



Commission Meeting March 13, 2025 10:00 AM

## **Executive Director's Report**

The "Compliance Activity Tracker" has been established for each member of our compliance team and will be reported monthly. Tracing the number of callers/walk-in clients, reports reviewed and email correspondence, OEC will be in a better position to demonstrate its commitment to its mission and meeting clients where they are to help each achieve compliance. Nearly 400 reports were reviewed by only 2 staff members in December 2024-January 2025. Of note is that our 3<sup>a</sup> Compliance Officer Number is still in the early stages of training and limited in scope and availability of work at this point in development.

In addition, we anticipate utilizing this tracker as an educational tool and to inform leadership in the development of a Frequently Asked Questions section for publication on the OEC website by Fall 2025.

COMPLIANCE ACTIVITY TO					
DATE					
DECEMBER 2024-					
JANUARY 2025					
	COMPLIANCE OFFICERS				
	CO #1	CO #2	CO #3		
# CANDIDATES ASSIGNED	95	95	93		
CALLS/ WALK-INS	124	97	135		
REPORTS REVIEWED	130	244	0		
EMAIL CORRESP	230	406	18		
TOTALS	579	842	246		



### Ethics Commission: Operational Assessment

### **Summary of Policy Considerations and Agency Recommendations**

#### The Legislature may consider the following policy changes:

- Modify statute of limitations to prohibit the Ethics Commission from reviewing filings from a previous election cycle except for in cases of fraud.
- Require that campaign banking documents be submitted to the Ethics Commission after the election.

#### The Ethics Commission should:

#### For improved operational efficiency:

- Implement a case management system to allow for the tracking of agency resources and outcomes.
- Develop a schedule for reviewing campaign finance filings to ensure that every filing is reviewed for inconsistencies as it is received. This may require reducing the frequency of filings.

#### To better detect and deter the most serious violations:

- Create a risk-based audit schedule that examines winning campaigns most frequently, but also audits a random selection of other campaigns.
- Require campaigns to turn over banking records for the campaign account to the Ethics Commission
  after the general election and when the campaign committee is dissolved.

### To promote public trust and make the Commission's processes more transparent:

- Create an annual report that makes public the metrics of the agency and the value added to the state
  of Oklahoma.
- Increase training offerings and educational guidance by including on the agency's public-facing website, including:
  - · Recorded training presentations
  - A list of frequently asked questions and answers
  - · A list of the agency's prior responses to requests for informal guidance
  - A schedule of in-person trainings
- Update the Ethics Rules to require a Notice of Allegations to accompany any subpoena.
- Create a uniform policy with clear criteria for making a complaint or investigation public prior to final resolution of the case.
- Avoid opening formal investigations into elected officials during the regular four-month Legislative Session; this would not preclude the routine review or audit of a campaign's financial records. This would be analogous to the speech or debate clause of the Oklahoma Constitution.

## Budget

#### State of Oklahoma Allotment Budget and Available Cash As Of February 28,2025

3/3/2025 04:29:30 PM Page 1

Business Unit	<u>Class</u>	<u>Dept</u>	Bud Ref	Allocations	Pre Encumbered	Encumbered	Current Yr Exp	Prior Yr Exp	Allotment Budget	Available Cash
29600	191									150,000.00
	19102	10	25	75,000.00	.00	.00	.00	.00	75,000.00	
	19102	88	25	75,000.00	.00	.00	.00	.00	75,000.00	
	193			150,000.00					150,000.00	0.00
	19301	10	23	684,313.00	.00	.00	.00	684,313.00	.00	
	19301	88	23	3,644.00	.00	.00	.00	3,644.00	.00	
	194			687,957.00				687,957.00		0.00
	19401	10	24	684,433.00	.00	.00	113,190.59	571,242.41	.00	
	19401	88	24	3,524.00	.00	.00	782.95	2,741.05	.00	
	195			687,957.00			113,973.54	573,983.46		185,046.63
	19501	10	25	1,014,630.00	.00	12,673.45	491,377.37	.00	510,579.18	
	200			1,014,630.00	-	12,673.45	491,377.37		510,579.18	656,679.31
	20000	10	23	358,488.00	.00	100,377.22	.00	76,842.15	181,268.63	
	20000	10	24	178,006.00	.00	41,501.74	(9,217.28)	48,672.03	97,049.51	
	20000	10	25	103,238.00	.00	22,337.52	70,657.50	.00	10,242.98	
	211			639,732.00	-	164,216.48	61,440.22	125,514.18	288,561.12	319,501.15
	21100	88	23	190,580.00	.00	7,745.02	.00	171,735.42	11,099.56	

