# TITLE 165. CORPORATION COMMISSION CHAPTER 20. GAS & HAZARDOUS LIQUID PIPELINE SAFETY

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# TABLE OF CONTENTS

## SUBCHAPTER 1. GENERAL PROVISIONS

- 165:20-1-1. Purpose
- 165:20-1-2. Definitions
- 165:20-1-3. Citation
- 165:20-1-4. Forms and documentation
- 165:20-1-5. Severability
- 165:20-1-6. Office location; mailing address

## SUBCHAPTER 3. PIPELINE ASSESSMENTS

165:20-3-1. Pipeline assessments

# SUBCHAPTER 5. SAFETY REGULATIONS FOR GAS PIPELINES

#### Part 1. General Provisions

- 165:20-5-2. Scope
- 165:20-5-4. Change of ownership and/or operator

## Part 3. Telephonic Notice and Reporting Requirements

- 165:20-5-11. Telephonic notice of certain incidents
- 165:20-5-12. Address for written reports
- 165:20-5-13. Distribution system reporting requirements
- 165:20-5-14. Transmission and gathering systems reporting requirements
- 165:20-5-16. Reporting safety related conditions

# Part 5. Minimum Safety Standards for Gas

165:20-5-21. Adoption of federal safety regulations

- Part 7. Pipeline Construction and Consumer Safety
- 165:20-5-32. Notice requirements for construction

#### Part 9. Mandatory Participation in Oklahoma One Call

165:20-5-41. Mandatory participation in Oklahoma One Call

# SUBCHAPTER 6. SAFETY REGULATIONS FOR UNDERGROUND NATURAL GAS STORAGE FACILITIES

165:20-6-1. Adoption of federal safety regulations

# SUBCHAPTER 7. SAFETY REGULATIONS FOR HAZARDOUS LIQUIDS

- 165:20-7-1. Adoption of federal safety and reporting regulations
- 165:20-7-2. Notice requirements for pipeline construction
- 165:20-7-3. Mandatory participation in Oklahoma One Call
- 165:20-7-4. Change of ownership and/or operator
- 165:20-7-5. Reporting safety related conditions

## SUBCHAPTER 11. DRUG TESTING

165:20-11-1. Control of drug use in pipeline operations 165:20-11-2. Reporting of anti-drug testing results

# SUBCHAPTER 13. ENFORCEMENT

#### Part 1. General

165:20-13-1. Scope and fines
165:20-13-2. Service
165:20-13-3. Subpoenas; witness fees
Part 3. Procedure
165:20-13-11. General enforcement authority and sanctions
165:20-13-12. Inspections and accident investigations
165:20-13-13. Notice of probable violations
165:20-13-14. Response options
165:20-13-15. Warning letter, complaint, or contempt citation
165:20-13-16. Conference
Part 5. Miscellaneous Provisions
165:20-13-21. Cooperation with federal authorities
165:20-13-22. Administrative enforcement

165:20-13-23. Request for exception to rule or order

## SUBCHAPTER 15. REGULATIONS FOR GRANTS TO AID STATE PIPELINE SAFETY PROGRAMS

165:20-15-1. Regulations for grants to aid state pipeline safety programs

## SUBCHAPTER 17. OBLIGATIONS UNDER THE OKLAHOMA UNDERGROUND FACILITIES DAMAGE PREVENTION ACT SUBJECT TO COMMISSION ENFORCEMENT

165:20-17-1. Scope

165:20-17-2. Definitions

165:20-17-3. Statutory citation

165:20-17-4. Compliance with the Act required

165:20-17-5. Emergencies

165:20-17-6. Positive notification of size and material

165:20-17-7. Immediate notice of excavation damages caused by excavator

165:20-17-8. Written report of all excavation damages

165:20-17-9. Submitting a complaint about failure to follow the Oklahoma Underground

Facilities Damage Prevention Act

[Authority: 52 O.S., §§ 5 and 47.3; OKLA. CONST. art IX, §§ 4 and 18] [Source: Codified 12-31-91]

# CHAPTER 20. GAS & HAZARDOUS LIQUID PIPELINE SAFETY

# SUBCHAPTER 1. GENERAL PROVISIONS

#### 165:20-1-1. Purpose

The purpose of this Chapter is to provide minimum safety standards for the transportation of gas and hazardous liquids and for pipeline facilities used for this transportation.

## 165:20-1-2. Definitions

For proper interpretation of this Chapter, definitions are also provided in the herein adopted C.F.R. provisions. The following words and terms, when used in this Chapter, shall have the following meaning, unless the context clearly indicates otherwise:

"Commission" means the Oklahoma Corporation Commission.

"Intrastate" means wholly within the boundaries of the State.

"Legal staff" means the office of General Counsel of the Commission.

"Manager of Pipeline Safety" means the Manager of the Pipeline Safety Department of the Commission.

"Pipeline Safety Department" means the Department of Pipeline Safety for the Commission.

"Secretary" means the custodian of official records of the Commission.

"State" means the State of Oklahoma and all lands within its boundaries.

"Transportation of gas or hazardous liquids" means the gathering, transmission, or distribution of gas or hazardous liquids by pipeline or its storage.

# 165:20-1-3. Citation

The rules embodied in this Chapter shall be cited as OAC 165:20.

# 165:20-1-4. Forms and documentation

(a) The Commission is empowered to prescribe necessary and proper forms in order to effectuate the purpose of this Chapter. The Manager of Pipeline Safety shall prescribe the number of copies of an appropriate form which shall be necessary to comply with the filing requirements of this Chapter.

