. These instructions and the example below may be deleted from this form.

Example:

Item Claim: Reroofing this building will not stop all water leaks. The majority of the leaks are caused by cracks in the parapet walls, broken/missing glass, and poor caulking

How will this add value? _____

Documented Performance: This approach in 8 similar projects has worked 100% of the time in stopping all leaks

Cost Impact (%): 0.75% **Schedule Impact (%):** 0.25%

Item #1 Claim: _____

How will this add value? _____

Documented Performance: _____

Cost Impact (%): _____ **Schedule Impact (%):** _____

Item #2 Claim: _____

How will this add value? _____

Documented Performance: _____

Cost Impact (%): _____ **Schedule Impact (%):** _____

Item #3 Claim: _____

How will this add value? _____

Documented Performance: _____

Cost Impact (%): _____ **Schedule Impact (%):** _____

Item #4 Claim: _____

How will this add value? _____

Documented Performance: _____

Cost Impact (%): _____ **Schedule Impact (%):** _____

Item #5 Claim: _____

How will this add value? _____

Documented Performance: _____

Cost Impact (%): _____ **Schedule Impact (%):** _____

ATTACHMENT E – CLARIFICATION PHASE GUIDE

1. OVERVIEW

- a. The Clarification Phase is not a negotiation phase. Respondent will not be permitted to modify their cost/fee/financial rates, project durations, or project team unless the State requests changes. The Clarification Phase is started by the notification of the best value vendor, and ended by the final presentation to the State after all issues have been addressed. If the State is not satisfied during the Clarification Phase, or upon completion of the Clarification Meeting, the State may consider another Respondent for potential award (this Respondent would also have to conduct a Clarification Meeting). If the State is satisfied with the potential best-value Respondent, they will proceed to issue an Award and Contract.
- b. The Clarification Phase is carried out prior to the signing of the contract. the State's objective is to have the project/service completed on time, without any contractor cost increases, and with high customer satisfaction. At the end of the project, the State will evaluate the performance of the Respondent based on these factors, so it is very important that the Respondent pre plans the project to ensure there are no surprises.
- c. It is the Respondent's responsibility to ensure they understand the scope of the project and clearly identify what they are delivering. It is the State's responsibility to ensure that it conveys any potential concerns and issues before the contract is signed. It is the Respondent's responsibility to manage and mitigate the risk of the project.
- d. The Clarification Phase provides the Respondent with an opportunity to identify "what is in" and "what is out" of their proposal. This is attached with a milestone schedule and a cost, and proposed schedule of values. the State has the right to accept or deny this proposal. The State also has a right to identify their perceived risks, concerns, and issues which it will require the Respondent to mitigate and manage. The major products of the Clarification Phase include the scope of the project, the milestone schedule, the Risk Management Plan (RMP), the cost breakout of the project, and the weekly risk report (WRR.) The pre-planning should include all coordination and identification of all risks that cannot be controlled by the Respondent.
- e. In many cases, one of the Respondent's biggest risks (in terms of delivering the service with high satisfaction) is the client. Therefore, it is in the Respondent's best interest to identify any issues or concerns ahead of time during the Clarification Phase. The Respondent should minimize their risk by creating documentation that assists them to be proactive in mitigating risk.

2. PRE PLANNING AND COORDINATION

- a. Respondent's may be required to provide the State with supporting documentation of any information listed in their submittals before entering the Clarification Phase.
- b. Once the best value Respondent is notified, the State may provide a list of risks identified by the other Respondent's and a list of any the State issues or concerns.
- c. the State requires that the Respondent attend a Kick-Off Meeting to present their proposal, the milestone schedule, their risk management plan (RMP), and to seek additional issues or concerns that the State may have. It is also an opportunity to meet all participants who may be a stakeholder in the project. The

- d. Respondent is required to perform the following functions as part of, or in preparation for, this Kick-Off Meeting:
 - i. Ensure that the State project manager has invited all the State stakeholders and participants to the meeting (including client, subcontractors, designer / AE, interested parties, etc.)
 - ii. Present the scope of their services ("what is in" and "what is out").
 - iii. Present their milestone schedule and their risk management plan (RMP.) This includes risks and potential mitigation to the risks.
 - iv. Identify client responsibilities.
 - v. Present their Weekly Risk Report (WRR) format.
 - vi. Identify any RFIs that they may have.
 - vii. Listen to concerns, issues, and comments from the State stakeholders.
 - viii. Propose a schedule to finalize Clarification Phase and the contract documents.

