

TITLE 690. BOARD OF EXAMINERS FOR SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

Editor's Note: Effective 7-1-98, the name of the Board of Examiners for Speech Pathology and Audiology was changed to the Board of Examiners for Speech- Language Pathology and Audiology. [Laws 1998, c. 202]

CHAPTER 1. ADMINISTRATIVE OPERATIONS

[Authority: 59 O.S., §§ 1610, 1613, 1619, and 1620; 75 O.S., §§ 302, 305, and 307]
[Source: Codified 12-31-91]

SUBCHAPTER 1. GENERAL PROVISIONS

690:1-1-1. Purpose

This Chapter and Chapter 5 of this Title have been adopted for the purpose of complying with the provision of the Administrative Procedures Act of the State of Oklahoma. The Board has adopted these rules and procedures to regulate persons offering speech-language pathology and audiology services to the public in order to safeguard the public health, safety and welfare.

[Source: Amended at 16 Ok Reg 1244, eff 5-13-99]

690:1-1-2. [RESERVED]

690:1-1-3. [RESERVED]

690:1-1-4. [RESERVED]

690:1-1-5. Application of the Act and Rules of the Board

The provisions of the Speech-Language Pathology and Audiology Licensing Act (59 O.S. 1601. et. seq., as amended) and the rules of this Title apply:

- (1) to all individuals representing themselves as speech-language pathologists or audiologists or using related titles as set forth in Section 1603 of the Act or who provide speech-language pathology or audiology services and who are not specifically exempted under Section 1604 of the Act;
- (2) to persons exempted from licensure under Section 1604 when those persons provide speech-language pathology or audiology services in circumstances other than those listed in Section 1604;
- (3) to OBESPA licensees regardless of whether they practice under circumstances exempt from licensure under Section 1604;
- (4) to all speech-language pathology applicants who are in the process of fulfilling the post-graduate supervised clinical work experience requirement and
- (5) to all individuals representing themselves as speech-language pathology or audiology assistants or who assist with the provision of speech-language pathology and audiology services and are not exempt from licensure pursuant to Section 1604.

[Source: Amended at 16 Ok Reg 1244, eff 5-13-99; Amended at 18 Ok Reg 1783, eff 5-25-01; Amended at 28 Ok Reg 2109, eff 7-11-11; Amended at 31 Ok Reg 2416, eff 9-12-14]

690:1-1-6. Effect of repeal, amendment or invalidity

The rules of the Title are severable and the repeal, amendment, or invalidity of any rule shall not serve to repeal or invalidate the remaining rules.

SUBCHAPTER 3. BOARD ORGANIZATION AND PROCEEDINGS

690:1-3-1. Board Meetings

The Board shall hold an annual meeting and at least three interim meetings and may hold special meetings called by the Chairperson or by a majority of its members. The Chairperson shall

designate the date, place, and time of each meeting of the Board.

[Source: Amended at 28 Ok Reg 2109, eff 7-11-11]

690:1-3-2. Office of the Board

The official office of the Board shall be located in Oklahoma City. All records of the Board shall be kept secure. Records may be obtained pursuant to the procedures set forth in the Oklahoma Open Records Act, 51 O.S. §§ 24A.1 - 29.

[Source: Amended at 28 Ok Reg 2109, eff 7-11-11]

690:1-3-3. Forms

The Board shall have such forms as are required for conduct of Board business.

[Source: Amended at 33 Ok Reg 1839, eff 9-11-16]

690:1-3-4. Monies and expenditures

The monies received by the Board shall be kept in the "Speech-Language Pathology and Audiology Licensing Fund". Expenditures from said fund shall be subject to the approval of the Board.

[Source: Amended at 18 Ok Reg 1783, eff 5-25-01]

690:1-3-5. Travel expenses

Each Board member shall receive actual necessary travel and subsistence expenses incidental to Board meetings and the conduct of official Board business.

690:1-3-6. Formal and informal procedures [REVOKED]

[Source: Revoked at 28 Ok Reg 2109, eff 7-11-11]

690:1-3-7. Complaints of violations of the Act

(a) **Filing of complaint.** Charges against individuals alleged to be practicing speech-language pathology or audiology unlawfully shall be in writing, shall be sworn to by the accuser, shall set forth in simple language the ground or grounds constituting the charges, and shall be filed with the Executive Secretary of the Board at the official office of the Board.

(b) **Investigation of complaint.** The Board shall undertake the investigation of complaints of violations of the law. The choice of investigative mode shall depend upon the circumstances of the case and the discretion of the Board.

[Source: Amended at 16 Ok Reg 1244, eff 5-13-99]

690:1-3-8. Requests to the Board

All requests for hearings, declaratory rulings, or for the adoption, amendment, or repeal of the rules or regulations of this Title shall be in the form of a petition.

(1) The petition shall be captioned as follows:

BEFORE THE OKLAHOMA BOARD OF EXAMINERS FOR SPEECH
PATHOLOGY AND AUDIOLOGY

IN RE (Nature of)
Proceeding, e.g.) No.
Request for Amendment) (to be completed by staff
of the rule) if no number is assigned
regarding) or known)

(2) The petition shall state the nature of the request and shall be signed by the party making the request or his agent or attorney.

[Source: Amended at 16 Ok Reg 1244, eff 5-13-99; Amended at 18 Ok Reg 1783, eff 5-25-01]

690:1-3-9. Distribution of regulations and procedures

The Board shall distribute to each licensee all regulations and procedures established by the Board. Such distribution shall occur by means of the Board web site which will maintain a printable version of all items required by law (59, O.S., Section 1617 as amended), a verbatim copy of the licensing act and all rules established by the Board. Copies of the licensure law and the rules will be available free upon request to licensees and the public.

[Source: Amended at 16 Ok Reg 1244, eff 5-13-99; Amended at 18 Ok Reg 1783, eff 5-25-01; Amended at 21 Ok Reg 1117, eff 5-13-04]

690:1-3-10. Officers of the Board

The Officers of the Board, as described in the Speech-Language Pathology and Audiology Licensing Act (59 O.S. 1601, et. Seq., as amended) shall be elected by the Board from among the members of the Board. The following officers shall be elected:

- (1) Chair
- (2) Vice-Chair
- (3) Secretary. The Secretary shall also serve as Treasurer.

[Source: Amended at 18 Ok Reg 1783, eff 5-25-01; Amended at 21 Ok Reg 1117, eff 5-13-04]

690:1-3-11. Executive Secretary and staff

The Board may retain an Executive Secretary and other office staff as required to carry out its functions. Such individual(s) shall serve at the discretion of the Board and their continued service shall be subject to its annual review. The Executive Secretary is considered the Chief Executive Officer or Agency Director for purposes of the salary requirements set forth in 74 O.S. § 3601.2 and the head of the agency for the purposes of unclassified positions as set forth in 74 O.S. § 840- 5.5.

[Source: Amended at 37 Ok Reg 2221, eff 9-11-20]

CHAPTER 5. RULES OF PRACTICE

[Authority: 59 O.S., § 1613; 75 O.S., § 302]

[Source: Codified 12-31-91]

SUBCHAPTER 1. APPLICATION OF RULES

690:5-1-1. Purpose

This Chapter is adopted for the purpose of simplifying procedure, avoiding unnecessary delays, saving expenses, and facilitating the administration of the Speech-Language Pathology and Audiology Licensing Board. To that end, the Sections of this Chapter shall be given fair and impartial consideration and shall be cumulative to the Administrative Procedures Act of the State of Oklahoma.

[Source: Amended at 18 Ok Reg 1785, eff 5-25-01]

690:5-1-2. [RESERVED]

690:5-1-3. Rules to govern formal proceedings

The rules of this Chapter shall govern all formal proceedings of the Board of Examiners for Speech-Language Pathology and Audiology.

[Source: Amended at 18 Ok Reg 1785, eff 5-25-01]

690:5-1-4. Informal proceedings

Informal proceedings may be held at the discretion of the Board upon written notice to the party whose matter is at issue.

690:5-1-5. Citation of rules [REVOKED]

[Source: Revoked at 16 Ok Reg 1246, eff 5-13-99]

SUBCHAPTER 3. PROCEEDINGS UPON DENIAL OF LICENSE [REVOKED]

690:5-3-1. Request for hearing [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

690:5-3-2. Submission of hearing request [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

690:5-3-3. Scheduling of hearing [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

690:5-3-4. Notice of hearing [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

690:5-3-5. Rights of aggrieved party at hearing [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

690:5-3-6. Order of Board affirming its decision [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

690:5-3-7. Action to annul or vacate Board's order [REVOKED]

[Source: Revoked at 28 Ok Reg 2111, eff 7-11-11]

SUBCHAPTER 5. INDIVIDUAL PROCEEDINGS

690:5-5-1. Notice of proposed hearing

Whenever the Board chooses to exercise its statutory authority under Section 1619 of the Speech-Language Pathology and Audiology Licensing Act to conduct a hearing to determine whether suspension or revocation of a license would be ordered or a reprimand issued, the Board shall give at least thirty (30) calendar days written notice of such hearing to the licensee against whom the proceeding is directed. The Notice of the Hearing shall be served on the licensee either personally or by certified or registered mail and shall include the following information:

- (1) the time, place, and nature of the hearing;
- (2) the legal authority and jurisdiction under which the hearing is being conducted;
- (3) the ground or grounds constituting or forming the basis of the charge(s) against the licensee, including reference to the particular section(s) of the statute and/or rule involved.
- (4) information regarding the licensee's right to legal counsel

[Source: Amended at 18 Ok Reg 1785, eff 5-25-01; Amended at 21 Ok Reg 1118, eff 5-13-04; Amended at 28 Ok Reg 2111, eff 7-11-11; Amended at 33 Ok Reg 1840, eff 9-11-16]

690:5-5-2. Continuance of hearing

If, after due receipt of notice of hearing, the licensee shall be unable to appear for good cause shown, a continuance of the hearing may be granted by the Board. The time allowed shall be discretionary with the Board but shall not be less than two (2) weeks from the originally scheduled date of the hearing. Any further continuances and the time allowed shall be discretionary with the Board.