(b) Plans, specifications, maps, and other data relative to natural gas pipeline systems, underground natural gas storage facilities, and hazardous liquid pipeline systems shall be submitted to the Commission as prescribed by the Manager of Pipeline Safety in order to effectuate the purpose of this Chapter. This subsection is applicable to the operators of pipelines regulated pursuant to Subchapters 5, 6, 7, and 17 of this Chapter.

(c) Plans and maps submitted to the Commission pursuant to subsection (b) shall be deemed confidential records or trade secrets of the operator under the Open Records Act as provided for by 51 O.S. § 24A.22 and shall be kept confidential by the Commission, unless such records are successfully challenged or become subject matter of an enforcement action at the Commission.

[Source: Amended at 15 Ok Reg 3002, eff 7-15-98; Amended at 37 Ok Reg 1126, eff 10-1-20, Amended at 38 Ok Reg 1746, eff 10-1-21]

# 165:20-1-5. Severability

The provisions of this Chapter are severable and if any part or provision hereof shall be held void, the decision of the court so holding shall not affect or impair any of the remaining parts or provisions of this Chapter.

## 165:20-1-6. Office location; mailing address

(a) The office of the Commission's Pipeline Safety Department is in the Jim Thorpe Building located at 2101 North Lincoln Boulevard, Oklahoma City, Oklahoma 73105.

(b) The mailing address of the Commission is P.O. Box 52000, Oklahoma City, Oklahoma 73152-2000.

[Source: Added at 19 Ok Reg 1965, eff 7-1-02]

# SUBCHAPTER 3. PIPELINE ASSESSMENTS

#### 165:20-3-1. Pipeline assessments

(a) **Application.** Each operator of a pipeline subject to Subchapters 5 and 7 shall pay a pipeline assessment to the Commission as set forth in the provisions of this Subchapter.

(b) **Calculation.** For purposes of this Subchapter, the pipeline assessment shall be determined as follows:

(1) For gas pipeline operators required to submit an annual report pursuant to 165:20-5-13(b) and 165:20-5-14(b). On the basis of the total number of miles of pipeline as reported on their annual report multiplied by the assessment rate of \$25.00 per mile of pipeline for the fiscal year starting on July 1.

(2) For hazardous liquid pipeline operators required to submit an annual report pursuant to 165:20-7-6. On the basis of the total number of miles of pipeline as reported on their annual report multiplied by the assessment rate of \$75.00 per mile of pipeline for the fiscal year starting on July 1.

(3) For master meter operators. The annual assessment shall be \$200.00.

(4) The minimum annual pipeline assessment owed by an operator is \$200.00.

# (c) Payment.

(1) For purposes of payment of assessment, an assessable year shall be the period of time from the first day of July of any given calendar year to the 30th of June of the following year.
 (2) All operators who must pay a pipeline assessment under the provisions of this Subchapter shall pay their pipeline assessment for any given assessable year on or before the 15th of August of each assessable year.

(3) Operators who purchase or acquire pipeline systems subject to a pipeline assessment are responsible for payment of the assessment.

(4) All payments of assessments shall be deposited by the Commission in the "Corporation Commission Revolving Fund".

[Source: Amended at 11 Ok Reg 3703, eff. 7-11-94; Amended at 14 Ok Reg 2496, eff. 7-1-97; Amended at 18 Ok Reg 2376, eff 7-1-01; Amended at 25 Ok Reg 1866, eff. 7-1-08; Amended at 28 Ok Reg 1125, eff. 7-1-11; Amended at 36 Ok Reg 546, eff. 8-1-19]

#### SUBCHAPTER 5. SAFETY REGULATIONS FOR GAS PIPELINES PART 1. GENERAL PROVISIONS

#### 165:20-5-1. Definitions [REVOKED]

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 19 Ok Reg 1965, eff 7-1-02; Revoked at 36 Ok Reg 547, eff. 8-1-19]

#### 165:20-5-2. Scope

(a) This Subchapter prescribes requirements for the reporting of incidents, safety related condition reports, and annual reports by operators of gas pipelines facilities subject to this Subchapter.(b) This Subchapter does not apply to onshore gathering of gas through a pipeline that operates at

less than 0 psig or through a pipeline that is not a regulated onshore gathering line as determined in 49 C.F.R. § 192.8.

[Source: Amended at 28 Ok Reg 1125, eff 7-1-11]

# 165:20-5-3. Annual reports and incident reports; federal reporting requirements [REVOKED]

[Source: Amended at 36 Ok Reg 547, eff 8-1-19; Revoked at 40 Ok Reg 1791, eff. 10-1-23]

#### 165:20-5-4. Change of ownership and/or operator

Each operator, upon finalization of an agreement to purchase or sell a gas pipeline subject to the provisions of 49 C.F.R. Part 192, must within five (5) working days submit to the Pipeline Safety Department a written notification of this agreement.

[Source: Added at 14 Ok Reg 2496, eff 7-1-97; Amended at 36 Ok Reg 547, eff. 8-1-19]

# PART 3. TELEPHONIC NOTICE AND REPORTING REQUIREMENTS

#### 165:20-5-11. Telephonic notice of certain incidents

(a) At the earliest practicable moment, but no more than one (1) hour following discovery, each operator shall give notice in accordance with (b) and (c) of this Section of each incident as defined in 49 C.F.R. § 191.3.

