

2026

Office Use Only -Reviewed By: _____	
Effective _____	
FP _____	
Rec# _____	
Clerk _____	RevisedBy; _____

An investigative fee of \$50.00 per hour plus expenses may apply, if so, it will be billed under separate cover.

- ## I. PERSONAL DATA

Email Address: _____

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III. EMPLOYMENT

NAME OF GAMING EMPLOYER: _____

ADDRESS OF EMPLOYER: _____

EXECUTIVE POSITION YOU WILL FILL: _____

BUSINESS PHONE: ____ (____) _____

SUPERVISOR: _____

- A. Since the date of your last application, have you held a financial interest in a gambling venture, in any state, including but not limited to; a racetrack (dog or horse), lottery, casino, off-track betting parlor, bookmaking operation, card room bingo parlor or pull tabs?

☐ No ☐ Yes - Complete for all businesses in which you were involved.

Name of Business	Location	Partners	Partner's Address(es)	Date of operation

- B. Since the date of your last application, have you been refused a gaming license or related finding of suitability or been a participant in any group which has been denied a gaming license or related finding of suitability?

☐ No ☐ Yes - Reason: _____

- C. Since the date of your last application, have you been granted a gaming license or been a participant in any group which has been issued a gaming license by any state?

☐ No ☐ Yes – Complete the following.

License Type	Name of Establishment	Location	Period of Licensure	Disciplinary Actions

- D. Since the date of your last application, do you have any relatives associated with or employed in the gaming industry (this includes State Lottery and Racing)?

☐ No ☐ Yes – Complete the following.

Name of Relative	Relation	Address	Association or Employment	Date of Association or Employment

IV. CREDIT RECORD

Since the date of your last application, has your credit record been considered unsatisfactory, or have you ever been refused credit?

☐ No ☐ Yes – Provide dates, places, names of creditors and circumstances:

V. COURT RECORD

See OHRC Rules for Racetrack Gaming 325:80-5-6 General grounds for refusal to issue license or denial of Gaming License applications

- A. Since the date of your last application, have you been questioned, detained, indicted, arrested or summoned to answer for any criminal offense or violation for any reason whatsoever, regardless of the disposition or the event, including traffic citations other than parking tickets?

☐ No ☐ Yes – Specify below.

Date	City/State	Charge	Final Disposition

- B. Are you currently on parole, probation or supervised release?

☐ No ☐ Yes

- C. Have you received a pardon for any criminal act(s)?

☐ No ☐ Yes – Give details on additional information sheet and attach to this application.
List all cases without exception.

D. Has a criminal indictment, information or complaint ever been returned against you, but for which you were not arrested or in which you were named as an un-indicted party?

☐ No ☐ Yes

E. Have you been subpoenaed to appear to testify before a federal, state or county grand jury, Board or commission?

☐ No ☐ Yes

F. Since the date of your last application, have you had a civil or criminal record expunged by a court order?

☐ No ☐ Yes - When? _____
Date City County State

G. Since the date of your last application, has any member of your family or close relative (including in-laws) been convicted of a felony?

☐ No ☐ Yes – Specify below.

Name	Relationship	Date	City/State	Charge	Disposition

H. Since the date of your last application, have you been named a plaintiff or defendant in a court action?

☐ No ☐ Yes - Give date, place, court, names of parties involved, nature of action and final disposition below:

I. Has anything changed since the submission of your original Key Executive application that has not been noted previously on this form? (i.e. financial, payment of taxes, etc.)

☐ No ☐ Yes – If yes, list below details of changes:

AFFIDAVIT VERIFYING LAWFUL PRESENCE IN THE UNITED STATES

Instructions for required verification of United States citizenship OR qualified alien status in the United States: All natural persons fourteen (14) years of age or older and present in the United States, applying for a license with the Oklahoma Horse Racing Commission are required, by the provisions of 56 O.S. Supp. 2007 § 71, to provide the Commission with verification of lawful presence in the United States by executing one of the statements below.

I, _____, of lawful age,
(PRINT Applicant's Full Legal Name)

being first duly sworn, upon oath states, under penalty of perjury, as follows:

☐

I am a United States citizen.