690:5-5-3. Subpoena powers and administering of oaths

The Board, through its Chairperson or Vice Chairperson, may administer oaths and may compel the attendance of witnesses and the production of physical evidence before it from witnesses upon whom process is served anywhere within the state, as in civil cases in the district court, by subpoena issued over the signature of the Chairperson or Vice Chairperson and the seal of the Board. Upon request of the licensee and statement under oath that the testimony or evidence is reasonably necessary to his defense, the Board shall use this subpoena power in behalf of the licensee.

690:5-5-4. Rights of licensee at hearing

The licensee shall at all times have the right to counsel provided that such counsel be duly licensed to practice law in the State of Oklahoma. Such counsel shall have the right to appear and represent the licensee at the hearing. Such counsel shall be provided at the expense of the licensee.

690:5-5-5. Presentation of evidence

The licensee shall be entitled to be heard at the hearing either in person or by counsel and may produce testimony or testify in his own behalf. The licensee shall be entitled to present evidence and argument on all issues involved in the hearing and may conduct cross-examination required for a full and true disclosure of the facts.

690:5-5-6. Record of the hearing to be preserved

An accurate and complete record of the hearing shall be taken and preserved by the Board which shall include all pleadings, complaints, notices, motions, and intermediate rulings: all evidence including documentary evidence received or considered by the Board; questions and offers of proof, objections and the ruling thereon: a record of all oral proceedings; and a copy of the final order of the Board.

690:5-5-7. Transcription of oral proceedings

Oral proceedings shall be preserved by qualified reporter or tape recorder. The record of the proceedings or any part thereof may be transcribed upon request of the licensee and at his own expense. The licensee shall have the right to have the proceedings preserved by court reporter or other means of recordation of his own employ and at his own expense: however, this will not relieve the Board of its duty to preserve the record as required by law.

690:5-5-8. Order of Board for imposition of disciplinary action

If the licensee confesses guilt or, if upon hearing, the Board finds the charges against the licensee to be true, the Board shall issue an order suspending or revoking the license, reprimanding the licensee, and/or impose any other sanctions provided for by the Speech-Language Pathology and Audiology Licensing Act as the case may be. The order shall be in writing and contain complete findings of fact and conclusions of law separately stated. A copy of the order shall be sent to the licensee.

[Source: Amended at 15 Ok Reg 4233, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1246, eff 5-13-99; Amended at 18 Ok Reg 1785, eff 5-25-01]

690:5-5-9. Action to annul or vacate Board's order

If the licensee feels aggrieved by reason of the Board's order suspending or revoking his license, reprimanding said licensee, or imposing any other sanctions provided for by the Speech-Language Pathology and Audiology Licensing Act, the licensee shall have the right to file suit in the District Court of Oklahoma County or in the district court of the county of his residence, provided that such residence is within the State of Oklahoma, to annul or vacate the action or order of the Board. Such suit shall be initiated by filing a petition in the district court within thirty (30) days after the licensee receives notice of the Board's final decision. Process shall be served upon the Executive Secretary or Chairperson of the Board as in regular civil cases.

[Source: Amended at 15 Ok Reg 4233, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1246, eff 5-13-99; Amended at 18 Ok Reg 1785, eff 5-25-01]

690:5-5-10. Rules for hearings

The Board shall conduct all hearings in accordance with the provisions of the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1601, et. seq., as amended, and the Administrative Procedures Act of the State of Oklahoma.

[Source: Amended at 18 Ok Reg 1785, eff 5-25-01]

SUBCHAPTER 7. PROCEDURES IN INDIVIDUAL PROCEEDINGS

690:5-7-1. Filing of petition for relief against named person

In each individual proceeding, there shall be filed with the Board of Examiners for Speech-Language Pathology and Audiology a petition containing a reference to the statutes and/or rules involved, a brief statement of acts giving a right to relief, and a statement as to the type of relief requested. Each petition shall indicate the name and address of the person against whom relief is sought and shall be signed by the complainant.

[Source: Amended at 18 Ok Reg 1785, eff 5-25-01]

690:5-7-2. Notice of filing of petition to person named

The Chairperson of the Board, or his designee, shall notify the person named in the petition of the filing of the petition, and the date, time, and place for the hearing. The notice shall comply with the requirements of the Administrative Procedures Act and may incorporate by reference material alleged in the petition if a copy of the petition is attached to the notice.

690:5-7-3. Service of notices and other papers

All notices or other papers requiring service in an individual proceeding shall, unless otherwise provided by statute, be served in one of the following manners:

- (1) personal service by a person appointed by the Board to make such service, in the manner authorized by laws of this State for the service of summons or other process in the State Courts: or
- (2) by certified mail forwarded by the Board or its designee, at the exact location that the person can be served such notice.
- (3) if the personal service or service by mail cannot be made after the exercise of all due diligence to learn the whereabouts or mailing address of any person to be served, then due notice may be given by publication in such newspapers as are determined by the Board to be appropriate.

690:5-7-4. Service by mail or publication

Service of notice shall be complete upon receipt of certified mail by the addressee or upon the first posting of publication of the notice.

690:5-7-5. Setting of hearing and hearing continuance

The time set for a hearing as specified in the notice shall ordinarily not be less than thirty (30) calendar days after service of notice. A motion for an extension of time or for a continuance of the hearing to another date or time shall be in writing and shall be filed with the Board or its designated hearing officer. Any such motion for an extension or continuance shall state the reason(s) for the request and specify the additional time requested. The Board or its designated hearing officer shall act promptly upon such motion and shall grant or deny such request in the exercise of sound discretion. If the motion is denied, a party may renew his request orally or at a hearing.

[Source: Amended at 28 Ok Reg 2111, eff 7-11-11; Amended at 31 Ok Reg 2417, eff 9-12-14]

690:5-7-6. Subpoena powers

Subpoenas requiring the attendance of witnesses, requiring information to be furnished to the hearing officer, and/or for the production of evidence shall be issued by the Board or its designee upon written request. Hearings pursuant to such a request, when granted, shall be limited to the issues upon which the reconsideration, reopening or rehearing was granted.

SUBCHAPTER 9. PROCEEDINGS FOR ADOPTION, AMENDMENT, AND REPEAL OF RULES

690:5-9-1. Authority to promulgate, amend or repeal rules

The Board may promulgate, amend, or repeal a rule of its own initiative, and may promulgate, amend, or repeal a rule in compliance with the Oklahoma Statutes.

690:5-9-2. Petition for promulgation, amendment or repeal

Any interested person may petition the Board, requesting the promulgation, amendment, or repeal of a rule. All such petitions shall be in writing, and filed with the Executive Secretary of the Board, at the principal office. The petition shall state, clearly and concisely, all matters pertaining to the requested action and the reasons for the requests. The requests must also state whether there is someone known to the petitioner who is concerned with the subject of the request and should be notified of the hearing.

[Source: Amended at 28 Ok Reg 2111, eff 7-11-11]

690:5-9-3. Consideration of petition by the Board

Petitions concerning rules will be considered by the Board at its next regularly scheduled meeting or at a special meeting. The Board may, at its discretion, postpone the discussion and ruling on the petition until the next regular or special meeting and shall notify all parties of such postponement. Upon hearing the petition, the Board will notify the petitioner within twenty (20) days whether the Board will consider rule-making action.

690:5-9-4. Hearings on Board's own motion

The Board may conduct hearings on proposed rules on its own motion.

690:5-9-5. Appearances at hearings on proposed rules

Any person who is interested or affected by a proposed action may appear at the hearing. An appearance may be made individually, by an attorney, or by an authorized agent.

690:5-9-6. Submission of evidence and argument on proposed rules

Prior to the adoption, amendment, or repeal of a rule the Board shall afford an interested person a reasonable opportunity to submit data, views, and arguments, either oral or written, concerning such proposed action. If the rule under consideration is one which affects the substantive right of any person, the Board upon written request shall grant any person or association a reasonable opportunity to submit data, views, and arguments, either oral or written, concerning such proposed action. Oral argument on such rule shall also be granted when requested by a subdivision or an agency of government. If no substantive rights of the person, association, or governmental entity are affected by or involved in the rule to be considered, the Board may, at its discretion, refuse to hear oral argument and require such matters to be submitted in writing. The Board, in the exercise of its sound discretion shall determine whether the proposed action affects any such substantive rights.

[Source: Amended at 31 Ok Reg 2417, eff 9-12-14]

SUBCHAPTER 11. ADMINISTRATIVE REVIEW BY THE BOARD

690:5-11-1. Procedure for administrative review by the Board

When administrative review by the Board of an Order of the Board is required or provided by statute as an administrative remedy, the following procedure shall apply:

- (1) The person aggrieved by such order shall file a petition requesting review of the order within thirty (30) days of the date on which the order of the Board was issued. This is jurisdictional.
- (2) The Secretary of the Board shall make available to the Board the record of proceeding, the findings of fact and conclusions of law, and the order entered in the proceeding.
- (3) At the next regularly scheduled meeting, the Board, without further hearing on the matter, shall review the record of the proceedings.
- (4) Upon review of the record, the Board may adopt, amend, or reject any conclusion or finding made, and may, at its discretion, remand the proceeding to allow additional argument or the introduction of additional evidence at the hearing.

SUBCHAPTER 13. REQUESTS FOR DECLARATORY RULINGS

690:5-13-1. Filing of petition for declaratory ruling

All requests for declaratory rulings as to the applicability of any rule or order of the Board shall be made by filing a petition with the Board requesting such ruling.

