

CMP Fund Program Highlights

Civil Monetary Penalty (CMP) Revolving Fund Program for the Protection of the Health or Property of Nursing Home Residents per O.S. 63 §63-1-107.4

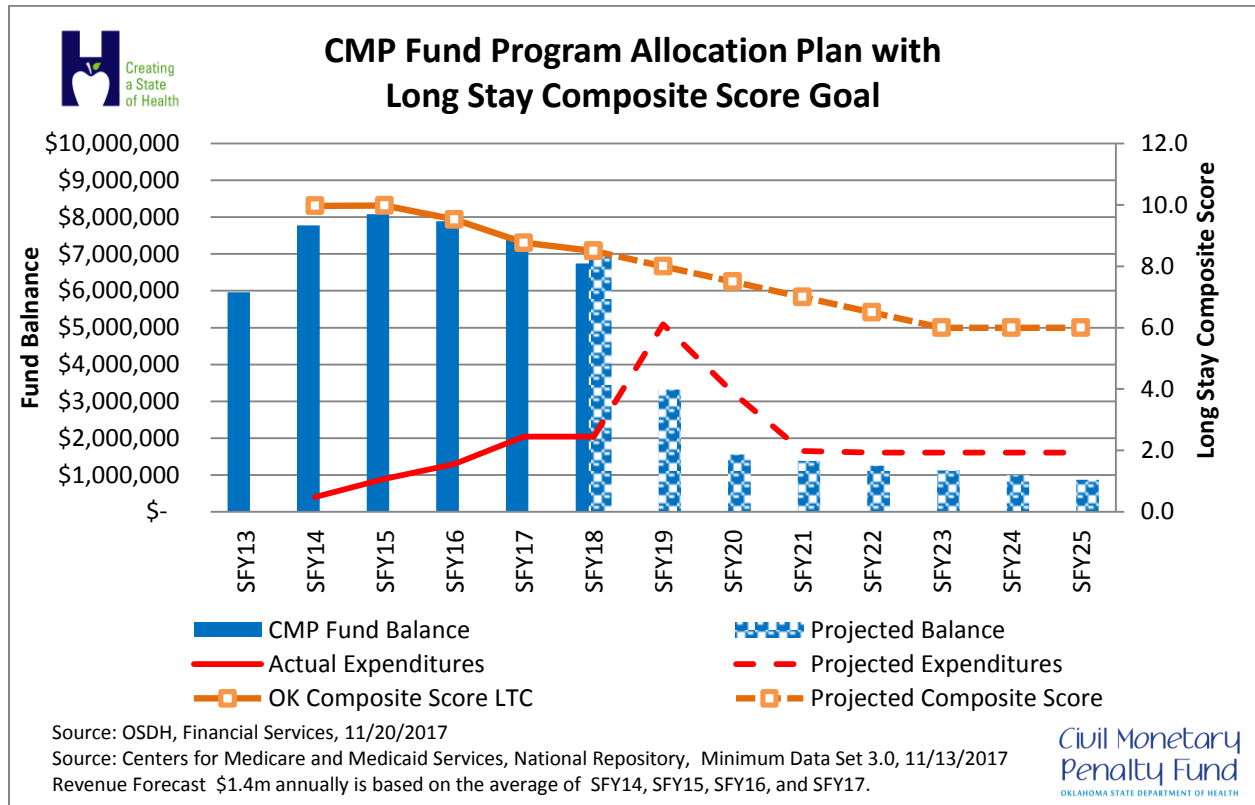
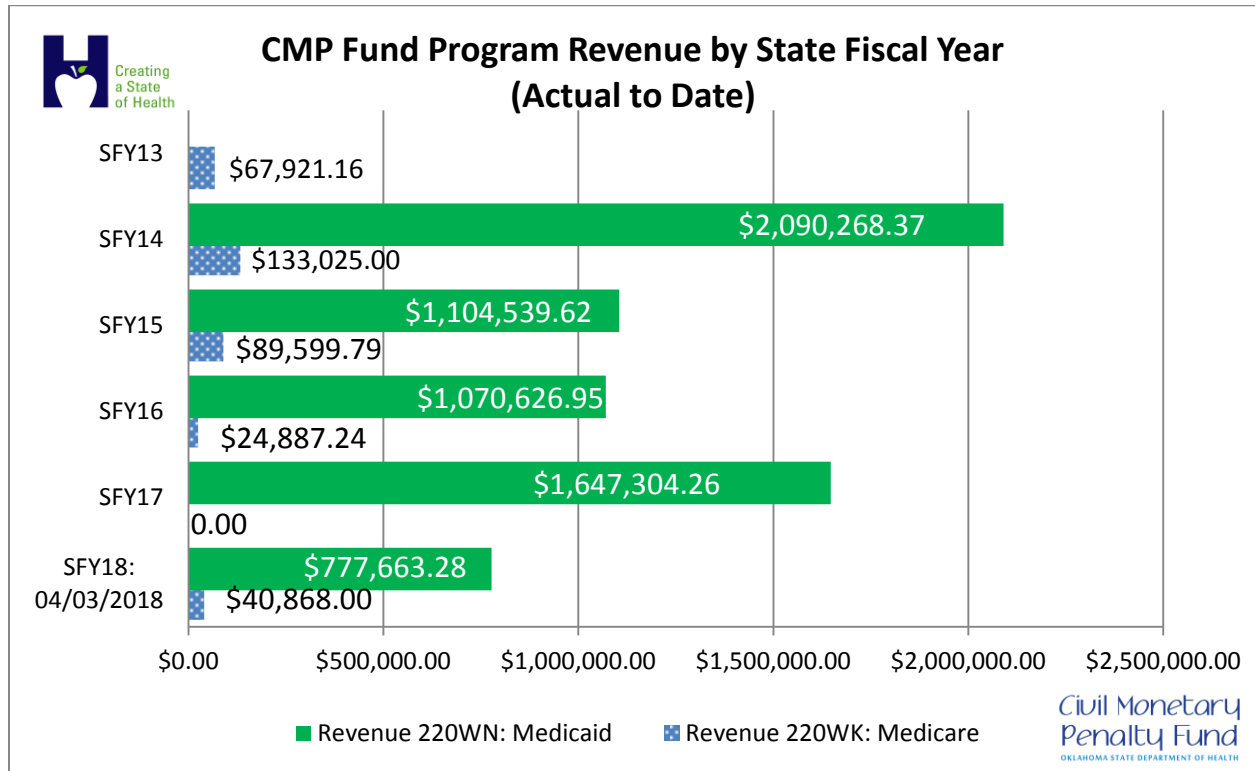
Background: CMP Funds are civil monetary penalties collected from nursing homes and redistributed by the Centers for Medicare and Medicaid Services (CMS). States are tasked with selecting and monitoring projects in accordance with state statute and CMS guidance. In June 2014, the OSDH released the first annual RFP for CMP Funded grants to benefit and protect residents of nursing homes in Oklahoma.