Report Name: OCGL0056.RPT

Query Title: OCP\_GL0056\_BUDGET\_BAL

## Legislative

## **Advisory Opinion Requests**

## **Outstanding/Pending**

### **Informal Opinions**

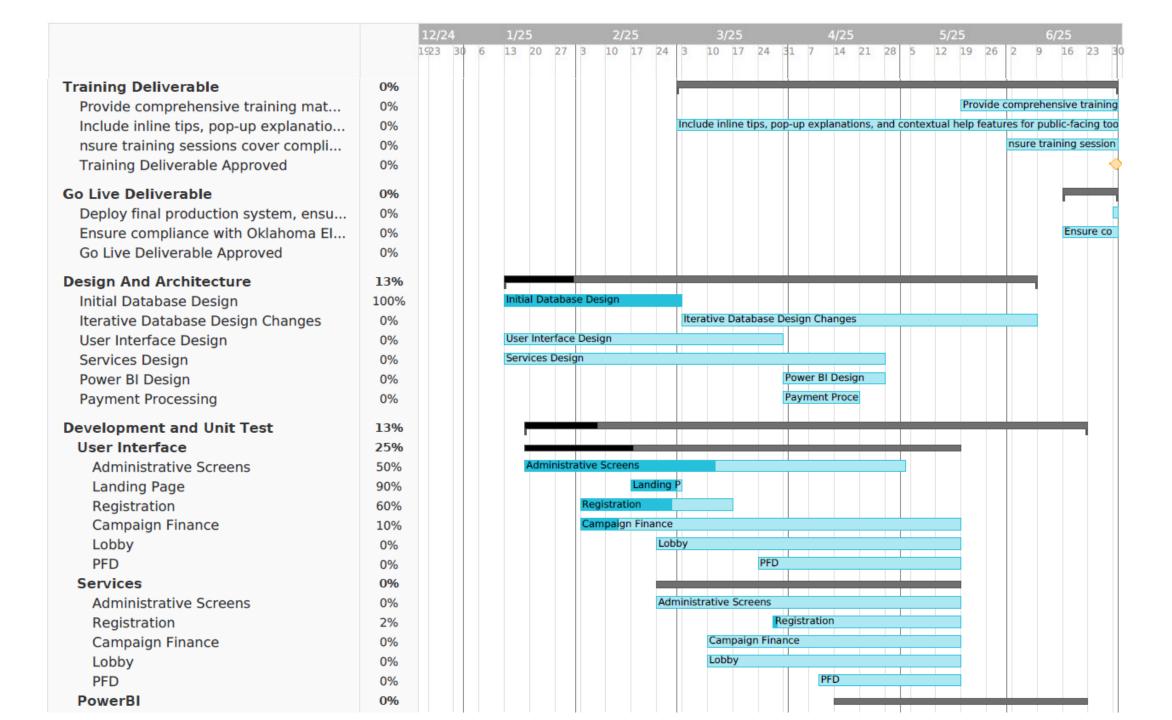
- o AOR-2020-05: Ethical Issues related to collaboration related to education for state employers
- o **AOR-2020-03** & **AOR-2020-02**: Does "Solicitation" definition include items purchased for a raffle by an Affiliated Association for benefit of PAC
  - Formal requests no longer applicable to requestors.
- **AOR-2020-01**: Rules and requirements for 3<sup>rd</sup> parties connecting contributors to candidates
- o **AOR-2016-01**: Time restriction and clarification sought on contributions to political parties and how associated activities/expenditures fit within the definition of campaign expenses or officeholder expenses.