690:5-13-2. Contents of petition for declaratory ruling

The petition shall identify the rule or order questioned, the date on which such rule or order became effective, and shall set forth the contents of the rule or order. The petition shall include a brief statement of the issue or issues raised by the rule or order which caused such request to be made, and a statement as to the petitioner's personal interest in the ruling of the Board and how a ruling by the Board will affect that interest.

690:5-13-3. Consideration by Board

Upon receipt of a petition requesting such declaratory ruling, the Board shall consider the petition and respond to the request at its next regularly scheduled Board meeting subsequent to the filing of the petition.

690:5-13-4. Presentation and argument

The Board may entertain oral presentation or argument on the request of the petitioner and, on its own motion, may request such argument or presentation.

690:5-13-5. Decision of the Board

On considering the petition, the Board, in its sound discretion, may refuse to issue a declaratory ruling, may decide the issue and determine the validity of the rule or order or the applicability to the petitioner of the rule or order.

690:5-13-6. Appeals from declaratory rulings

Appeals from declaratory rulings of the Board may be taken in a manner consistent with the State's Administrative Procedures Act.

CHAPTER 10. LICENSURE AND FEES

[Authority: 59 O.S., §§ 1610, 1613, 1615.1, and 1616]

[Source: Codified 12-31-91]

SUBCHAPTER 1. GENERAL PROVISIONS

690:10-1-1. Purpose

This Chapter has been adopted for the purpose of protecting the public from the unauthorized practice of Speech-Language Pathology or Audiology in the State of Oklahoma by limiting such practice to those persons who meet the requirements for such licensure or authorization to practice.

[Source: Amended at 18 Ok Reg 1786, eff 5-25-01]

690:10-1-2. [RESERVED]

690:10-1-3. Effect of licensure

(a) Licenses considered general. The license shall be considered a generic (general) license in speech-language pathology or audiology and shall be issued to each applicant found to meet the requirements for licensure established by the Board.

(b) Area of practice limited. An individual shall practice only in the area(s) (speech- language pathology and/or audiology) in which he is authorized by the Board.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99]

SUBCHAPTER 3. LICENSURE OF SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS

690:10-3-1. Application for licensure as a speech-language pathologist and/or audiologist

Application for licensure as a speech-language pathologist and/or audiologist should be made only after completion of the requirements for license as defined in 59 O.S., Section 1605, as amended, and in this Chapter. Persons desiring licensure may obtain the official application form for such purpose from the Executive Secretary. The application and all necessary papers must be filed with the Executive Secretary at the official office of the Board. The application must be accompanied by eighty-five dollars (\$85.00) in the form of an electronic payment, check, cashier's check or money order. If, after evaluation, the applicant is not found eligible for licensure, or for licensure without examination, the eighty-five dollars (\$85.00) fee shall be forfeited. A minimum of three (3) and a maximum of five (5) references from speech-language pathologists or audiologists who are licensed or hold ASHA certification or equivalent credentials shall be required in support of each applicant. Such references must be from individuals in the same professional area (speech-language pathology or audiology) in which the applicant seeks a license. Board members shall not serve as reference for applicants. A national criminal background check will be completed on each applicant. Each applicant must complete the citizenship affidavit that is included in the application packet.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11]

690:10-3-2. Separate applications for speech-language pathology and audiology

Separate applications shall be required of an applicant for licenses in both speech-language pathology and audiology.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99]

690:10-3-3. Criteria considered for licensure

(a) The Board shall consider on an individual basis the request of each applicant for licensure under Section 1605 of the Speech-Language Pathology and Audiology Act, 59 O.S. 1605, as amended, using the criteria contained in the following Sections of these rules. Written notification from the chair or program director of an academic institution accredited by the Council on Academic Accreditation, or equivalent accrediting agency as determined by the Board, verifying that applicant attended the academic institution and completed the academic course work requirement and clinical practicum requirement, shall serve as presumptive proof of completion of the requirements. The Board retains the power to determine whether applicant has completed all requirements.

(b) Licensing of Military Service Members and Spouses

(1) The Board shall consider the equivalent education, training and experience completed by an applicant while the applicant was a member of the United States Armed Forces or Reserves, National Guard of any state, the Military Reserves of any state, or the Naval militias of any state, and apply it in the manner most favorable toward satisfying the qualifications for licensure.

(2) To determine whether education, training and experience completed by an applicant while the applicant was a member of the military as described in the preceding paragraph, the Board may consider, but is not limited to, determinations made by institutions of higher education based on the Guide to the Evaluation of Educational Experiences in the Armed Services, published by the American Council on Education.

(c) Criminal history.

(1) The Board shall maintain and make available to the public a list of criminal offenses that would disqualify an individual from obtaining or holding a license. The list shall be reviewed annually, and updated, if necessary, by the Board.

(2) A person with a criminal history record may at any time, including before obtaining any required education or training, request an initial determination of whether the person's criminal history would potentially disqualify the person from obtaining a license. The request must be in writing and include the applicable fee set forth in the Board's fee schedule. The request shall include either a copy of the person's criminal history record with explanation of each

conviction mentioned in the criminal history record or a statement describing each criminal conviction, including the date of each conviction, the court of jurisdiction and the sentence imposed. The person may include a statement with his or her request describing additional information for consideration by the Board including, but not limited to, information about his or her current circumstances, the length of time since conviction, what has changed since the conviction, evidence of rehabilitation, testimonials or personal reference statements.

(3)The Board shall issue an initial determination within sixty days of receipt of the items described in subsection (2) of this section. If the Board determines that the criminal history is disqualifying, the determination shall include the following:

- (A) the crime that is disqualifying and the length of time for which it is disqualifying;
- (B) any remedial action the applicant can take to become qualified;
- (C) the earliest date the person may submit another request for consideration; and
- (D) A statement that the notice of initial determination is only an initial determination for eligibility for licensure or certification based upon the information provided by the requestor.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 37 Ok Reg 2222, eff 9-11-20]

690:10-3-4. Academic requirement

(a) Degree requirement.

- (1) Each speech-language pathology applicant shall hold not less than a master's degree, or its equivalent, with a major emphasis in speech- language pathology from an accredited academic institution offering a graduate program in speech-language pathology.
- (2) Each audiology applicant shall hold not less than a post-baccalaureate residential professional doctor of audiology degree (Au.D) from a regionally accredited academic institution, a post-masters distance education professional doctor of audiology degree (Au.D.) from a regionally accredited academic institution, a Doctor of Philosophy degree (Ph.D.) with emphasis in audiology from a regionally accredited academic institution, or its equivalent as determined by the Board.

(b) Academic transcripts.

(1) Each speech-language pathology applicant seeking licensure shall submit a bona fide official academic transcript(s) and verification of (Academic Preparation and Practicum Form - Verification for Licensure Application) completion of at least 75 semester credit hours from one or more accredited colleges or universities that reflect a well-integrated program of study dealing with (a) the biological/physical sciences and mathematics, (b) the behavioral and/or social sciences, including normal aspects of human behavior and communication, and the nature, prevention, evaluation, and treatment of speech, language, hearing, and related disorders. Some course work must address issues pertaining to normal and abnormal human development and behavior across the life span and to culturally diverse populations. At least twenty-seven (27) of the 75 semester credit hours must be in Basic Science Course Work. At least thirty-six (36) of the 75 semester credit hours must be in Professional Course Work at the Graduate Level.

- (A) **Basic Science Course Work.** Applicants must earn at least twenty-seven (27) credit hours in the basic sciences. At least six (6) semester credit hours must be in the biological/physical sciences and mathematics. At least six (6) semester hours must be in the behavioral and/social sciences. At least fifteen (15) semester credit hours must be in the basic human communication processes, to include course work in each of the following three areas of speech, language, and hearing: the anatomic and physiologic bases, the physical and psychophysical bases, and the linguistic, psycholinguistic and cultural aspects.
- (B) **Professional Course Work.** Applicants must earn at least thirty-six (36) semester credit hours in graduate or professional courses that concern the nature, prevention, evaluation, and treatment of speech, language and hearing disorders. Those thirty- six (36) semester credit hours must encompass courses in speech, language, and hearing that concern disorders primarily affecting children as well

as disorders primarily affecting adults.

(2) Specific knowledge must be demonstrated in the following areas:

- (A) articulation
- (B) fluency
- (C) voice and resonance, including respiration and phonation
- (D) receptive and expressive language (phonology, morphology, syntax, semantics, and pragmatics) in speaking, listening, reading, writing, and manual modalities
- (E) hearing, including the impact on speech and language
- (F) swallowing (oral, pharyngeal, esophageal, and related functions, including oral functions for feeding; orofacial myofunction)
- (G) cognitive aspects of communication (attention, memory, sequencing, problem-solving, executive functioning)
- (H) social aspects of communication (including challenging behavior, ineffective social skills, lack of communication opportunities);
- (I) communication modalities (including oral, manual, augmentative, and alternative communication techniques and assistive technologies)

(3) Waiver of transcript. The Board shall waive the transcript requirement and grant a license to any applicant for licensure as a speech-language pathologist who holds the Certificate of Clinical Competence of the American Speech-Language-Hearing Association or its current equivalent, provided that the current requirements for such certification are equivalent to or greater than those for licensure under the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1601, et. seq., as amended. Evidence of such certification shall be received by the Board directly from the American Speech-Language-Hearing Association. All fees associated with obtaining such evidence shall be borne by the applicant.

