Exhibit 2 – Questionnaire

1. Supplier’s Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

2. Federal ID No. (FEIN) or Social Security No.:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

3. Doing Business As (DBA), if applicable: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

4. Do you use, or have you used in the past five (5) years, any other business name, FEIN, or

DBA other than what is listed in questions 1-3 above? \_\_\_\_Yes \_\_\_\_No

If yes, provide the names, FEINs, and DBAs and the address for each on a separate piece of paper and attach hereto.

5. Website address (if applicable):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

6. Street Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Mailing Address (if different):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

City: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ State: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Zip Code: \_\_\_\_\_\_\_\_\_\_\_

7. Telephone no. (\_\_\_\_)\_\_\_\_\_\_\_\_\_\_\_\_ Fax no: (\_\_\_\_) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

8. Name of authorized contact: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Title:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Email address of contact: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

9. Type of Business (initial one):

\_\_\_ Sole proprietorship

\_\_\_ Corporation

\_\_\_ Limited liability company (LLC)

\_\_\_ General partnership

\_\_\_ Limited partnership

\_\_\_ Individual

\_\_\_ Other: (specify) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of organization/incorporation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place of organization/incorporation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If not organized or incorporated in Oklahoma, are you authorized to do business in the State of Oklahoma? \_\_\_yes\_\_\_no

10. List name and title of each director, officer, member, owner (attach additional sheets if needed):

a.\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

b.\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

c.\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

d.\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

11. Within the past five years, has the Supplier, any affiliate[[1]](#footnote-1), any owner or officer or major stockholder (5% or more shares) or any person involved in the bidding or contracting process been the subject of any of the following:

a. a judgment or conviction for any business-related conduct constituting a crime under federal, state, or local government law including, but not limited to, fraud, extortion, bribery, racketeering, price-fixing or bid collusion or any crime related to truthfulness and/or business conduct? \_\_\_Yes \_\_\_No

 b. a criminal investigation or indictment for any business-related conduct constituting a crime under federal, state, or local government law including, but not limited to, fraud, extortion, bribery, racketeering, price-fixing or bid collusion or any crime related to truthfulness and/or business conduct? \_\_\_Yes \_\_\_No

 c. an unsatisfied judgment, injunction, or lien for any business-related conduct, including but not limited to, judgments based on taxes owed and fines and penalties assessed by any federal, state, or local government agency? \_\_\_Yes \_\_\_No

d. an investigation for a civil violation for any business-related conduct by any federal, state, or local agency? \_\_\_Yes \_\_\_No

e. a grant of immunity for any business-related conduct constituting a crime under federal, state or local governmental law including, but not limited to, fraud, extortion, bribery, racketeering, price-fixing, bid collusion or any crime related to truthfulness and/or business conduct? \_\_\_Yes\_\_\_ No

f. a federal, state, or local government suspension or debarment from the contracting process? \_\_\_Yes\_\_\_ No

g. a federal, state, or local government contract suspension or termination for cause prior to the completion of the term of a contract? \_\_\_Yes\_\_\_ No

h. a federal, state, or local government denial of a contract award? \_\_\_Yes \_\_\_No

i. an administrative proceeding or civil action seeking specific performance, restitution, or damages in connection with any federal, state, or local contract? \_\_\_Yes\_\_\_ No

j. a federal, state, or local determination of a willful violation of any public works or labor law or regulation? \_\_\_Yes\_\_\_ No

k. a sanction imposed as a result of judicial or administrative proceedings relative to any business or professional license? \_\_\_Yes\_\_\_ No

l. a citation, notice, violation, order, pending administrative hearing or proceeding, or determination for violations of:

• unemployment insurance or workers’ compensation coverage or claim requirements

 \_\_\_Yes\_\_\_ No

• ERISA (Employee Retirement Income Act) \_\_\_Yes\_\_\_ No

• Federal, state, or local human rights laws \_\_\_Yes\_\_\_ No

• U.S. Citizenship and Immigration Services and Alienage laws\_\_\_ Yes\_\_\_ No

• Sherman Act or other federal anti-trust laws\_\_\_Yes\_\_\_ No

 m. an agreement for a voluntary exclusion from contracting with a federal, state, or local governmental entity? \_\_\_Yes \_\_\_No

n. a bankruptcy proceeding? \_\_\_Yes \_\_\_No

For each yes answer to Questions 11 a-n, provide details on additional sheets regarding the finding, including, but not limited to cause, current status, resolution, etc.

12. Do you have the financial resources necessary to fulfill the requirements of the proposed contract? \_\_\_Yes \_\_\_No

13. Do you carry current liability insurance that will cover breach of any fiduciary duty imposed under the proposed contract to be awarded under the subject RFP? \_\_Yes\_\_\_No

If yes, attach proof of such insurance.

Certification

The undersigned recognizes that this questionnaire is submitted for the express purpose of assisting the Oklahoma State Treasurer to make a determination regarding the award of a contract; acknowledges that the State Treasurer may, in his discretion, by means which he may choose, verify the truth and accuracy of all statements made herein; acknowledges that intentional submission of false or misleading information may be punished as a crime under state and federal law and states that the information submitted in this questionnaire and any attached pages is true, accurate and complete.

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Printed name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Affiliate” meaning: (a) any entity in which the Supplier owns more than 50% of the voting stock; (b) any individual, entity or group of principal owners or officers who own more than 50% of the voting stock of the Supplier; or (c) any entity whose voting stock is more than 50% owned by the same individual, entity or group described in clause (b). In addition, if a Supplier owns less than 50% of the voting stock of another entity, but directs or has the right to direct such entity’s daily operations, that entity will be an “affiliate” for purposes of this questionnaire. [↑](#footnote-ref-1)