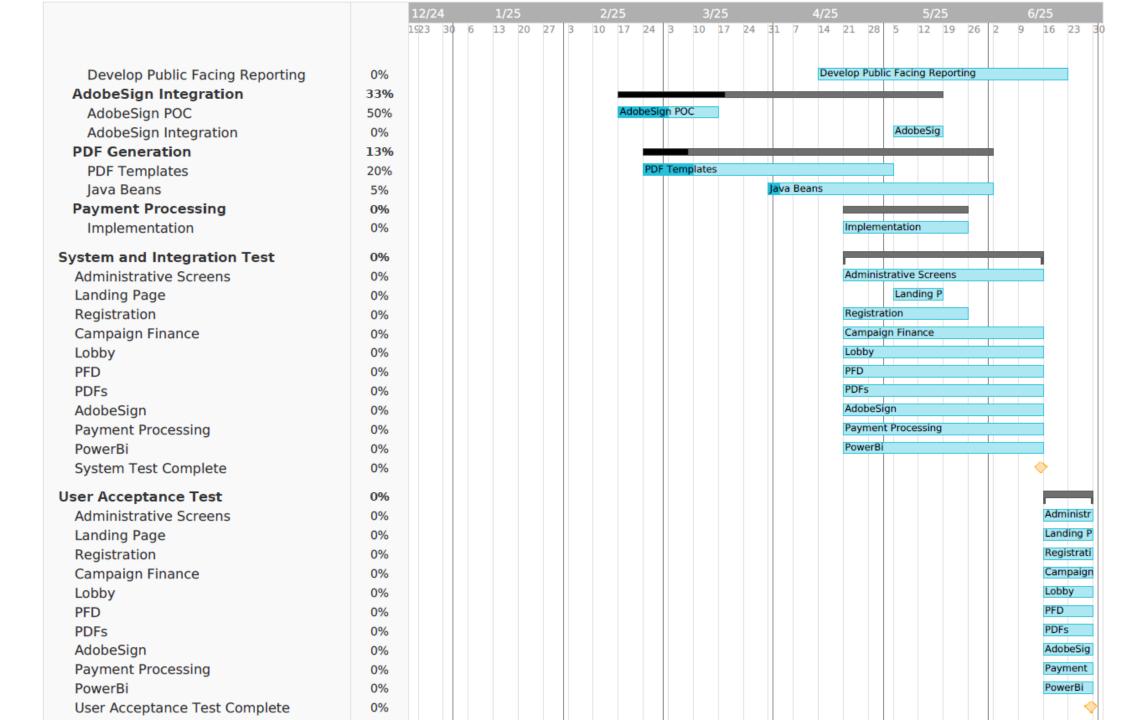
### **Staff Memorandums**

o **AOR-2019-02:** Are virtual, digital, or crypto currencies considered "anything of value" under current statute and ethics rules?

## **Guardian System**









Track political advertising and activity and make research available via an industry-neutral online software platform (SaaS). Services provided to media firms, agencies, platforms, etc.

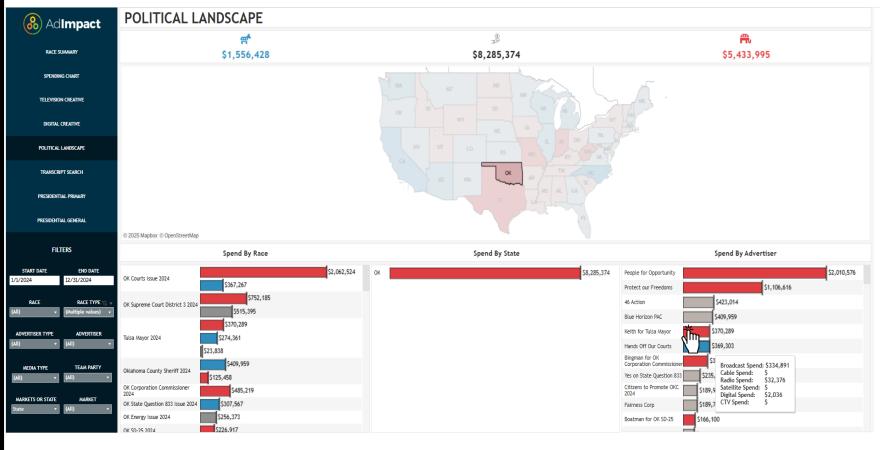
- Advertisement tracking conducted on Radio, TV, connected TV/Streaming Devices, & Digital
  - Includes all national broadcasters, 80+ cable networks, 1,100 local broadcasters, 36,500+ digital advertisers and publishers, and extensive Connected TV/Over the Top(OTT) streaming.
    - oTV accounts for 22+ million households in all 210 Designated Market Areas (DMAs)
  - Captures device-level activity and conducts comprehensive spending analysis including monitoring millions of airings a day. For example:
    - Over the Air/Cable Broadcast Media Sellers report directly to Adimpact
      - Adimpact verifies through FEC
    - Connected TV or CTV (i.e., smart/stream devices)
    - Digital: Google, X, META/Facebook self-report activity in 3 different areas
      - Pulled together on Adimpact's end for the user

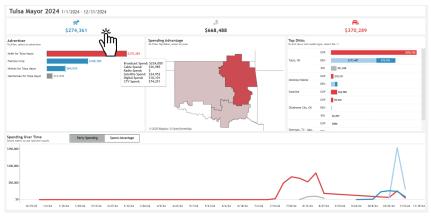
## Comprehensive Political Spending Insights

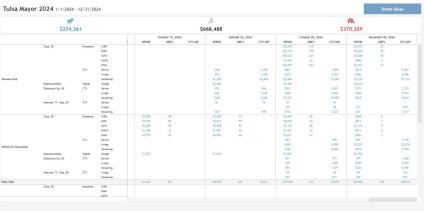
Access detailed tracking of political expenditures for Oklahoma across campaigns, cycles, and races, with granular data down to TV or radio station spending.