(4) Each audiology applicant seeking licensure shall submit a bona fide official academic transcript(s) and verification of (Academic Preparation and Practicum Form -Verification for Licensure Application) completion of at least 75 semester credit hours in graduate or professional courses from one or more accredited colleges or universities that reflect a well-integrated program of study dealing with the practice of audiology. Specific knowledge must be demonstrated in the following areas:

- (A) identification, diagnosis, assessment, measurement, testing, appraisal and evaluation related to hearing, vestibular function, equilibrium, balance and fall prevention;
- (B) prevention, treatment, intervention, management and counseling related to hearing, vestibular function, equilibrium, balance and fall prevention, and associated neural systems;
- (C) identification, evaluation and treatment of any abnormal condition related to auditory sensitivity, acuity, tinnitus, function or processing, speech, language or other aberrant behavior resulting from hearing loss;
- (D) designing, and implementing aural habilitation and rehabilitation or other related programs for the amelioration of disorders of hearing, vestibular function, equilibrium, balance, and fall prevention and associated neural systems;
- (E) measurement and interpretation of sensory and motor evoked potentials, electromyography, and other electrodiagnostic tests for purposes of neurophysiologic intraoperative monitoring of central nervous system, spinal cord and cranial nerve function.

(5) Waiver of transcript. The Board shall waive the transcript requirement and grant a license to any applicant for licensure as an audiologist who holds the Certificate of Clinical Competence of the American Speech- Language-Hearing Association or its current equivalent, provided that the current requirements for such certification are equivalent to or greater than those for licensure under the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1601, et. seq., as amended. Evidence of such certification shall be received by the Board directly from the American Speech-Language-Hearing Association or its current equivalent. All fees associated with obtaining such evidence shall be borne by the

applicant.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 3116, eff 7-6-04 (emergency); Amended at 22 Ok Reg 1508, eff 6-11-05; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 33 Ok Reg 1841, eff 9-11-16]

690:10-3-5. Supervised clinical practicum requirement

(a) Speech-language pathology applicants must submit evidence of satisfactory completion of four hundred (400) clock hours of supervised clinical practicum, of which at least 375 must be in direct client/patient contact and 25 in clinical observation that are provided by the educational institution or by one of its cooperating programs. At least 325 of the 400 clock hours must be completed while the applicant is engaged in graduate study in a program accredited in speech language pathology by the Council on Academic Accreditation in Audiology and Speech-Language Pathology. Each speech-language pathology applicant seeking licensure from a non-accredited program shall submit a bona fide official academic transcript(s) and verification of clinical practicum hours.

(b) With the exception of post-masters professional degree (Au.D) applicants and applicants with a Ph.D. with a major emphasis in audiology, audiology applicants must demonstrate completion of a minimum of (1820) supervised clinical hours in audiology through clinical practicum, clinical rotations, or externships during their professional or graduate doctoral degree program. Audiology applicants with a post-masters distance education professional degree (Au.D.) and applicants with a Ph.D. with a major emphasis in audiology must provide evidence of a minimum of (350) supervised clinical hours obtained in audiology through clinical rotations, or externships during their masters or Ph.D. degree program. In addition, audiology applicants with a post-masters distance education professional degree (Au.D.) or a Ph.D. with a major emphasis in audiology must provide evidence of a completed clinical experience of no less than nine (9) months of successful full-time, paid clinical experience in audiology, obtained under the supervision of one or more independent practitioners licensed under the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1601, et. seq., as amended or of one or more persons whose education and experience are the equivalent of an independent practitioner. The supervisor of clinical experience must be eligible for licensure as an audiologist. This supervision must entail the personal and direct involvement of the supervisor in any and all ways that will permit him to evaluate the applicant's performance in professional clinical employment and must include direct observation. The applicant and his supervisor must list and describe the methods of supervision employed. Specific information should be given regarding the professional activity supervised, the number of supervisory contacts per month, and the length of each supervisory contact. The supervisor shall base his total evaluation on no less than thirty-six (36) supervisory visits. This experience must follow completion of the requirements of 690:10-3-3 and 690:10-3-6. "Full-time" is defined as at least thirty (30) hours per week; the nine (9) months of full time paid experience must be obtained within a period of twenty-four consecutive months. This requirement may also be fulfilled by eighteen (18) months of half time paid experience of at least fifteen (15) hours per week which must be completed within a period of thirty-six (36) consecutive months. All clinical practicum, clinical rotations or externships adduced to satisfy this requirement must have been obtained under the supervision of an individual eligible for licensure as a speech-language pathologist or audiologist under the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1601, et. seq., as amended, and this Chapter. The supervisor of clinical practicum, clinical rotations or externships must be eligible for licensure in the professional area (speech-language pathology or audiology) in which the supervision is provided.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 3116, eff 7-6-04 (emergency); Amended at 22 Ok Reg 1508, eff 6-11-05; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended at 34 Ok Reg 2031, eff 9-11-17]

690:10-3-6. Clinical fellowship requirement

(a) Clinical fellowship form.

(1) Each speech-language pathology applicant shall submit evidence (Clinical Fellowship Form) of no less than thirty-six (36) weeks of successful, full time, paid, clinical fellowship obtained under the supervision of one or more practitioners who meet the requirements for supervision of clinical fellows set forth in subchapter five of this chapter. This supervision must entail the personal and direct involvement of the supervisor in any and all ways that

will permit the supervisor to evaluate the applicant's performance in professional clinical employment and must include direct observation. The applicant and the supervisor must list and describe the methods of supervision employed. Specific information should be given regarding the professional activity supervised, the number of supervisory contacts per month, and the length of each supervisory contact. The supervisor shall base the total evaluation on no less than thirty-six (36) supervisory visits. This fellowship must follow completion of the requirements of 690:10-3-3 and 690:10-3-6. "Full-time" is defined as at least thirty (30) hours per week; the thirty-six (36) weeks of full time paid fellowship must be obtained within a period of twenty-four consecutive months. This requirement may also be fulfilled by eighteen (18) months of half time paid fellowship of at least fifteen (15) hours per week, which must be completed within a period of thirty-six (36) consecutive months.

(2) With the exception of audiology applicants with a post-masters distance education professional degree (Au.D.) or applicants with a Ph.D. with a major emphasis in audiology, each audiology applicant will be required to present to the Board a copy of an Au.D. degree diploma, or its equivalent, from an accredited academic institution in order to demonstrate completion of the clinical rotation or externship requirement.

(b) **Waiver of clinical fellowship requirement.** The Board shall waive the clinical fellowship requirement and grant a license to any applicant who holds the Certificate of Clinical Competence of the American Speech-Language-Hearing Association or its current equivalent in the area for which he is applying for licensure, provided that the current requirements for such certification are equivalent to or greater than those for licensure under the Speech-Language- Pathology and Audiology Licensing Act, 59 O.S. 1601 et. Seq., as amended. The current requirements for the Certificate of Clinical Competence of the American Speech-Language-Hearing Association are deemed the equivalent of those for licensure under the Act. Evidence of such certification shall be received by the Board directly from the American Speech-Language-Hearing Association. All fees associated with obtaining such evidence shall be borne by the applicant.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 3116, eff 7-6-04 (emergency); Amended at 22 Ok Reg 1508, eff 6-11-05; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 36 Ok Reg 1064, eff 7-25-19]

690:10-3-7. Examination requirement and exceptions

(a) **Examination.** All applicants for licensure as a speech-language pathologist and/or audiologist must present evidence of successful completion of the examination approved by the Board. The examination must be passed within two (2) years after board approval of the applicant's first application for a license as a clinical fellow. Failure to pass the examination within this time period shall result in revocation of authorization to practice as a clinical fellow under supervision as defined in Subchapter 5 of this Chapter.

(1) The Board designates as its approved examinations the most current versions of the Educational Testing Service (ETS) Praxis II Audiology Exam and the Praxis II Speech-Language Pathology Exam, or any other national examination recognized by the Board to have similar standards equal to or higher than the ETS Praxis II exams. It shall be the responsibility of the applicant to assure that the testing vendor forwards the examination score to the Board.

(2) An applicant who fails an examination may retake it upon payment of another examination fee to the testing vendor and at any time the testing vendor regularly administers the ETS Praxis II Audiology and Speech- Language Pathology exams. Arrangements and fees are the responsibility of the applicant.

(3) Exceptions to the two year requirement may be granted by the Board under extenuating circumstances.

(b) **Waiver of examination.** The Board shall waive the examination and grant a license to any applicant who holds the Certification of Competence of the American Speech-Language-Hearing Association or its current equivalent in the area for which he is applying for licensure, provided that the current requirements for such certification are equivalent to or greater than those for licensure under the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1601, et. seq., as amended. The current requirements for the Certification of Clinical Competence of the American Speech-Language-Hearing Association are deemed the equivalent of those for licensure under the Act. Evidence of such certification shall be received by the Board directly from the American Speech-Language-Hearing

Association. All fees associated with obtaining such evidence shall be borne by the applicant.

(c) **Reciprocity.** The Board may issue a license without examination to a person who holds a current license in another state or country in speech-language pathology or audiology according to the following conditions:

- (1) the other state or country maintains a system and standard of qualifications and examinations for speech-language pathologists and audiologists which meet or exceed the current requirements for licensure in Oklahoma;
- (2) payment of the Board's current fee for licensure; and
- (3) submission of evidence satisfactory to the Board of proof of licensure in good-standing in another state or country.

(d) The Board shall expedite the process of licensure by reciprocity for applicants who are active duty military personnel and their spouses. The Board will issue a license within thirty (30) days of receipt of a completed application if the conditions set forth in 10-3-7(c)(1) and (3) are met. The application fee for the military member and spouse are waived.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 3116, eff 7-6-04 (emergency); Amended at 22 Ok Reg 1508, eff 6-11-05; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 37 Ok Reg 2222, eff 9-11-20]

690:10-3-8. Continuing education (CE) for speech-language pathologists, audiologists, and speech - language pathology assistants

(a) **Purpose.** The purpose of continuing education requirements for speech- language pathologists and audiologists is to assure that licensees update and advance their skills such that the public shall benefit from the most current and effective standards of professional practice. To further the goal of public benefit, all speech-language pathologists and audiologists are encouraged to fulfill a portion of their continuing education requirements in the area of ethics, professional conduct, and related legal issues.