(b) Each notice required by (a) of this Section shall be made by telephone to the Pipeline Safety Department at 405-521-2258 or submitting a report online at <u>TRPipelinesafety@occ.ok.gov</u> and shall include the information as listed in (c) of this Section.

- (c) The following information will be provided:
  - (1) Names of operator and person making report and their telephone numbers.
  - (2) The location of the incident.

(3) The time of the incident.

(4) The number of fatalities and personal injuries, if any.

(5) All other significant facts known by the operator that are relevant to the cause of the incident or extent of the damage.

[Source: Amended at 11 Ok Reg 3703, eff 7-11-94; Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 19 Ok Reg 1965, eff 7-1-02; Amended at 36 Ok Reg 547, eff. 8-1-19; Amended at 40 Ok Reg 1791, eff. 10-1-23]

# 165:20-5-12. Address for written reports

Each written report required by this Subchapter must be made to the Pipeline Safety Department, Oklahoma Corporation Commission, in accordance with OAC 165:20-1-6 of this Chapter.

[Source: Amended at 19 Ok Reg 1965, eff 7-1-02]

#### 165:20-5-13. Distribution system reporting requirements

(a) Except as provided in (3) of this subsection, each operator of a distribution pipeline system shall submit United States Department of Transportation Form RSPA F 7100.1 as soon as practicable but not more than 30 days after detection of an incident required to be reported under 165:20-5-11.

(b) When additional, relevant information is obtained after the report is submitted under (1) of this subsection, the operator shall make supplementary reports as deemed necessary with a clear reference by date and subject to the original report.

(c) The incident report required by this subsection need not be submitted with respect to master meter systems.

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 19 Ok Reg 1965, eff 7-1-02; Amended at 38 Ok Reg 1747, eff 10-1-21]

#### 165:20-5-14. Transmission and gathering systems reporting requirements

(a) Except as provided in (2) of this subsection, each operator of a transmission or a gathering pipeline system shall submit United States Department of Transportation PHMSA Form F 7100.2 as soon as practicable but not more than thirty (30) days after detection of an incident.

(b) When additional, relevant information is obtained after the incident report is submitted under (a) of this subsection, the operator shall make supplementary reports as deemed necessary by referencing the date and subject of the original report.

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 19 Ok Reg 1965, eff 7-1-02; Amended at 28 Ok Reg 1125, eff 7-1-11; Amended at 36 Ok Reg 547, eff. 8-1-19; Amended at 38 Ok Reg 1747, eff 10-1-21; Amended at 40 Ok Reg 1791, eff 10-1-23]

# 165:20-5-15. Telephonic notice of an evacuation of a building [REVOKED]

[Source: Added at 14 Ok Reg 2496, eff 7-1-97; Revoked at 36 Ok Reg 548, eff. 8-1-19]

## 165:20-5-16. Reporting safety related conditions

Pursuant to 49 C.F.R. § 191.25, each operator shall submit to the Pipeline Safety Department a duplicate of any safety related condition report filed pursuant to 49 C.F.R. § 191.23.

[Source: Added at 28 Ok Reg 1125, eff 7-1-11]

## PART 5. MINIMUM SAFETY STANDARDS FOR GAS

#### 165:20-5-21. Adoption of federal safety regulations

The Commission adopts the provisions of 49 C.F.R. Parts 191 and 192, with all amendments and appendices thereto, subject to the following:

- (1) 49 C.F.R. § 192.1 is replaced by the following:
  - (A) This Part prescribes minimum safety requirements for intrastate pipeline facilities and the transportation of gas subject to the jurisdiction of the Commission.
  - (B) This Part shall not apply to:
    - (i) Interstate transmission facilities; and

(ii) Onshore gathering of gas through a pipeline that operates at less than 0 psig or through a pipeline that is not a regulated onshore gathering line as determined by 49 C.F.R. § 192.8.

- (2) The definition of "Administrator" and "State" are deleted and replaced as follows:
  - (A) All references to the "Administrator" are replaced with the "Commission".
  - (B) All references to the "State" refer to the State of Oklahoma.

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 28 Ok Reg 1125, eff 7-1-11; Amended at 34 Ok Reg 933, eff 9-11-17; Amended at 35 Ok Reg 983, eff 10-1-18; Amended at 36 Ok Reg 548, eff. 8-1-19; Amended at 37 OK Reg 1126, eff 10-1-20; Amended at 38 Ok Reg 1747, eff 10-1-21; Amended at 40 Ok Reg 1791, eff 10-1-23]

# PART 7. PIPELINE CONSTRUCTION AND CONSUMER SAFETY

#### 165:20-5-31. Notice of construction [RESERVED]

#### 165:20-5-32. Notice requirements for construction

(a) Each operator prior to the construction of a new pipeline, or a relocation or replacement of a pipeline, subject to the jurisdiction of the Commission as established by 165:20-5-2 shall:

(1) Complete and file Form 5001 with the Commission. Form 5001 shall be mailed seven (7) calendar days prior to the commencement of construction, relocation, or replacement; or (2) In the event of an emergency, give telephonic notice of emergency construction, relocation, or replacement to the Pipeline Safety Department of the Commission followed by mailing Form 5001 within five (5) work days after telephonic notice is given.

(b) The requirements of (a) of this Section shall not apply to the installation of a pipeline of less than one (1) mile.