- e. Once the Clarification Kick-off Meeting is held, and if the State is comfortable with the Respondent's proposal, the Clarification Phase begins. The Respondent will be required to complete the following:
 - i. Revisit the site/buildings/campus to do any additional investigating.
 - ii. Coordinate with all parties that will be involved with the project.
 - iii. Resolve concerns and issues they have with mitigating actions.
 - iv. Finalize the Clarification Documents (contract, WRR, milestone schedule, RMP, project scope.)

3. CLARIFICATION DOCUMENT

The final Clarification Document will include the following:

- a. Finalized scope documents
- b. Risk Management Plan (RMP)
- c. Milestone schedule
- d. Weekly Risk Report format (WRR)
- e. Project financial summary.
 - i. The Respondent's Original Project Cost
 - ii. A list of agreed/accepted Value Added Options (with impact to cost)
 - iii. A list of agreed upon Scope Changes or Additional Work (if applicable) (with impact to cost)
 - iv. A Schedule of Values
- f. Complete detailed project or services schedule.
- g. Project action item checklist of client actions.
- h. Project and emergency contact list.

4. CLARIFICATION SUMMARY MEETING

- a. The Clarification Summary Meeting is held at the end of the Clarification Phase and is used to present a summary of what was developed and agreed upon during the Clarification Phase. The final Clarification meeting is not a question and answer session. The Respondent and the State stakeholders must not wait for the meeting to ask questions. All coordination and planning with the State should be done prior to the meeting.

- b. The Respondent should give a presentation, which walks the State through the entire project and summarizes all of the coordination and planning done during the Clarification Phase. The Respondent should bring their team and all the documents specified in the Clarification Document. The Respondent should come with documents explaining what the State is responsible for in this project and should identify exactly what they want from the State with due dates. The Respondent must convince the State that they have minimized all risks and will not be surprised once the project begins. The Clarification meeting presentation (and meeting minutes, if applicable) will become part of the contract along with the other documents from the Clarification Document.

ATTACHMENT F – WEEKLY RISK REPORT GUIDE

Overview

The Weekly Risk Reporting System (WRRS) is a companion to the QC Plan that is created by the best value Respondent during the Clarification Phase. The report serves as a tool for the State in analyzing the performance of each Project based on risk. The WRRs do not substitute or eliminate weekly progress reports or any other traditional reporting system (that the Respondent may do).

The purpose of the WRRs is to allow the Respondent to manage and document all risks that occur throughout a project. Risk is defined as anything that impacts the project cost or project schedule. This includes risks that are caused by the Contractor (or entities contracted by the Contractor), and risks that are caused by the State (scope changes, unforeseen conditions, etc.). The State's Project Manager may also require the Respondent to document risks that may impact the State's satisfaction.

Submission

The weekly report is an excel file that must be submitted on Monday of every week. The report is due every week once the Contract Award is issued, until the Project is 100% complete (and final payment is made). Please contact the State Project Manager if you have not received an electronic version of the spreadsheet (once the Contract Award has been issued).

The completed report must be saved using the date and name of the Project given by the State (Format: YY/MM/DD Project Name Project ID; For example, 'Polk Project' for the week ending Friday, March 1, 2014, should be labeled '140301_PolkProject_01-123-45-6789'). Weekly Reports are to be emailed by Monday. For this Project the weekly report will be e-mailed to:

Name - [email](#)

Name – [email](#)

The weekly report consists of scope changes or unforeseen events that are risks to the project in terms of cost, schedule, or the State satisfaction including any issues that could potentially develop into a risk. When a new issue is identified, it is added to the project risks, along with the following: Identification date (date the risk was identified), plan to minimize the risk, resolution due date, impact to critical path or schedule (in days), and impact to final cost (in dollars).

Prior to submitting the report, the Respondent must contact the State if there are any risks or potential risks identified. The Respondent is required to provide a satisfaction rating based on the identified risk and their plan to mitigate the risk. The rating is based on a scale of 1-10 (10 being completely satisfied and 1 being completely dissatisfied). The Respondent may modify their satisfaction ratings at any time throughout the Project. When a risk is resolved, the actual date of resolution must be listed.

The Respondent is also required to submit a detailed milestone project schedule (including the Contract Award date, substantial completion date, and final payment date) in the weekly report. The schedule report must contain the Respondent's original schedule along with the current estimated schedule.

The State will analyze the reports for accuracy and timeliness. The report will be used in part by the State to determine the overall final performance rating of the Respondent (and its team).