(b) **Clock hours required for license renewal.** A licensee must obtain a minimum of twenty (20) clock hours of acceptable continuing education, including at least three clock hours of professional ethics in a two-year period. The continuing education period begins in January of every odd-numbered year. A speech-language pathologist or audiologist obtaining initial licensure during a two-year period shall have his or her continuing education requirement prorated to 2.5 clock hours per every full three month quarter remaining in the two-year period. If the total of pro-rated hours is less than five clock hours, the requirement for at least three clock hours of ethics does not apply.

(c) **Approval of continuing education activities required.** Continuing education hours may be granted for acceptable educational activities which are approved by the Board, or by a committee appointed by the Board. No hours will be granted for any activities or categories of activities that have not been approved. A provider of educational activities may apply to the Board for pre-approval of an activity. The provider shall be responsible for compliance with the standards for approval of the activity, verification of participation, and for the provision of the necessary verification of attendance forms to all participants. This verification of attendance form shall include: The participant's name, the presenter's name and credentials, the presentation topic, the program sponsor or agency, the location of the presentation, the dates of presentation, and the total number of clock hours attended. A list of preapproved activities is available on the website or by contacting the board office. Any activity not included on this list will require approval by the Board for credit.

(d) **Exceptions to the requirements.** Exceptions to the pre-approval requirement may be granted at the discretion of the Board for programs presented by recognized sponsors whose programs have been pre-approved by the Board. The university academic semester hour is equivalent to fifteen (15) clock hours, and shall be verified by the presentation of an official academic transcript showing course or audit credits. The licensee is ultimately responsible for providing all information necessary for the Board to make a final determination concerning the acceptability of any requested continuing education hours.

(e) **Fee for approval.** Providers of continuing education programs may be charged a fee for approval of their program. This fee shall be set by the Board.

(f) **Kinds of educational activities for which credit may be received.** Continuing education hours may be earned through formal organized learning experiences, scientific publications, attendance at regularly scheduled meetings of international, national, regional, or state professional associations, or through presentations to appropriate groups not related to the speech-language pathologist's or

audiologist's regular employment.

(g) **Hours allowed.** Continuing education activities, whether received or presented by the speech-language pathologist or audiologist, must be targeted toward a professional audience. In those instances when the speech-language pathologist or audiologist is teaching in programs such as institutes, university or college courses, seminars, workshops, and conferences which have been granted approval by the Board, three (3) clock hours will be given for each one (1) hour that is taught, provided that such teaching is not part of the speech-language pathologists or audiologists regular employment. Publication in a professionally related format approved by the Board shall be equal to up to twenty (20) clock hours. Completion of select job-required activities such as CPR training, etc. can be counted once in the two-year period for a maximum of 20% of the total required hours. Other activities may be credited as authorized and disseminated separately by the Board.

(h) **Petition for extension.** A speech-language pathologist or audiologist who fails to comply with the required twenty (20) hours of continuing education in the two year continuing education period ending December 31 may, by submitting an individual review fee of fifty (\$50.00), petition the Board for a ninety (90) day extension. Failure to meet this deadline may result in disciplinary action. The petition for extension shall be filed prior to the expiration of the continuing education period.

(i) **Petition for hardship relief.** A speech-language pathologist or audiologist may petition the Board for partial or complete relief of the continuing education requirements upon the showing of incapacitation or serious illness of licensee or licensee's immediate family member, or licensee's absence from the United States for a period of at least eighteen (18) months during the continuing education period, or the licensee is a member of the armed forces on full-time active duty during the continuing education period. The petition for hardship relief should be filed prior to the expiration of the continuing education period, and will be accepted no later than February 1st of the year following the audit period.

(1) Individuals with medical disabilities must provide evidence that documents the inability to work in the professions, such as a letter from a doctor or a Social Security Administration determination letter. Individuals with medical disabilities will be required to sign an affidavit confirming that they are not providing or supervising the provision of clinical services. In addition, individuals with temporary medical disabilities will be required to affirm every two years that they continue to be classified as medically disabled.

(2) Members of the armed forces on full-time active duty will be required to provide a copy of their deployment orders and a signed affidavit stating they will not practice during their deployment. They will be assigned a new 2-year maintenance interval that will start on January 1 of the year following their return. If licensees are deployed for the purpose of providing clinical services or supervising the provision of clinical services, an exemption will be considered on a case-by-case basis.

(j) **Audits of continuing education.** The Board will conduct audits every two years (every even year) of licensee compliance with continuing education requirements.

(1) A minimum of 3% of licensees will be audited.

(2) Those audited will be required to submit verification of completion for each continuing education activity completed within the audited period. The verification shall be signed by the course provider and shall include the title of the activity, the course description, the number of contact hours and a contact for verification of participation. An official continuing education transcript from a Board approved provider is acceptable verification. The verification must be submitted within thirty (30) days of receipt of notification of selection for audit. Failure to submit verification as required shall be considered non-compliance with the continuing education requirements, and the licensee shall be subject to the provisions of paragraph four of this subsection.

(3) Licensees will maintain all original documentation of attendance, course agendas and/or other supporting documentation. The Board may request copies of such documentation as is necessary to determine if an activity will be accepted for continuing education credit. The Board will destroy all such copies following the audit.

(4) Licensees found to be non-compliant with the continuing education requirement shall have ninety (90) calendar days from receipt of notification of continuing education deficiency to complete the following:

(A) obtain continuing education hours sufficient to meet this requirement;

- (B) submit verifications of completion to the Board;
- (C) pay a penalty fee equal to half of the licensure renewal fee. This fee is in addition to any fees for late license renewal. Such hours may not be counted toward fulfillment of future continuing education requirements. Failure to comply with the provisions of the paragraph within the ninety (90) calendar day period may result in disciplinary action.

[Source: Added at 15 Ok Reg 4234, eff 7-31-98 (emergency); Added at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended at 34 Ok Reg 2031, eff 9-11-17]

690:10-3-9. Telepractice

- (a) Licensees may provide speech-language pathology and audiology services to clients in Oklahoma by utilizing telepractice. Telepractice means the use of audio, video, or data communication to provide speech-language pathology and audiology services to clients who are not present at the same site as the licensee when the service is provided.
- (b) Except as provided in 59 O.S. § 1604, no practitioner licensed in another state may deliver speech-language pathology and audiology services via telepractice to clients located in Oklahoma, unless licensed in Oklahoma.
- (c) Telepractice must conform to the same standards of practice required when the client is present at the same site as the licensee when the service is provided.
- (d) Licensees providing services via telepractice must be trained in the use of telepractice equipment, and are responsible for ensuring that support persons who assist with service delivery at the site where the client is located are properly trained. The telecommunications technology used for service delivery must meet standards established by professional organizations recognized by the Board, and comply with applicable state and federal laws and regulations.
- (e) Telepractice must be an appropriate method of service delivery for the service provided and for the unique needs and abilities of each client.

[Source: Added at 28 Ok Reg 2119, eff 7-1-11; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended eff 9-11-22]

SUBCHAPTER 5. LICENSURE OF SPEECH-LANGUAGE PATHOLOGY CLINICAL FELLOWS COMPLETING POST-GRADUATE CLINICAL FELLOWSHIP

690:10-5-1. License to practice as a speech-language pathology clinical fellow

Persons in the process of fulfilling the supervised clinical experience required by paragraph 3 of Subsection A of Section 1605 of the Speech-Language Pathology and Audiology Licensing Act, as amended, for licensure as a speech-language pathologist must obtain a clinical fellow license and practice under the supervision of a licensed speech-language pathologist until the Board issues the clinical fellow a speech-language pathologist license.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 37 Ok Reg 2222, eff 9-11-20]

690:10-5-2. Academic and clinical practicum requirements

The applicant must have completed the academic and clinical practicum requirements for licensure as set forth in the Speech-Language Pathology and Audiology Licensing Act, 59 O.S. 1605, as amended.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01]

690:10-5-3. Representation of clinical fellow as independent practitioner

A licensed clinical fellow shall not state, imply or otherwise represent that he or she is authorized to practice independently without the supervision of a licensed speech-language pathologist. Preparation or distribution of announcements of practice, independent telephone listings, internet or social media advertisements or other such notices or representations which indicate or imply that the clinical fellow is an independently licensed speech-language pathologist shall be considered unprofessional conduct, and will result in discipline as authorized by the Speech-Pathology and Audiology Licensing Act.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 34 Ok Reg 2031, eff 9-11-17]

690:10-5-4. Application form for clinical fellows

Application for a license to practice as a clinical fellow shall be made in the same manner and on the same forms that are used to apply for licensure as a speech-language pathologist. Each application shall include a letter of agreement signed by both the applicant and the proposed supervisor. The letter of agreement shall explicitly state that the supervisor agrees to supervise the clinical fellow's practice of speech-language pathology and the supervisor accepts complete and full responsibility for the clinical fellow's activities and services. The supervising speech-language pathologist remains responsible for the clinical fellow's practice until one of the following occurs:

- (1) the Board issues the clinical fellow a speech-language pathologist license;
- (2) the supervisor give the Board and the clinical fellow written notice that supervision is terminated, or
- (3) the clinical fellow license expires.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 36 Ok Reg 1064, eff 7-25-19; Amended at 37 Ok Reg 2222, eff 9-11-20]