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97]

#### 165:20-5-33. Special safety standards for residential service [RESERVED]

## 165:20-5-34. Restrictions on connections to consumers [REVOKED]

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Revoked at 36 Ok Reg 548, eff. 8-1-19]

# PART 9. MANDATORY PARTICIPATION IN OKLAHOMA ONE CALL

#### 165:20-5-41. Mandatory participation in Oklahoma One Call

(a) The Commission adopts the provisions of the Oklahoma Underground Facilities Damage Prevention Act, Title 63 O.S. §§ 142.1 through 142.13 as such exist on January 1, 2020.
(b) The Commission will enforce the provisions of Title 63 O.S. §§ 142.1 through 142.13 as such exist on January 1, 2020, against intrastate and interstate gas pipelines, as described in 49 C.F.R. Part 192.1.

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 35 Ok Reg 983, eff 10-1-18; Amended at 36 Ok Reg 548, eff. 8-1-19; Amended at 37 Ok Reg 1126, eff 10-1-20; Amended at 38 Ok Reg 1747, eff 10-1-21]

# SUBCHAPTER 6. SAFETY REGULATIONS FOR UNDERGROUND NATURAL GAS STORAGE FACILITIES

## 165:20-6-1. Adoption of federal safety regulations

The Commission adopts all of the provisions of 49 C.F.R. Parts 191 and 192, as such exist on January 1, 2020, with all amendments and appendices thereto, pertaining to Intrastate Underground Natural Gas Storage Facilities.

[Source: Added at 37 Ok Reg 1126, eff 10-1-20; Amended at 38 Ok Reg 1746, eff 10-1-21]

# SUBCHAPTER 7. SAFETY REGULATIONS FOR HAZARDOUS LIQUIDS

#### 165:20-7-1. Adoption of federal safety and reporting regulations

The Commission adopts the provisions of 49 C.F.R. Part 195, with all amendments and appendices thereto, subject to the following:

(1) 49 C.F.R. § 195.0 is replaced by the following: "This Part prescribes safety standards and accident reporting requirements for pipeline facilities used in the intrastate transportation of hazardous liquids subject to the jurisdiction of the Commission."

(2) 49 C.F.R. § 195.l(a) is replaced by the following: "Except as provided in paragraph (b) of 49 C.F.R. §195.l(b), this Part applies to pipeline facilities and the transportation of hazardous liquids associated with those facilities used in the intrastate transportation of hazardous liquids subject to the jurisdiction of the Commission."

(3) The definition of "Administrator" shall be deleted and all references to the "Administrator" are replaced with the "Commission".

(4) 49 C.F.R. § 195.52(b) is replaced by the following: "(b) Reports made under paragraph (a) of 49 C.F.R. § 195.52(a) are made by telephone to 405-521-2258 (Pipeline Safety Department

in Oklahoma City, OK) or submitting a report online at <u>TRPipelinesafety@occ.ok.gov</u> and 800-424-8802 (in Washington, D.C. 202-462-2675), and must include the following information:

(A) Name and address of the operator.

- (B) Name and telephone number of the reporter.
- (C) The location of the failure.
- (D) The time of the failure.
- (E) The fatalities and personal injuries, if any.

(F) All other significant facts known by the operator that are relevant to the cause of the failure or extent of the damages."

(5) 49 C.F.R. § 195.54(a) is replaced by the following: "Each carrier that experiences an accident that is required to be reported under this subpart, as soon as practicable but not later than thirty (30) days after discovery of the accident, shall prepare and file an accident report on DOT Form 7000-1, or a facsimile, with the Pipeline Safety Department, Oklahoma Corporation Commission, in accordance with OAC 165:20-1-6 of this Chapter, and the Information Resources Manager, Office of Pipeline Safety, Department of Transportation, Washington, D.C. 20590."

(6) 49 C.F.R. § 195.54(b) is replaced by the following: "Whenever an operator receives any changes in the information reported or additions to the original report on DOT Form 7000-1, the operator shall submit a supplemental report within thirty (30) days with the Pipeline Safety Department, Oklahoma Corporation Commission, in accordance with OAC 165:20-1-6 of this Chapter, and the Information Resources Manager, Office of Pipeline Safety, Department of Transportation, Washington, D.C. 20590."

[Source: Amended at 19 Ok Reg 1965, eff 7-1-02; Amended at 28 Ok Reg 1125, eff 7-1-11; Amended at 34 Ok Reg 933, eff 9-11-17; Amended at 35 Ok Reg 983, eff 10-1-18; Amended at 36 Ok Reg 548, eff. 8-1-19; Amended at 37 Ok Reg 1126, eff 10-1-20; Amended at 38 Ok Reg 1746, eff 10-1-21; Amended at 40 Ok Reg 1791, eff 10-1-23]

#### 165:20-7-2. Notice requirements for pipeline construction

(a) Except as provided in (b) of this Section, each operator prior to the construction of a new pipeline, or a relocation or replacement of a pipeline, subject to the jurisdiction of the Commission as established by 165:20-7-1(2), shall:

(1) Complete and file Form 5001 with the Commission. Form 5001 shall be mailed seven (7) calendar days prior to the commencement of construction, relocation or replacement; or

(2) In the event of an emergency, give telephonic notice of emergency construction, relocation, or replacement to the Pipeline Safety Department or the Commission, followed by mailing Form 5001 within five (5) work days after telephonic notice is given.

(b) The requirements of (a) of this Section shall not apply to the installation of a line of less than one (1) mile in length.

#### 165:20-7-3. Mandatory participation in Oklahoma One Call

(a) The Commission adopts the provisions of the Oklahoma Underground Facilities Damage Prevention Act, Title O.S. 63 O.S. §§ 142.1 through 142.13 as such exist on January 1, 2020.