- Ten projects are currently funded. Projects are funded for up to three years with various phases of deployment and evaluation; for more details reference the project brochure. Data analytics and geocoding are used to focus and evaluate efforts. Currently funded projects address:
 - Culture change
 - Quality Assurance and Process Improvement (QAPI)
 - Connecting Oklahomans to resources
 - Educating providers, residents, and families
 - Improving dementia care
 - Preventing falls
 - Optimizing medications
 - Managing pain
 - Increasing early recognition of decline
 - Providing person-centered oral health care

- Funding Process:
 - Annual Request For Proposals are posted for general bidding; Solicitation is based on needs indicated by quality metric reporting and deficiency citation frequency/severity
 - Other State Agencies may apply for funding at any time (RFP not required)
 - OSDH reviews proposals to ensure alignment with priority areas and use of evidence-based approaches before submitting the applications to CMS for review
 - CMS approval is required prior to the expenditure of funds

- Balance and expenditures
 - \$2.4 million encumbered for SFY2019 projects
 - \$4.5 million allocated for new SFY2019 projects and continuing projects
 - Fund Balance: \$ \$7,186,609.16 (10/16/18):
 - 220WK \$ 2,415,136.86 (Medicare)
 - 220WN \$ 4,771,472.30 (Medicaid)

Please reference the following pages for historical fiscal information and additional details about currently funded projects.



CMP Fund Program: Strategic Allocation

Civil Monetary Penalty (CMP) Revolving Fund Program for the Protection of the Health or Property of Nursing Home Residents O.S. §63-1-107.4

Executive Summary

The Oklahoma State Department of Health (OSDH) has the opportunity to improve the care provided to nursing home residents. The CMP Revolving Fund provides the means to do so within the limitations set forth by the Centers for Medicare and Medicaid Services (CMS). OSDH recommends utilizing the state solicitation process and agreements with other state agencies to fund effective quality improvement projects for the “protection of the health or property of residents of nursing facilities” in Oklahoma as directed by state statute.