690:10-5-5. Licensure period and extensions for clinical fellows

The clinical fellow license is valid for a period of one (1) calendar year from the date of the Board's approval of the application for practice under supervision. The Board may grant the clinical fellow a one (1) year extension of the license upon written request of the clinical fellow received prior to the expiration of the one (1) year period of previous licensure. A clinical fellow whose license expires prior to the Board's issuance of the speech-language pathologist license may not practice speech-language pathology unless exempt from licensure pursuant to 59 O.S. § 1604. If, during the completion of the clinical fellowship, a change of supervisor is necessary, the clinical fellow is responsible for notifying the Board of the change and must submit a new letter of agreement for Board approval prior to the change in supervision.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 37 Ok Reg 2222, eff 9-11-20]

690:10-5-6. Requirements for supervision of clinical fellows

- (a) Clinical fellows must be supervised by a speech-language pathologist who has been licensed for a minimum of two years. Licensure as a clinical fellow does not count toward the two-year license requirement.
- (b) Each supervising speech-language pathologist shall accept no more than two clinical fellows. Each supervisor holding licenses in both speech-language pathology and audiology is restricted to no more than two persons to supervise, in aggregate.
- (c) The supervising speech-language pathologist must have successfully completed at least six hours of Board approved training in clinical supervision within ten years prior to requesting approval to supervise. At least three hours must include instruction in the knowledge and skills areas necessary for clinical fellow supervisors as identified by the American Speech-Language Hearing Association (ASHA), other organizations, or entities the Board deems acceptable. The remaining three hours may consist of other topics related to clinical supervision, including instruction specific to the supervision of speech-language pathology assistants.
- (d) A speech-language pathologist who has completed six hours of training is eligible to supervise both clinical fellows and speech-language pathology assistants, provided that the six hour training includes three hours specific to supervision of clinical fellows and three hours specific to supervision of assistants.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 37 Ok Reg 2222, eff 9-11-20]

690:10-5-7. Notification of Board decision

The applicant and supervisor shall be notified by mail of the decision of the Board with respect to an application filed under this Subchapter. Any Board decision concerning a clinical fellow shall also be communicated by mail to the individual and his supervisor.

690:10-5-8. Direct supervision required

The applicant must practice in a setting which permits direct supervision by the supervising speech-language pathologist. The supervising speech-language pathologist must engage in no fewer than thirty-six (36) supervisory activities during the clinical fellowship. This supervision must include at least eighteen (18) on-site observations of direct client contact at the clinical fellow's work site (one (1) hour equals one (1) on-site observation; a maximum of six (6) on-site observations may be accrued in one (1) day.) "On-site" is defined as physical presence at the clinical fellow's work site. At least six (6) observations must be accrued during each third of the clinical fellowship. These on-site observations must be of the clinical fellow providing screening, evaluation assessment, habilitation, and rehabilitation. In addition, the supervision must include at least eighteen (18) other monitoring activities. At least six (6) other monitoring activities must be completed during each of the three segments of the clinical fellowship. These other monitoring activities may be executed by correspondence, review of video tapes and/or audio tapes, evaluation of written reports, phone conferences and/or other telepractice activities with the clinical fellow, and evaluations by professional colleagues.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended at 34 Ok Reg 2031, eff 9-11-17]

SUBCHAPTER 7. LICENSURE OF SPEECH-LANGUAGE PATHOLOGY ASSISTANTS AND AUDIOLOGY ASSISTANTS

690:10-7-1. Practice as an assistant

(a) Any person not eligible for licensure as a speech-language pathologist or clinical fellow, who assists in the practice of speech-language pathology, unless exempt pursuant to Section 1604 of this Act, must be licensed by the Board as a speech-language pathology assistant. Licensure to practice as a speech-language pathology assistant may be granted to applicants who meet the qualifications set forth in the law and rules of the Board. Nothing in this section shall be construed to prevent a practitioner from employing any individual in non-clinical capacities.

(b) The Board shall consider on an individual basis the request of each applicant for a speech-language pathology assistant license. Before granting a license the Board will consider the applicant's academic training and clinical experience, specific duties and responsibilities, and amount and nature of the applicant's supervision. The Board retains the power to determine whether or not an applicant meets the requirements.

(c) Beginning January 1, 2015, any person not eligible for licensure as an audiologist, who assists a licensed audiologist in the practice of audiology, unless exempt pursuant to Section 1604 of this Act, must be authorized by the Board as an audiology assistant. To be eligible for authorization, applicants must meet the qualifications set forth in the law and rules of the Board. Before granting an authorization, the Board will consider the applicant's specific duties and responsibilities, and amount and nature of the applicant's supervision. The Board retains the power to determine whether or not an applicant meets the qualifications for authorization.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 34 Ok Reg 2031, eff 9-11-17]

690:10-7-2. Titles to be used by assistants

The speech-language pathology or audiology assistant may not use any title included in of Section 1603 of the Speech-Language Pathology and Audiology Licensing Act. The Assistant may use only the titles "Speech-Language Pathology Assistant", "Audiology Assistant" or "Speech-Language Pathology and Audiology Assistant", depending upon the area(s) in which the assistant is licensed.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 28 Ok Reg 2112, eff 7-11-11]

690:10-7-3. Supervision required

(a) The speech-language pathology assistant must be supervised by a speech- language pathologist who has been licensed for two years. Licensure as a clinical fellow does not count toward the two-year license requirement. Each supervisor shall accept no more than the equivalent of two FTE (full-time employee) assistants. Each practitioner licensed in both speech-language pathology and audiology is likewise restricted to two FTE assistants in aggregate. Further, the total number of licensees supervised by a single supervisor shall not exceed two FTE.

(b) The supervising speech-language pathologist must have successfully completed at least six hours of Board approved training in clinical supervision within ten years prior to requesting approval to supervise. Three hours must include instruction in the knowledge and skills areas necessary for speech-language pathology assistant's supervisors as identified by the American Speech-Language Hearing Association (ASHA), other organization or entity the Board deems acceptable. The remaining three hours may consist of other topics related to clinical supervision, including instruction specific to the supervision of clinical fellows. A speech-language pathologist who has completed six hours of training is eligible to supervise both clinical fellows and speech-language pathology assistants, provided that the six hour training includes three hours specific to supervision of clinical fellows and three hours specific to supervision of assistants.

(c) For the first 90 workdays following the first day of employment, a speech-language pathology assistant shall practice under a minimum of 20% direct supervision with a minimum of 8 indirect supervising activities per month. After successful completion of the first 90 workdays the amount of supervision may be adjusted if the supervising speech-language pathologist determines the speech-language pathology assistant has met the necessary competencies and skill levels with a variety of communication and related disorders. All supervision must include documentation of direct and/or indirect supervision provided by the supervising speech- language pathologist to each client/patient at least every 90 calendar days. For full-time speech-language pathology assistants (full-time is defined as 30 hours or more per week) a minimum of four hours of direct supervision per month and as much indirect supervision as needed to facilitate the delivery of quality services must be maintained. For part-time speech-language pathology assistants (part-time is defined as less than 30 hours per week) a minimum of two hours of direct supervision per month and as much indirect supervision as needed to facilitate the delivery of quality services must be maintained. The supervising speech-language pathologist must be available by electronic means at all times when the speech-language pathology assistant is implementing a treatment program. The supervision must be documented on a supervision log signed by the supervisor and the assistant. Direct supervision must also be documented on the client/patient session note. Records of supervision are subject to inspection by the Board.

(1) Direct supervision includes in-view observation and guidance by a speech- language pathologist while the speech-language pathology assistant is providing an assigned clinical service to a patient. Realtime telesupervision is acceptable as it allows the supervising speech-language pathologist to provide immediate feedback. While directly supervising, the speech-language pathologist may not perform any clinical services unrelated to the client/patient receiving services from the speech- language pathology assistant.

(2) Indirect supervision includes supervisory activities other than direct supervision, observation and guidance of the assistant while the assistant is providing an assigned clinical service to a patient. Indirect supervision activities performed by the supervising speech-language pathologist may include but are not limited to demonstration, record review, review and evaluation of audio or videotaped sessions, and interactive television and supervising conferences that may be conducted by telephone, email or live webcam.

(d) The supervising speech-language pathologist is responsible for exercising his or her professional judgment to determine the appropriate level of supervision at or above the required minimum necessary to ensure that each client/patient receives competent services. The supervising speech-language pathologist should consider:

- (1) the individual speech-language pathology assistant's knowledge, experience and competence;
- (2) the treatment setting;
- (3) the client/patient's diagnosis/prognosis; and
- (4) the nature of the assigned clinical service.

(e) For audiology assistants, direct supervision is required when the assistant is performing activities

involving direct patient care. Direct supervision requires the supervising audiologist to be present on-site for supervision and guidance of the assistant. Indirect supervision of the audiology assistant is permissible when the audiology assistant is performing duties or activities that do not involve direct patient care. Indirect supervision requires the supervising audiologist to be available for instruction or guidance but does not require the supervising audiologist to be present on-site. The audiology assistant must be supervised by an audiologist who has been licensed for two years. Each supervisor shall accept no more than two assistants.

[Source: Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended at 34 Ok Reg 2031, eff 9-11-17; Amended at 36 Ok Reg 1064, eff 7-25-19; Amended at 37 Ok Reg 2222, eff 9-11-20, Amended eff 9-11-22]

690:10-7-4. Representation of Assistant as Independent Practitioner

The assistant is not authorized to represent himself or herself as an independent practitioner of speech-language pathology or audiology. Preparation or distribution of announcements of practice, independent telephone listings, or other such notices shall be in violation of the speech-language pathology assistant license and the audiology assistant authorization and will lead to automatic revocation of the license or authorization.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14]

690:10-7-5. Application for speech-language pathology assistant license or Audiology assistant authorization

Application for licensure as a speech-language pathology assistant or authorization as an audiology assistant shall be made by submitting to the Board an application approved by the Board, signed by both the applicant and proposed supervisor. The application must indicate that the supervisor agrees to supervise the assistant's practice and that the supervisor accepts full and complete responsibility for that practice.