(b) The Commission will enforce the provisions of Title 63 O.S. §§ 142.1 through 142.13<del>, as such exist on January 1, 2020.</del>, against intrastate and interstate hazardous liquid or carbon dioxide pipelines, as described in 49 C.F.R. Part 195.1.

[Source: Added at 10 Ok Reg 2615, eff. 6-25-93; Amended at 35 Ok Reg 983, eff 10-1-18; Amended at 36 Ok Reg 549, eff. 8-1-19; Amended at 37 Ok Reg 1126, eff 10-1-20; Amended at 38 Ok Reg 1746, eff 10-1-21]

#### 165:20-7-4. Change of ownership and/or operator

Each operator, upon finalization of an agreement to purchase or sell a hazardous liquid pipeline subject to the provisions of 49 C.F.R. Part 195, must within five (5) working days submit to the Pipeline Safety Department a written notification of this agreement.

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Amended at 36 Ok Reg 549, eff. 8-1-19]

## 165:20-7-5. Reporting safety related conditions

Each operator who is required to report a safety related condition as detailed in 49 C.F.R. § 195.55, shall file a duplicate written report as detailed in 49 C.F.R. § 195.56, with the Pipeline Safety Department.

[Source: Added at 28 Ok Reg 1125, eff 7-1-11]

# 165:20-7-6. Annual report [REVOKED]

[**Source**: Added at 28 Ok Reg 1125, eff 7-1-11; Amended at 36 Ok Reg 549, eff. 8-1-19; Revoked at 38 Ok Reg 1746, eff 10-1-21]

# SUBCHAPTER 9. RURAL GAS GATHERING AND HAZARDOUS LIQUID PIPELINES, AND FLOWLINES [REVOKED]

# 165:20-9-1. Definitions [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

# 165:20-9-2. Scope [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

# 165:20-9-3. General operating restrictions [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

#### 165:20-9-4. Minimum cover [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

## 165:20-9-5. Line markers [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

## 165:20-9-6. Notice of certain incidents [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

## 165:20-9-7. Notice of construction [REVOKED]

[Source: Revoked at 18 Ok Reg 2376, eff 7-1-01]

## 165:20-9-8. Change of ownership [REVOKED]

[Source: Amended at 14 Ok Reg 2496, eff 7-1-97; Revoked at 18 Ok Reg 2376, eff 7-1-01]

# SUBCHAPTER 10. NON-DOT REGULATED GAS GATHERING PIPELINES [REVOKED]

## 165:20-10-1. Authority [REVOKED]

[Source: Added at 28 Ok Reg 1125, eff 7-1-11; Revoked at 40 Ok Reg 1791, eff 10-1-23]

#### 165:20-10-2. Definitions [REVOKED]

[Source: Added at 28 Ok Reg 1125, eff 7-1-11; Revoked at 40 Ok Reg 1791, eff 10-1-23]

# 165:20-10-3. Telephonic notice of certain non-DOT gathering pipeline incidents [REVOKED]

[Source: Added at 28 Ok Reg 1125, eff 7-1-11; Revoked at 40 Ok Reg 1791, eff 10-1-23]

# 165:20-10-4. Non-DOT gathering pipeline incident reports [REVOKED]

[Source: Added at 28 Ok Reg 1125, eff 7-1-11; Revoked at 40 Ok Reg 1791, eff 10-1-23]

#### 165:20-10-5. Remedial action [REVOKED]

[Source: Added at 28 Ok Reg 1125, eff 7-1-11; Revoked at 40 Ok Reg 1791, eff 10-1-23]

#### 165:20-10-6. Record confidentiality [REVOKED]

[Source: Added at 28 Ok Reg 1125, eff 7-1-11; Revoked at 40 Ok Reg 1791, eff 10-1-23]

## SUBCHAPTER 11. DRUG TESTING

#### 165:20-11-1. Control of drug use in pipeline operations

The Commission adopts the provisions of 49 C.F.R. Part 199 with all amendments and appendices thereto.

[Source: Amended at 35 Ok Reg 983, eff 10-1-18; Amended at 37 Ok Reg 1126, eff 10-1-20; Amended at 38 Ok Reg 1746, eff 10-1-21]

#### 165:20-11-2. Reporting of anti-drug testing results

Each operator who is required to submit anti-drug testing results pursuant to 49 C.F.R. § 199.119 shall file a duplicate with the Pipeline Safety Department.

[Source: Added at 28 Ok Reg 1125, eff 7-1-11]

#### SUBCHAPTER 13. ENFORCEMENT

#### PART 1. GENERAL

#### 165:20-13-1. Scope and fines

This Subchapter prescribes the procedures utilized by the Oklahoma Corporation Commission in carrying out its responsibilities regarding pipeline safety under Title 52 O.S. § 5 and 52 O.S. §§ 47.1 through 47.8, Title 63 O.S. § 142.13 of the Oklahoma Underground Facilities Damage Prevention Act, Title 63 O.S. §§ 142.1 et seq., and is designed to utilize enforcement procedures already in place by hereby adopting the Commission's Rules of Practice, OAC 165:5, that are pertinent and necessary to carry out the enforcement of pipeline safety rules and regulations.

(1) For each violation of a Commission rule in Subchapters 5 and 10, the Commission may issue an order pursuant to Title 17 O.S. § 1 et seq., fining an operator up to the maximum amount provided by Title 17 O.S. § 6.1.