Background

Federal and state law provide for the use of civil money penalties (CMP) to support activities that benefit nursing home residents, including projects that assure quality care and improve quality of life in nursing homes. These funds provide an opportunity to improve care in Oklahoma’s nursing homes pursuant to 63 O.S. §63-1-107.4 (2013). Oklahoma’s Civil Monetary Penalty Revolving Fund balance was approximately \$6.7 million in April 2018.

Focused Funding

CMS developed a composite score to monitor quality of care provided in nursing homes to long-stay residents. CMS strongly encourages efforts to improve clinical outcomes related to these 13 long-stay measures. The Oklahoma CMP Fund program supports projects that address these indicators, as well as efforts that support culture change and reduce deficiencies.

Clinical Quality Measures

- Antipsychotic Use
- Dementia Care
- Catheter Use
- Depression
- Falls and Mobility
- Medications
- Pain
- Pressure Ulcers
- Urinary Tract Infections
- Vaccinations
- Weight Loss

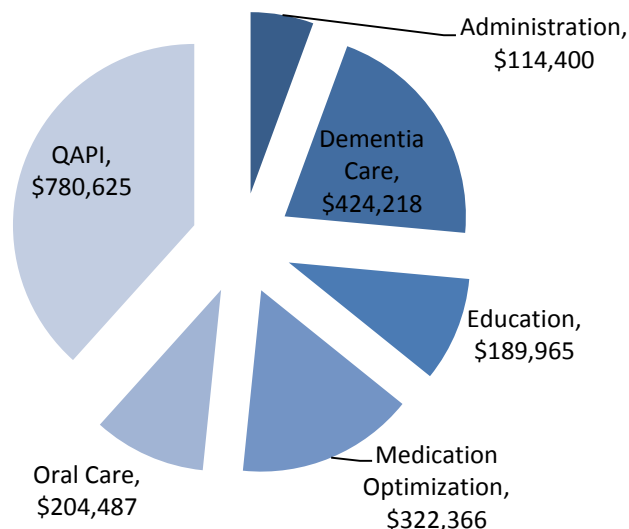
Organizational Improvements

- Consistent Assignment
- Hospitalizations/Readmissions
- Person-Centered Care
- Staff Stability

Other Considerations

- Improved Data Accuracy
- Frequent or Severe Deficiency Citations

SFY 2018 Allocations by Focus Area



Resource Allocation Plan

Oklahoma will utilize the solicitation process and agreements with other state agencies to fund innovative, effective programming. The allocation goal is to reduce the balance of the fund to net revenue levels by the end of the state fiscal year 2021 (SFY21). A standing project to assist nursing homes to develop and successfully implement quality assurance and process improvement for their programs will be established and funded through the RFP process. Revenue projections are based on the annual average of previous fiscal years. As revenue allows, additional proposals will be considered for funding.

Program Aim Statement

An opportunity exists to improve quality of care delivered in Oklahoma nursing facilities as currently measured in the Composite Score, moving from 10.0 in September 2015 to 8.4 by June 2019 with a stretch goal of 6.0 by June 2025. Efforts to do so should increase physical, mental, social and emotional well-being and functioning of nursing home residents. This is important to work on immediately because the composite score represents clinical care measures that are a significant cause of morbidity and mortality. According to CMS, lower composite score values indicate better clinical quality in nursing homes. The national composite score goal is six or less (≤ 6).

Administration Recommendations

- Provide a brief infusion of spending to discover effective approaches to quality improvement
- Minimize administrative costs but maximize service with high performing personnel
- Prioritize funding areas by the performance of clinical measures of the Composite Score
- Fund priority areas with consideration to deficiencies and rankings
- Evaluate the CMP Fund Program's effectiveness with the state level Composite Score

CMP Fund Program: Projects

Civil Monetary Penalty (CMP) Revolving Fund Program for the Protection of the Health or Property of Nursing Home Residents O.S. §63-1-107.4

CMP Funds are civil monetary penalties collected from nursing homes and redistributed by the Centers for Medicare and Medicaid Services. States are tasked with selecting and monitoring projects and programs for the CMP Funds Reinvestment Program. Projects typically received funding approval as singular events or as programs lasting one to three years.

CMP Funds Program Administration

Provided by OSDH, PHS: This project authorizes the administration of the CMP Funds program to protect the health or property of residents of nursing facilities. The goal is to improve the quality of care and the quality of life for residents by delivering evidence based practices into nursing homes. A strategic allocation plan with targeted areas of improvement (funding domains) has been created and approved. Funding domains were selected based on Oklahoma’s current performance related to the 13 metrics of the CMS Composite Score and organizational goals set forth by the Advancing Excellence campaign.