[Source: Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 36 Ok Reg 1064, eff 7-25-19]

690:10-7-6. Period of Licensure and authorization

The Speech-Language Pathology Assistant license and the Audiology Assistant authorization shall expire on December 31st of each year, and must be renewed annually in accordance with the rules and statutes of the Board.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14]

690:10-7-7. Requirements for supervision of assistants [REVOKED]

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 27 Ok Reg 1961, eff 6-25-10; Revoked at 28 Ok Reg 2112, eff 7-11-11]

690:10-7-8. Recusal of Board members

A Board member shall recuse himself or herself from evaluating and voting on the licensure of speech-language pathology assistants and the authorization of audiology assistants who will assist in the board member's practice.

[Source: Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14]

690:10-7-9. Requirements for assistants

(a) Academic requirements for speech-language pathology assistants.

- (1) Each speech-language pathology assistant applicant shall hold not less than an associate's degree, or its equivalent, with a major emphasis in speech-language pathology from an accredited academic institution.
- (2) Each speech-language pathology assistant applicant shall submit an official transcript(s) and verification of academic preparation and clinical experience reflecting a minimum of eighteen (18) semester credit hours in general education, a minimum of twenty (20) semester credit hours in technical content, a minimum of twenty five (25) hours of observation which precede a

minimum of 100 clock hours of supervised clinical experience. The official transcript must come directly from the accredited academic institution, either by electronic means or by mail.

(A) General education. The general education component typically includes, but is not limited to, course work in oral and written communication, mathematics, computer applications, social sciences and natural sciences.

(B) Technical content. The technical content component must include, but is not limited to the following areas:

- (i) Foundations of communication and overview of communication disorders
- (ii) Professional standards for the SLPA (includes objectives for scope of practice, ethics, supervision, and professional practices)
- (iii) Anatomy and physiology of speech and hearing mechanisms
- (iv) Phonetics
- (v) Speech sound development and disorders
- (vi) Language development and disorders
- (vii) Assistant-level service delivery practices or instructional methods for the SLPA
- (viii) Cultural and linguistic factors in communication
- (ix) Observation experiences include direct onsite, video, or digital observation of a licensed speech-language pathologist

(C) Clinical experience. Applicants must complete 100 clock hours of clinical experience supervised by a licensed speech-language pathologist. The clinical experience requirement must be completed through an accredited academic institution with a Board approved degree program.

(b) **Reciprocity.** The Board may issue a license for a speech-language pathology assistant to a person who holds a current speech-language pathology assistant license in another state or country according to the following conditions:

1. Payment of the Board's current fee for licensure; AND one of the following:
2. The applicant submits evidence of licensure in good standing from another state or country which maintains a system and standard of qualifications and examinations for speech-language pathology assistants which meets or exceeds the current requirements for licensure in Oklahoma; OR
3. The applicant is currently certified as an American Speech-Language-Hearing Association (ASHA) speech-language pathology assistant.

(c) **Academic requirements for audiology assistants.** Each audiology assistant applicant shall hold not less than a high school diploma or its equivalent.

[Source: Added at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 32 Ok Reg 1326, eff 8-27-15; Amended at 33 Ok Reg 1841, eff 9-11-16; Amended at 34 Ok Reg 2031, eff 9-11-17, Amended eff 9-11-22]

690:10-7-10. Roles and responsibilities of Speech-Language Pathology Assistants and Audiology Assistants

(a) Allowed activities as delegated by the supervising speech-language pathologist:

- (1) Assist the speech-language pathologist with speech-language and hearing screenings.
- (2) Assist with informal documentation as directed by the speech-language pathologist.
- (3) Follow documented treatment plans or protocols developed by the supervising speech-language pathologist.
- (4) Document patient/client performance.

- (5) Assist the speech-language pathologist with assessment of patient/clients.
 - (6) Assist with clerical duties.
 - (7) Perform checks and maintenance of equipment.
 - (8) Support the speech-language pathologist in research projects, in service training, and public relations programs.
 - (9) Collect data for monitoring quality improvement.
 - (10) Comply with regulations, reimbursement requirements and speech language pathology assistant's job responsibilities.
- (b) Non-allowed activities of the speech-language pathology assistant
- (1) May not perform diagnostic tests, formal or informal evaluations or interpret test results.
 - (2) May not evaluate or diagnose patients/clients for feeding/swallowing disorders.
 - (3) May not participate in parent conferences, case conferences, or any interdisciplinary team without the presence or prior approval of the supervising Speech-Language Pathologist.
 - (4) May not write, develop, or modify a patients/client's individualized treatment plan in any way without the prior approval of the licensed speech-language pathologist.
 - (5) May not assist with patients/clients without following the individualized treatment plan approved by the speech-language pathologist or without access to supervision.
 - (6) May not sign any formal documents not drafted and/or approved by the speech-language pathologist prior to dissemination of the document.
- (c) Allowed activities of the audiology assistant as delegated by the supervising audiologist.
- (7) Assist the audiologist with screenings of auditory function.
 - (8) Assist with informal documentation as directed by the audiologist.
 - (9) Follow documented treatment plans or protocols developed by the supervising audiologist.
 - (10) Document patient/client performance.
 - (11) Assist the audiologist with assessment of patient/clients.
 - (12) Assist with clerical duties.
 - (13) Perform checks and maintenance of diagnostic equipment as well as hearing aids, amplification systems, and other assistive devices.
 - (14) Support the audiologist in research projects, in service training, and public relations programs.
 - (15) Collect data for monitoring quality improvement.
 - (16) Exhibit compliance with regulations, reimbursement requirements and audiology assistant's job responsibilities.
 - (17) Provide explanations of the proper care of amplification and assistive listening devices.
- (d) Non-allowed activities of the audiology assistant.
- (18) May not perform diagnostic tests, formal or informal evaluations or interpret diagnostic test results.
 - (19) May not evaluate or diagnose patients/clients for auditory or auditory related disorders.
 - (3) May not participate in patient counseling without the presence or prior approval of the supervising audiologist.
 - (4) May not write, develop, or modify a patients/client's treatment plan in any way.
 - (5) May not assist with patients/clients without following the treatment plan prepared by the audiologist or without access to supervision.
 - (6) May not sign any formal documents not drafted and/or specifically approved by the audiologist prior to dissemination of the document.
- (e) Speech-language pathology assistants and audiology assistants must comply with the ethical Rules of Practice in Chapter 15 of Title 690.

[Source: Added at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14]

690:10-7-11. Continuing education for assistants

The rules regarding continuing education requirements in section 10-3-8 of Title 690 shall also apply to speech-language pathology assistants. Audiology assistants are exempt from the continuing

education hours requirements.

[Source: Added at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14]

SUBCHAPTER 9. FEES

690:10-9-1. License application fee

The license application fee for speech-language pathologists, clinical experience interns, and assistants, shall be eighty-five dollars (\$85.00) and shall be submitted with the application for licensure.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 27 Ok Reg 1961, eff 6-25-10]

690:10-9-2. License renewal fee

(a) The license renewal fee shall be eighty-five dollars (\$85.00) each calendar year. The renewal fee is due on or before December 31st of each year. Failure of any licensed person to pay the renewal fee before the first day of January does not deprive the licensee of the right to renew the license, but the fee paid for renewal postmarked after December 31st shall be increased by 50% for each month or fraction thereof that the payment is delayed, up to a maximum of three times the renewal fee (\$255.00). Failure to pay the renewal fee by January 1st, however, shall render the license expired until renewed. Renewal of an expired license within the first year will result in an automatic audit of that licensee's continuing education activities for the preceding continuing education two-year reporting period. Failure to renew a license within the twelve month period following expiration, without otherwise placing the license(s) in question on inactive status (see 690:10-9-6), will render the license permanently invalid, requiring reapplication for licensure based on meeting all current requirements for licensure in the applicant's area of specialization.

(b) Any licensee whose license is active and in good standing; is a member of the Armed Forces of the United States; and is on active duty at the time of renewal is exempt from payment of the renewal fee. Upon receipt of notice of assignment to active duty from the licensee, the Board shall automatically renew the license without fee each year thereafter of active duty military service, and for up to one year after the date of discharge from active duty.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 3116, eff 7-6-04 (emergency); Amended at 22 Ok Reg 1508, eff 6-11-05; Amended at 27 Ok Reg 1961, eff 6-25-10; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 34 Ok Reg 2031, eff 9-11-17, Amended eff 9-11-22]

690:10-9-3. Examination fee

The fee for examination or re-examination is separate from the license application fee and shall be paid by the applicant directly to the exam provider.

[Source: Amended at 15 Ok Reg 4234, eff 7-31-98 (emergency); Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 33 Ok Reg 1841, eff 9-11-16]

690:10-9-4. License verification fee

A fee of five dollars (\$5.00) shall be charged to the licensee who requests the Board to certify his licensure to another organization or persons.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99, Amended eff 9-11-22]

690:10-9-5. Lost or damaged certificate fee

A fee of (\$25.00) shall be charged to replace a license certificate that is lost, mutilated, or revoked. This fee shall accompany the application for a replacement license certificate.

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 27 Ok Reg 1961, eff 6-25-10]

690:10-9-6. Annual fee for uncompleted application

A fee of fifteen dollars (\$15.00) shall be charged each calendar year to maintain an active file for applicants who have not fully met the requirements for licensure and who will not engage in the practice of speech-language pathology or audiology in the State of Oklahoma except as permitted in the Speech-Language Pathology and Audiology Licensing Act, 59 O.S., Section 1616, as amended. Failure to pay this fee shall result in a deactivation of the file and in payment, upon reapplication, of the full license application fee.