(2) For each violation of a Commission rule for hazardous liquid pipelines, the Commission may issue an order pursuant to Title 52 O.S. § 47.1 et seq., fining an operator up to the maximum amount provided by Title 52 O.S. § 47.6.

[Source: Amended at 10 Ok Reg 2615, eff 6-25-93; Amended at 28 Ok Reg 1125, eff 7-1-11; Amended at 34 Ok Reg 933, eff 9-11-17; Amended at 36 Ok Reg 545, eff. 8-1-19]

#### 165:20-13-2. Service

(a) Each notice of probable violation, warning letter, notice of hearing, order of the Commission, or other document required to be served under this Subchapter shall be served personally or by registered or certified mail to all respondents.

(b) Service upon a person's duly authorized representative or agent shall constitute service upon that person.

(c) Service by registered or certified mail is complete upon mailing. An official U.S. Postal Service receipt from the registered or certified mailing constitutes prima facie evidence of mailing.

[Source: Amended at 36 Ok Reg 545, eff. 8-1-19]

# 165:20-13-3. Subpoenas; witness fees

The issuance of subpoenas and payment of witness fees shall be in accordance with the provisions of the Commission's Rules of Practice, OAC 165:5-11-3.

# PART 3. PROCEDURE

## 165:20-13-11. General enforcement authority and sanctions

(a) This Subchapter describes the enforcement authority and sanctions exercised by the Oklahoma Corporation Commission Pipeline Safety Department for achieving and maintaining pipeline safety. It also prescribes the procedures governing the exercise of that authority and the imposition of those sanctions, all of which include and supplement the applicable Commission Rules of Practice, OAC 165:5.

(b) A person who is the subject of action pursuant to this Subchapter may be represented by legal counsel at all stages of the proceeding.

(c) If respondent does not submit a response prior to the hearing date or does not enter an appearance at the hearing, a confession of the allegations may be rendered in accordance with OAC 165:5-19-1(c) (5), and judgment may be rendered in accordance with OAC 165:5-19-1(f).

[Source: Amended at 36 Ok Reg 545, eff. 8-1-19]

# 165:20-13-12. Inspections and accident investigations

(a) The Pipeline Safety Department and its agents are authorized to inspect and examine the records and/or properties of any pipeline subject to the jurisdiction of the Commission, as established by 165:20-5.21, and 165:20-7-1, to determine the operator's compliance with this Chapter or orders issued thereunder.

(b) Inspections are ordinarily conducted pursuant to one of the following:

- (1) Routine scheduling by the Manager of Pipeline Safety.
- (2) A complaint received from a member of the public.
- (3) Information obtained from a previous inspection.
- (4) Pipeline incident or accident.
- (5) Whenever deemed appropriate by the Commission, Manager of Pipeline Safety, or his designee.

(c) If, after an inspection, the Manager of Pipeline Safety believes that further information is needed to determine appropriate action, the Manager of Pipeline Safety may send the owner or operator a "Request for Specific Information" to be answered within 30 days after receipt of the letter.

(d) To the extent necessary to carry out the responsibilities under this Chapter, the Manager of Pipeline Safety may require testing of portions of pipeline facilities that have been involved in, or affected by, an accident. However, before exercising this authority, the Manager of Pipeline Safety, shall make every effort to negotiate a mutually acceptable plan with the owner of those facilities and, where appropriate, the National Transportation Safety Board for performing the testing.

(e) If a representative of the Commission investigates an incident involving a pipeline facility, the Manager of Pipeline Safety may request that the operator make available to the representative all records and information that pertain to the incident in any way, including integrity management

plans and test results, and that the operator afford all reasonable assistance in the investigation.

(f) When the information obtained from an inspection or from other appropriate sources indicates that further Commission action is warranted, the Manager of Pipeline Safety may issue a notice of probable violation letter under 165:20-13-13.

[Source: Amended at 28 Ok Reg 1125, eff 7-1-11]

## 165:20-13-13. Notice of probable violations

(a) The Commission begins enforcement proceedings by serving a notice of probable violation of this Chapter or any regulation or order issued thereunder. This notification shall advise the operator that a written response is required and that failure to respond may result in enforcement action in accordance with 165:20-13-15.

(b) A notice of probable violation issued under this Section shall include:

(1) Statement of the provisions of the laws, regulations, or orders which the respondent is alleged to have violated and a statement of the evidence upon which the allegations are based. (2) National for a statement of the second statement of 1(5, 20, 12, 14)

(2) Notice of response options available to the respondent under 165:20-13-14.

## 165:20-13-14. Response options

Within thirty (30) days of receipt of a notice of probable violation, the respondent shall respond to the Manager of Pipeline Safety in the following ways:

(1) Indicate that the probable violation listed in the notice of probable violation is a violation of this Chapter and that it has been corrected. The response shall indicate how the violation was corrected.

(2) Indicate that the probable violation listed in the notice of probable violation is a violation of this Chapter and request a reasonable period of time to correct the violation. Each request for an extension of time to correct the violation must be accompanied by a detailed reason(s) as to why compliance cannot be accomplished by the original suspense date and the date the operator believes the necessary compliance actions can be completed.

(3) Object to the probable violation and submit written explanations, Information, or other material in answer to the allegations in the notice of probable violations.

(4) Request a conference under 165:20-13-16.

# 165:20-13-15. Warning letter, complaint, or contempt citation

(a) If after the expiration of the thirty (30) day response period prescribed in 165:20-13-14 the owner or operator of the probable violation has not responded to the notice of probable violation, the Manager of Pipeline Safety may issue a warning letter advising the owner or operator of the probable violation to correct the violations or be subject to further Commission enforcement action under (b) of this Section.