Results: A full-time employee was hired to manage the program in May 2016. Project and program development continues with stakeholders. A contractors’ virtual network, webpages, and a project brochure are part of the program’s communication plan. A national network for CMP programs launched in June 2017, and is hosted by Oklahoma with support from Tennessee. RFP cycles continue. Instruments to evaluate the quality of the projects and the contractors that deliver the services are under development.

Approved Budget: \$109,400/year SFY 17-19 totaling \$382,200

Immunization Improvement

Provided by Apiari LLC: This project focuses on nursing homes’ processes for influenza and pneumococcal immunization and documentation. This project is designed to provide quality assurance and process improvement support with a lower time and resource commitment than many projects within the program.

Notes: The project is funded for one year and will engage 40 nursing homes.

| | |
|-----------------------|-----------------|
| Apiari - Immunization | Ends 06/30/2019 |
| Approved Budget | \$104,963 |

Bugs & Drugs Antibiotic Stewardship

Provided by PMC OU College of Pharmacy: This program is an antibiotic stewardship program developed to increase evidence-based treatment of the most common infections seen in long-term care residents. The goal of the program is to increase evidence-based antibiotic use, improve nurse/prescriber communication and improve nursing staff knowledge of clinical criteria leading to reduced urinary tract infections, lower respiratory infections and skin and soft tissue infections.

Notes: This program is available to 30 nursing homes.

| | | |
|-----------------------|-----------|------------------------|
| OU Pharm - Antibiotic | Year 1 | Year 2 Ends 06/30/2020 |
| Approved Budget | \$173,389 | \$97,945 |

OSDH Joint Training Conferences for Providers and Surveyors

Funded for a three year term, this innovative training series incorporates networking and best-practices for nursing home care providers and surveyors.

Results: The 2017 Trainings focused on improved care for residents with cognitive disorders/dementia. The 2018 trainings will provide education on new regulations. 2017 trainings were held 9/26/17 and 10/10/17 and featured speakers from the Naomi Feld and Teepa Snow groups to improve dementia care. 2017 trainings were held 9/26/17 (OKC) and 10/10/17 (TULSA) and featured speakers from the Naomi Feld and Teepa Snow groups to improve dementia care. 276 individuals attended the Tulsa Training, which received an average satisfaction rating of 3.8 on a 4-point scale. 277 attended the OKC, which received an average satisfaction rating of 3.4 on a 4-point scale. A total of 239 facilities and 78 OSDH staff participated in the 2017 training sessions.

| OSDH | Year 1 | Year 2 | Year 3 (ends 12/31/19) |
|-----------------|----------|----------|------------------------|
| Approved Budget | \$53,425 | \$53,425 | \$53,425 |

It's Not OK to Fall 2

Provided by OUHSC College of Nursing: The purpose of this program is to implement a sustainable, standardized program in at least twenty nursing homes each year. The program is a comprehensive fall prevention program to help improve falls, safety, and resident-centered care, decrease morbidity and mortality, prolonging life, and most importantly quality of life for the nursing home residents within Oklahoma long-term care facilities.

Notes: This project is a result of the research and development of a reliable, affordable and sustainable model for fall prevention from its pilot project "It's Not OK to Fall"

| OUHSC-Falls 2 | Year 1 | Year 2 | Year 3 (ends 07/31/21) |
|-----------------|-----------|-----------|------------------------|
| Approved Budget | \$346,901 | \$349,395 | \$348,148 |

Less is More: Medication Optimization in Long-Term Care

Provided by OFMQ, OU Pharm, and Eden Alternative: This project focuses on optimizing medications in nursing homes. Recruitment is open to 16 nursing homes. Five collaborative sessions with action periods in between will be held in regional settings. Between meetings, participating facilities will be mentored in following their individual Performance Improvement Plan which will be developed during the collaborative sessions.

Results: The learning sessions were held during August 2017, November 2017, and April 2018 with 17 homes participating. Although there is a high level of engagement during the learning sessions, the sessions currently rely on high quality sustainable video instruction. The participant feedback indicates a desire for live presentations instead of the hours of video teaching.

Notes: Currently in year 2

| OU Pharm - Less Is More | Year 1 | Year 2 | Year 3 (ends 6/30/20) |
|-------------------------|-----------|-----------|-----------------------|
| Approved Budget | \$322,266 | \$247,574 | \$227,086 |

Transforming Long-term Care (TLC)

Provided by OFMQ: This project provides assistance with one performance measure and two clinical quality measures (1+2). Recruitment is open to 70 nursing homes. Onsite technical assistance with quality improvement specialists who help select measures for improvement, set goals, and write QAPI program. OFMQ will also help assemble and educate a team that will meet regularly with OFMQ. Provides assistance to monitor your data on the MDS/CASPER reports. In addition, participating homes receive early notification of the free regional training events hosted by OFMQ each year.