690:10-9-7. Inactive status fee

(a) A one-time fee of twenty five dollars (\$25.00) shall be charged a licensed speech-language pathologist, audiologist or speech-language pathology assistant to place the license on inactive status, provided that, prior to expiration of the license, the licensee makes written application to the Board for such status. Thereafter, the licensee may reactivate the license upon payment of a reactivation fee equal to one and one-half (1 1/2) times the current license renewal fee. A licensee must be in compliance with continuing education requirements to be placed on inactive status, and must maintain compliance while inactive. During the period of time the license is on inactive status, the licensee shall not engage in the practice of speech- language pathology or audiology in the State of Oklahoma, unless the licensee is exempt from licensure pursuant to 59 O.S. § 1604. A license may be maintained in inactive status for no longer than ten years.

(b) To reactivate a license on inactive status, the licensee must make written application to the Board, pay a reactivation fee equal to one and one-half (1 1/2) times the current license renewal fee, and provide proof of compliance with continuing education requirements during the time the license was inactive.

(c) In addition to the requirements in subsection (b) of this rule, if the license has been on inactive status for a period of five (5) years or more, the licensee shall be required to demonstrate competence to practice by completion of one of the following requirements within the last two (2) years prior to submission of the application for reactivation:

- (1) retake and pass the exam required for licensure;
- (2) show proof of licensure and at least 520 hours of practice in another state;
- (3) show proof of at least 520 hours of practice in a setting exempt from licensure pursuant to 59 O.S. § 1604;
- (4) show proof of completion of an additional twenty hours of continuing education

[Source: Amended at 16 Ok Reg 1247, eff 5-13-99; Amended at 18 Ok Reg 1786, eff 5-25-01; Amended at 21 Ok Reg 1119, eff 5-13-04; Amended at 28 Ok Reg 2112, eff 7-11-11; Amended at 31 Ok Reg 2418, eff 9-12-14; Amended at 34 Ok Reg 2031, eff 9-11-17]

690:10-9-8. Insufficient funds processing fee

A fee of twenty five (\$25.00) shall be charged an applicant for licensure as a speech-language pathologist, audiologist, or assistant and a licensee who submits a check that is returned due to insufficient funds.

[Source: Added at 18 Ok Reg 1786, eff 5-25-01]

690:10-9-9. Criminal history initial determination fee

A fee of ninety-five (\$95.00) dollars shall be submitted with the written request.

[Source: Added at 37 Ok Reg 2222, eff 9-11-20]

CHAPTER 15. RULES OF PRACTICE

[Authority: 59 O.S., § 1611]

[Source: Codified 12-31-91]

690:15-1-1. Purpose and interpretation

The preservation of the highest standards of integrity and ethical principles is vital to all speech-language pathologists and audiologists. This Code of Ethics is promulgated in an effort to stress the fundamental rules considered essential to this basic purpose. Any action that is in violation of the spirit and purpose of this code shall be considered unethical. Failure to specify any particular responsibility or practice in this Code of Ethics should not be construed as denial of the existence of other responsibilities or practices.

[Source: Amended at 16 Ok Reg 1255, eff 5-13-99]

690:15-1-2. Fundamental rules

The fundamental rules of ethical conduct are described in this Chapter in three categories:

- (1) Principles of Ethics,
- (2) Ethical Proscriptions, and
- (3) Matters of Professional Propriety.

690:15-1-3. Principles of ethics

Six Principles serve as a basis for the ethical evaluation of professional conduct and form the underlying moral basis for the Code of Ethics. Individuals subscribing to this Code shall observe these principles as affirmative obligations under all conditions of professional activity.

- (1) Licensees shall hold paramount the welfare of persons served professionally.
 - (A) Licensees shall use every resource available, including referral to other specialists as needed, to provide the best service possible.
 - (B) Licensees shall fully inform persons served of the nature and possible effects of the services.
 - (C) Licensees fees shall be commensurate with services rendered.
 - (D) Licensees shall provide appropriate access to records of persons served professionally.
 - (E) Licensees shall take all reasonable precautions to avoid injuring persons in the delivery of professional services.
 - (F) Licensees shall evaluate services rendered to determine effectiveness.
 - (G) Licensees shall not practice while impaired due to mental or physical health conditions or the use of alcohol or other substances. Impaired means the licensee is unable to practice the profession with reasonable skill and safety.
- (2) Licensees shall maintain high standards of professional competence.
 - (A) Licensees engaging in clinical practice shall possess appropriate qualifications as defined in the Speech-Language Pathology and Audiology Licensing Act. 59 O.S. 1601, et. seq., as amended, and this Title.
 - (B) Licensees shall continue their professional development throughout their careers.
 - (C) Licensees shall identify competent, dependable referral sources for persons served professionally.
 - (D) Licensees shall maintain adequate records of professional services rendered.
- (3) Licensees' statements to persons served professionally and to the public shall provide accurate information about the nature and management of communicative disorders and about the profession and services rendered by its practitioners.
- (4) Licensees shall maintain objectivity in all matters concerning the welfare of persons served professionally. Licensees shall observe the following standards:
 - (A) Products associated with professional practice must be dispensed to the person served as a part of a program of comprehensive habilitative care.
 - (B) Fees established for professional services must be independent of whether a product is dispensed.
 - (C) Persons served must be provided freedom of choice for the source of services and products.
 - (D) Price information about professional services rendered and products dispensed must be made available to the person served upon request and must include a complete schedule of fees and charges which schedule differentiates between fees for professional services and charges for products.
 - (E) Products dispensed to the person served must be evaluated to determine effectiveness.
 - (F) Licensees shall honor their responsibilities to the public, their profession, and their relationships with colleagues and members of allied professions.
 - (G) Licensees shall uphold the dignity of the profession and its standards.
 - (H) Licensees shall inform the Board of violations of this Code of Ethics.
 - (I) Licensees shall cooperate fully with Board inquiries into matters of professional conduct related to this Code of Ethics.

690:15-1-4. Ethical proscriptions

Ethical Proscriptions are formal statements of prohibitions that are derived from the Principles of Ethics.

(1) The following proscriptions are derived from 690:15-1-3(1):

(A) Licensees must not exploit persons in the delivery of professional services, including accepting persons for treatment when benefit cannot reasonably be expected or continuing treatment unnecessarily.

(B) Licensees must not guarantee the results of any therapeutic procedures, directly or by implication. A reasonable statement of prognosis may be made, but caution must be exercised not to mislead persons served professionally to expect results that cannot be predicted from sound evidence.

(C) Licensees must not use persons for teaching or research in a manner that constitutes invasion of privacy or fails to afford informed free choice to participate.

(D) Licensees must not provide clinical services except in a professional relationship. They must not evaluate or treat solely by correspondence. Correspondence means a type of practice in which services are delivered through communication methods that do not involve same-time interactivity between the licensee and the patient, such as regular mail, email, and facsimile. This does not preclude follow-up correspondence with persons previously seen, or providing them with general information of an educational nature.

(E) Licensees must not reveal to unauthorized persons any professional or personal information obtained from the person served professionally, unless required by law or unless necessary to protect the welfare of the person or the community.

(F) Licensees must not discriminate in the delivery of professional services on any basis that is unjustifiable or irrelevant to the need for and potential benefit from such services, such as race, sex religion or condition.

(G) Licensees must not charge for services not rendered.

(H) Licensees shall not violate any provisions of the Speech- Language Pathology and Audiology Licensing Act or Rules.

(I) The following proscriptions are derived from 690:15-1-3(2):

(J) Licensees must neither provide services nor supervision of services for which they have not been properly prepared, nor permit services to be provided by any of their staff who are not properly prepared.

(K) Licensees must not provide clinical services by prescription of anyone who does not hold a license or its equivalent in the appropriate area.

(L) Licensees must not offer clinical services by supportive personnel for whom they do not provide appropriate supervision and assume full responsibility.

(M) Licensees must not require anyone under their supervision to engage in any practice that is a violation of the Code of Ethics.

(N) The following proscriptions are derived from 690:15-1-3(3):

(O) Licensees must not misrepresent their training or competence. Academic degrees, if listed, must be those awarded by a college or university listed in the Education Directory: Higher Education (published by the United States Department of Education).

(P) Licensees' public statements providing information about professional services and products must not contain representations or claims that are false, deceptive or misleading.

(Q) Licensees must not use professional or commercial affiliations in any way that would mislead or limit services to persons served professionally.

690:15-1-5. Matters of professional propriety

Matters of Professional Propriety represent guidelines of conduct designed to promote the public interest and thereby better inform the public and particularly persons in need of speech-language pathology and audiology services as to the availability and the rules regarding the delivery of those

services.

- (1) Licensees should announce services in a manner consonant with highest professional standards in the community.
- (2) Licensees should not accept compensation for supervision or sponsorship from persons being supervised or sponsored.
- (3) Licensees should present products they have developed to their colleagues in a manner consonant with highest professional standards.
- (4) Licensees should seek to provide and expand services to persons with speech, language, and hearing handicaps as well as to assist in establishing high professional standards for such programs.
- (5) Licensees should educate the public about speech, language, and hearing processes, speech, language, and hearing problems, and matters related to professional competence.
- (6) Licensees should strive to increase knowledge within the profession and share research with colleagues.
- (7) Licensees should establish harmonious relations with colleagues and members of other professions, and endeavor to inform members of related professions of services provided by speech-language pathologists and audiologists, as well as seek information from them.
- (8) Licensees should assign credit to those who have contributed to a publication in proportion to their contribution.

[Source: Amended at 16 Ok Reg 1255, eff 5-13-99]