(b) The Commission legal staff may issue a complaint or contempt citation notifying the owner or operator of the probable violation and that a hearing has been set before the Commission to address the owner's or operator's violation of this Chapter, the prosecution of which shall be in accordance with the applicable Commission Rules of Practice, OAC 165:5.

(c) The severity of the probable violation or the conduct of the owner or operator in responding to the notice of probable violation as interpreted by the Commission, may be considered in determining the type of complaint or contempt citation issued by the Commission. These warning letters, complaints, and contempt citations shall be served as provided in 165:20-13-2.

# 165:20-13-16. Conference

(a) A request for conference in response to a notice of probable violation issued under 165:20-1313 must be accompanied by a statement of the issues which the respondent intends to raise at the conference. The issues may relate to the alleged violations, new information, or the required corrective action.

(b) The conference is conducted informally without strict adherence to the Commission's Rules of Practice, OAC 165:5. The respondent may submit any relevant information and materials on his behalf. He may also examine the complaints against him. No detailed record of the conference is prepared.

(c) At the outset of the conference, the complaints shall be presented by the Manager of Pipeline Safety or his designee. The respondent may examine and respond to or rebut the complaints.

(d) After the presentation of the complaints, the respondent may offer facts, statements, explanations, documents, testimony, or other items which are relevant to the issues under consideration.

(e) At the close of the respondent's presentation, the Manager of Pipeline Safety may present or allow the presentation of any Pipeline Safety Department rebuttal information. The respondent may then respond to that information.

(f) The respondent may also request an opportunity to submit further written material for inclusion in the case file. The Manager of Pipeline Safety shall allow a reasonable time for submission of the material and shall specify the date by which it must be submitted.

(g) After submission of all material during and after the conference, the Manager of Pipeline Safety shall determine if further Commission enforcement action is necessary.

# PART 5. MISCELLANEOUS PROVISIONS

# 165:20-13-21. Cooperation with federal authorities

The Pipeline Safety Department, the Commission legal staff and all other agents of the Commission shall cooperate with the United States Department of Transportation in the investigation and prosecution of any violation of 49 C.F.R. Parts 192 and 195 occurring within this State.

# 165:20-13-22. Administrative enforcement

Whenever the Manager of Pipeline Safety, after inspection, finds a pipeline facility which is subject to the jurisdiction of the Commission to be hazardous to life or property, he is authorized to require the operator operating such facility to take such corrective measures as are reasonably necessary to remove such hazards.

#### 165:20-13-23. Request for exception to rule or order

(a) An operator may request an exception to a requirement of an order or rule of the Commission. Such a request shall be made by application conforming to the requirements of the Commission Rules of Practice, OAC 165:5.

(b) After notice and hearing conforming to the requirements of the Commission's Rules of Practice, OAC 165:5, and the laws of this State, the Commission shall issue an order specifying such relief as it deems appropriate.

(c) Any Commission order that grants relief from the requirements of any rule as listed in 49

C.F.R. §§ 191, 192, or 195 shall be subject to the review and approval of the Office of Pipeline Safety in Washington D.C. The Commission, upon granting relief, shall submit request pursuant to the requirements of the Natural Gas Pipeline Safety Act of 1979, Section 3 (d).

(d) Neither the Pipeline Safety Department nor the Commission legal staff shall grant relief from the requirements of any rule of the Commission, unless so ordered by the Commission.

#### SUBCHAPTER 15. REGULATIONS FOR GRANTS TO AID STATE PIPELINE SAFETY PROGRAMS

#### 165:20-15-1. Regulations for grants to aid state pipeline safety programs

The Commission adopts the provisions of 49 C.F.R. Part 198, with all amendments and appendices thereto as such exist on January 1, 2019.

[Source: Added at 26 Ok Reg 1127, eff 7-1-09; Amended at 34 Ok Reg 933, eff 9-11-17; Amended at 35 Ok Reg 983, eff 10-1-18; Amended at 36 Ok Reg 545, eff. 8-1-19]

#### SUBCHAPTER 17. OBLIGATIONS UNDER THE OKLAHOMA UNDERGROUND FACILITIES DAMAGE PREVENTION ACT SUBJECT TO COMMISSION ENFORCEMENT

#### 165:20-17-1. Scope

(a) This Subchapter applies to Commission enforcement pursuant to 63 O.S. § 142.13 of the Oklahoma Underground Facilities Damage Prevention Act, 63 O.S. §§ 142.1 et seq., with respect to those facilities described by the currently effective definition of "pipeline" in 49 C.F.R. § 192.3 and "pipeline" and "pipeline system" in 49 C.F.R. § 195.2. This Subchapter does not apply to any other underground facility, except to the extent it may qualify as a "pipeline" or "pipeline system" under the referenced regulations.

(b) This Subchapter shall not be construed as limiting the Commission's authority to grant an exception, for good cause shown, to any rule contained in this Subchapter unless otherwise precluded by law.

(c) Nothing in this Subchapter shall be construed to modify or limit any private right of action arising under the Oklahoma Underground Facilities Damage Prevention Act and enforceable in the district courts of this State.

[Source: Added at 32 Ok Reg 779, eff 8-27-15]

#### 165:20-17-2. Definitions

In addition to terms defined in 63 O.S. § 142.2, the following words and terms, when used in this Subchapter, shall have the following meaning, unless the context clearly indicates otherwise:

"Act" means the Oklahoma Underground Facilities Damage Prevention Act, 63 O.S. §§ 142.1 et seq.