Results: Recruitment is ongoing, with currently 65 homes participating. Regional meeting series were held during September 2017, March 2018 and October 2018. Topics have included immunizations, Laughter Yoga, reducing depression, HIPAA, supporting employees, Emergency Preparedness and Quality Improvement principles.

Notes: Project ends: 06/30/2020

| OFMQ - TLC | Year 1 | Year 2 | Year 3 (ends 6/30/20) |
|-----------------|-----------|-----------|-----------------------|
| Approved Budget | \$780,625 | \$931,684 | \$960,217 |

Improving Resident Outcomes through Person-Centered Oral Care

Provided by TME: This resident-centered project is open to 40 nursing homes. The person-centered oral care training curriculum and comprehensive oral care toolkit will be given to each participating nursing home, with in-service and bedside training in each nursing home. Up to 10 residents will be assessed by a registered dental hygienist during the training cycle. Online CE training for staff related to oral care is provided as is advanced training for key staff so that new hires can be oriented to the project. The kit includes resident education materials for those residents who can perform their own daily oral care.

Results: To date 38 homes are in the oral care education program with 26 homes having completed the trainings. Resident participation at these homes is currently at 82%.

Notes: Project ends: 06/30/2019

| TMF - Oral Care | Year 1 | Year 2 (Ends 06/30/2019) |
|-----------------|-----------|--------------------------|
| Approved Budget | \$204,487 | \$203,541 |

Long Term Care Leadership Academy (LTCLA)

Provided by OUHS College of Public Health: This program provides the environment to develop leadership skills through education and mentoring for three levels of co-workers, with the goal of reducing employment turnover and implementing an onsite culture change.

Notes: Recruitment is open to 12 nursing homes.

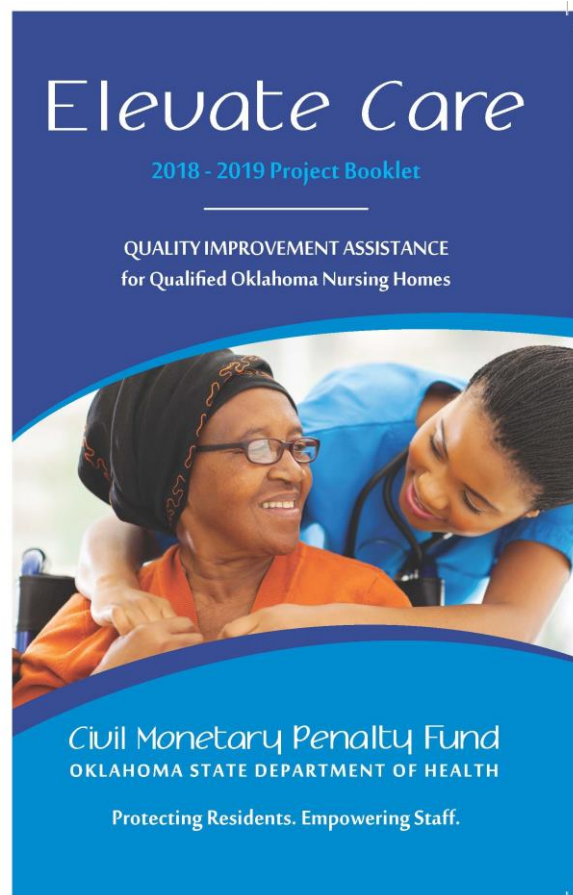
| OUHSC - LTCLA | Year 1 | Year 2 (Ends 10/31/2019) |
|-----------------|-----------|--------------------------|
| Approved Budget | \$298,245 | \$88,588 |

Step-Up for Dementia Care

Provided by OUHS College of Public Health: This program provides hands-on training to build empathy and improve skills for dementia care. Dementia specific training sessions are delivered to facilities to help staff have a better understanding of the disease state and decrease caregiver stress, depression and frustration.

Notes: Recruitment is open to 120 nursing homes.

| | | |
|------------------------------|-----------|--------------------------|
| OUHSC - Step-Up for Dementia | Year 1 | Year 2 (Ends 06/30/2019) |
| Approved Budget | \$395,551 | \$392,232 |



Project Brochure Now Available