☐

I am a qualified alien under the Federal Immigration and Naturalization Act, and I am lawfully present in the United States. **Provide a legible copy** of your Passport / Visa (including I-94) / Alien Registration (front and back). Number and expiration date: _____.

☐

I am not a United States Citizen AND I will not be present in the United States during the time of my licensure.



Applicant's Signature

NOTARY PUBLIC

Signed or attested before me this _____ day of _____, 20_____.

(NOTARY SEAL)

My Commission expires: _____

OHRC298LIC/LED 7-15-16 LCH

COMPLIANCE STATEMENT

By acceptance of a license issued pursuant to this application, I agree to comply with the rules of the Oklahoma Horse Racing Commission (OHRC); the laws of the United States of America, the State of Oklahoma, Municipalities and other subdivisions thereof, and agree to permit personnel of the OHRC to search my vehicle(s), any premise which I may occupy or control or have the right to occupy or control and my personal property and effects including a personal search while within the enclosure of the racetrack and/or gaming facility, and the seizure of any article, the having of which within such enclosure may be forbidden by law or Commission Rules. I further agree to permit personnel of the OHRC to remove from the above listed areas any item(s) they deem relevant to their investigation. It is understood that I will receive a receipt from OHRC for any and all items thus removed. I further understand that any items so removed by the OHRC may be used in a court of law or Board of Stewards Hearing during a criminal prosecution or an administrative proceeding. I am giving this written permission to the OHRC freely and voluntarily.

I hereby request and authorize the OHRC to conduct an official investigation of my personal history and background. I understand that any investigation, the application and any information submitted with relation to my application, are subject to the Open Records Act of Oklahoma and shall be treated in accordance as such. I understand that, except where specific State or Federal statute creates a confidential privilege, persons who submit information to public bodies have no right to keep this information from public access, nor reasonable expectation that this information will be kept from public access. I understand that my fingerprints will be taken and submitted to the Federal Bureau of Investigation (FBI) and the Oklahoma State Bureau of Investigation (OSBI) for a Criminal Records Report. I understand that I have the right to challenge inaccurate information on said Report and have it corrected. I understand that if I believe the Criminal Records Report contains inaccurate information I should contact the agency or entity that provided the information, or I can challenge the information with the FBI. I understand that I can obtain information on how to challenge inaccuracies at www.fbi.gov. I understand the FBI will retain my fingerprints and associated information/biometrics and, while retained, my fingerprints will continue to be compared against other fingerprints submitted to or retained by the FBI.

I hereby certify that the information and statements I have provided herein are true and correct. I further certify that I understand all of the statements above and further authorize all consumer reporting agencies to release to the OHRC any information requested by them in connection with the background investigation and processing of this application. I understand that failure to disclose all information completely and accurately may result in refusal to issue, denial, suspension, revocation or cancellation of this license and/or other disciplinary action by the Board of Stewards and/or the Commission. I have read and understand the foregoing statements and conditions and knowingly and voluntarily attach my signature hereunto.

PRINTED Applicant's Name

Applicant's SIGNATURE

STATE OF _____)
) SS:
COUNTY OF _____)

Subscribed and sworn to before me this _____ day of _____, 20_____.

(SEAL)

Notary Public

My Commission Expires: _____.

STATE OF _____)
) SS:
COUNTY OF _____)

_____, of lawful age, being first duly sworn upon oath, deposes and says:
Printed Name of Applicant

As an applicant for a Key Executive License (Oklahoma Horse Racing Commission), I have read the questions in the foregoing application and have answered them truthfully, fully, and completely. I hereby authorize educational and other institutions, my references, employers, business and professional associates, all governmental agencies and instrumentalities and all consumer reporting agencies to release to the Law Enforcement Division of the Oklahoma Horse Racing Commission or any of its Agents any information, files, records, or credit reports requested by the Law Enforcement Division of the Oklahoma Horse Racing Commission in connection with the processing of this application.

PRINTED Applicant's Name

Applicant's SIGNATURE

Subscribed and sworn to before me this _____ day of _____, 20_____.

(SEAL)

Notary Public

My Commission Expires: _____.