"Pipeline" means "pipeline" as defined in 49 C.F.R. § 192.3 and 49 C.F.R. § 195.2. "Pipeline system" means "pipeline system" as defined in 49 C.F.R. § 195.2. [Source: Added at 32 Ok Reg 779, eff 8-27-15]

#### 165:20-17-3. Statutory citation

Citations to the Act, 49 C.F.R. § 192.3 and 49 C.F.R. § 195.2 in this Subchapter refer to the most recent codifications of the Act and such sections of the Code of Federal Regulations.

[Source: Added at 32 Ok Reg 779, eff 8-27-15]

#### 165:20-17-4. Compliance with the Act required

(a) Compliance with the provisions of the Act applicable to a pipeline or pipeline system is required.

(b) The Commission may enforce any violation of the Act against any person to the extent:

(1) the violation occurs with respect to a pipeline or pipeline system; and

(2) the person against whom enforcement action is taken is subject to the provisions of the Act, including without limitation operators and excavators.

[Source: Added at 32 Ok Reg 779, eff 8-27-15]

#### 165:20-17-5. Emergencies

An excavator shall immediately call the local 911 emergency telephone number and report any incident that results in an unintentional and uncontrolled release of flammable, toxic or corrosive gas or liquid from a pipeline or pipeline system. In this context, intentional acts refer to operator maintenance or repairs and not vandalism or other similar acts.

[Source: Added at 32 Ok Reg 779, eff 8-27-15]

#### 165:20-17-6. Positive notification of size and material

In addition to the provisions of Title 63, O.S. § 142.6, each operator served with a notice in accordance with subsection A of Title 63, O.S. § 142.6, shall notify the excavator of the size and material of an active underground facility.

[Source: Added at 36 Ok Reg 545, eff. 8-1-19]

#### 165:20-17-7. Immediate notice of excavation damages caused by excavator

(a) At the earliest practicable moment following discovery, but no later than one hour after confirmed discovery, each operator must give notice in accordance with paragraph (b) of this section of excavation damage as defined in the Oklahoma Underground Facilities Damage Prevention Act (Title 63 § 142.2).

(b) Each notice required by paragraph (a) of the section must be made to the Commission's Pipeline Safety Department either by telephone to 405-521-2258 or electronically at TRPipelinesafety@occ.ok.gov and must submit the following information:

- (1) Names of operator and person making report and their telephone numbers.
- (2) The location of the excavation damage.

(3) The time operator confirmed the excavation damage.

(4) The number of fatalities and personal injuries, if any.

(5) Name, contact number, and address of party causing excavation damage, if known at the time of notification.

(6) All other significant facts that are known by the operator that are relevant to the cause of the excavation damage.

[Source: Added at 36 Ok Reg 550, eff. 8-1-19; Amended at 38 Ok Reg 1749, eff 10-1-21; Amended at 40 Ok Reg 1791, eff 10-1-23]

#### 165:20-17-8. Written report of all excavation damages.

(a) Each operator shall submit a semiannual damage summary report to the Commission's Pipeline Safety Department. The report shall contain the following information on all damage resulting from excavation activity:

- (1) Name and address of operator;
- (2) Name, contact number, and address of party causing excavation damage;
- (3) The type of excavator equipment;
- (4) The location in which the damage occurred;
- (5) The type of facility damaged;
- (6) The date of the damage(day, month and year);
- (7) The primary cause of the damage.

(b) The semiannual damage summary reports shall be due on March 1 and September 1. For semiannual damage summary reports due on September 1, the reporting period shall be from January 1 through June 30. For semiannual damage summary reports due on March 1, the reporting period shall be from July 1 through December 31.

(c) The report referenced in paragraph (b) can be submitted on a spreadsheet, single pages for each occurrence of excavation damage, or if the operator participates in the Common Ground Alliance's Damage Reporting Tool (DIRT) they may submit a copy of the report which reflects their data.

(d) If no damages have occurred during the reporting period, a report is still required indicating "No Damages Occurred".

(e) Reports can be submitted via TRPipelinesafety@occ.ok.gov.

(f) Should any of the information required in 165:20-17-8(a) not be available, the operator shall, prior to the next semiannual report, supply the missing data. An explanation of what actions were taken to secure the information must be supplied if after a good faith effort to secure the information remains unavailable.

[Source: Added at 36 Ok Reg 550, eff. 8-1-19; Amended at 38 Ok Reg 1746, eff 10-1-21]

# 165:20-17-9. Submitting a complaint about failure to follow the Oklahoma Underground Facilities Damage Prevention Act

Anyone can submit a complaint against an excavator or pipeline operator for failing to comply with any portion of the Oklahoma Underground Facilities Damage Prevention Act by calling the Commission's Pipeline Safety Department at 405-521-2258 or submit the complaint online at the http://www.occeweb.com/AspxForms/PipeLineForm.aspx. The complaint must include at a minimum the following information:

(1) First Name;

(2) Last Name;

(3) E-mail address;

(4) Contact Phone Number;

(5) Alternate Phone Number;

(6) Mailing address;

(7) City;

(8) State;

(9) Zip Code;

(10) Date of Complaint or excavation damage;

(11) Name of Pipeline Company or Excavating Company;

(12) Location of Complaint (Section, Township, Range, GPS Coordinates or Street Address).

[Source: Added at 36 Ok Reg 545, eff. 8-1-19]