Intuitive, easy-to-navigate dashboards enabling quick access to data.

Includes Candidate, PAC, Coordinated and Independent Expenditures by race. Detailed spending by advertising medium separated by week.

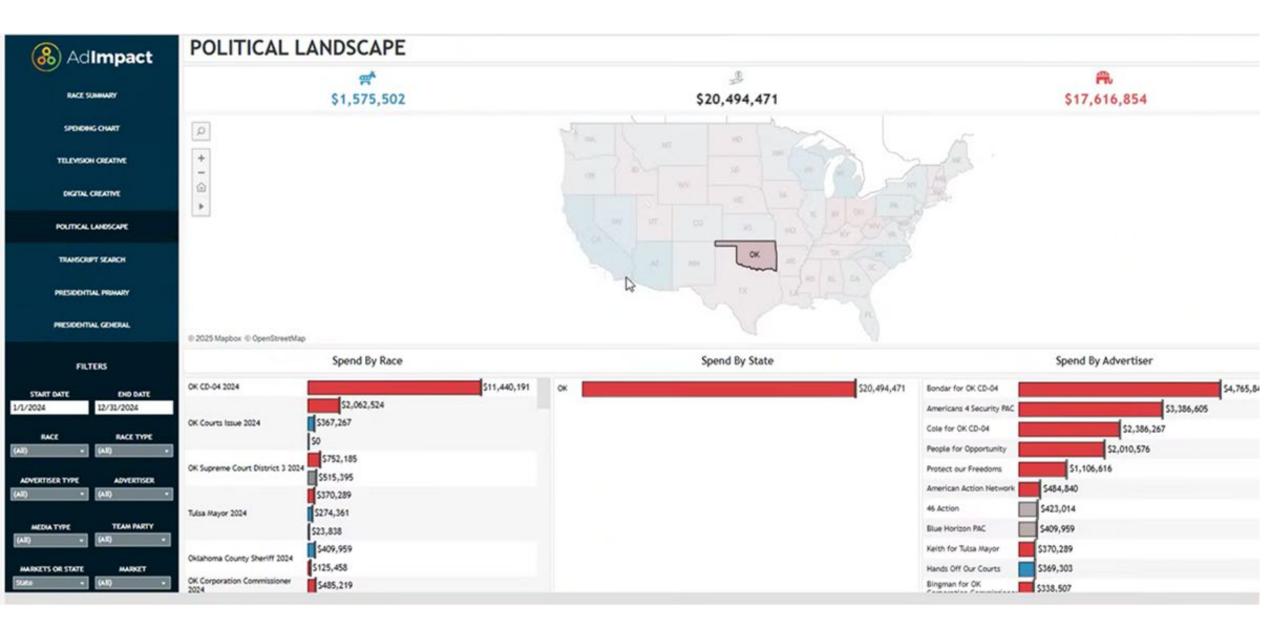


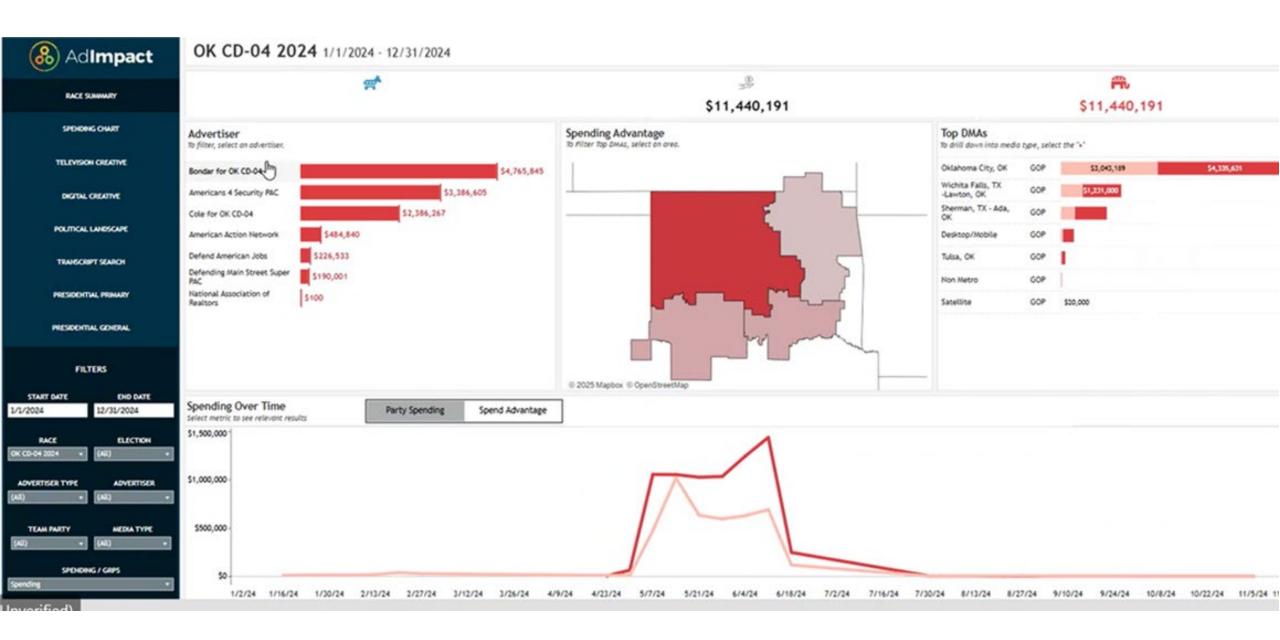






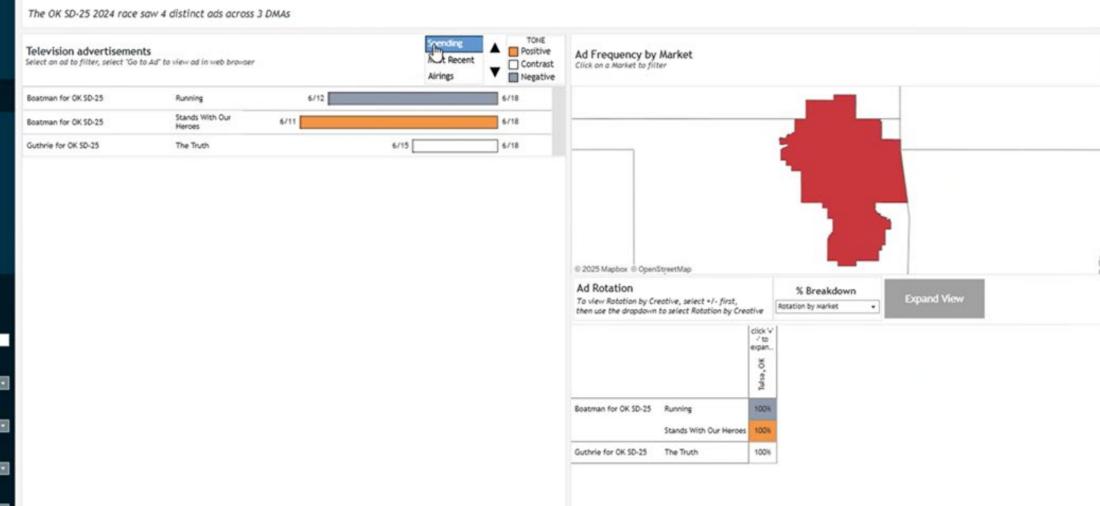
SaaS platform designed to analyze data across linear and streaming at the local level. Provides market share by advertiser, network, station, app, and DMA.







#### OK SD-25 2024 1/1/2024 - 12/31/2024



## Powerful & Easy Analysis Tools

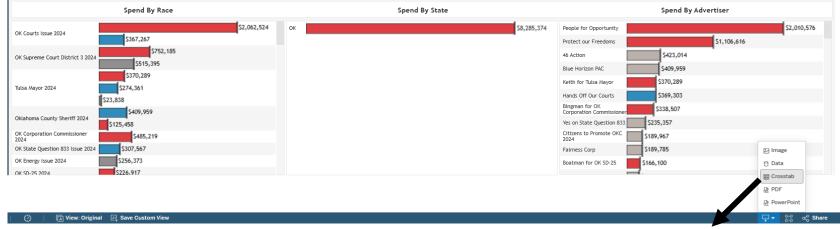
Filter and export data, across races, advertisers or time periods to easily compare to contributions or reported advertisers.

One-click export to PowerPoint, PDF, Excel or export image files of charts and graphs.



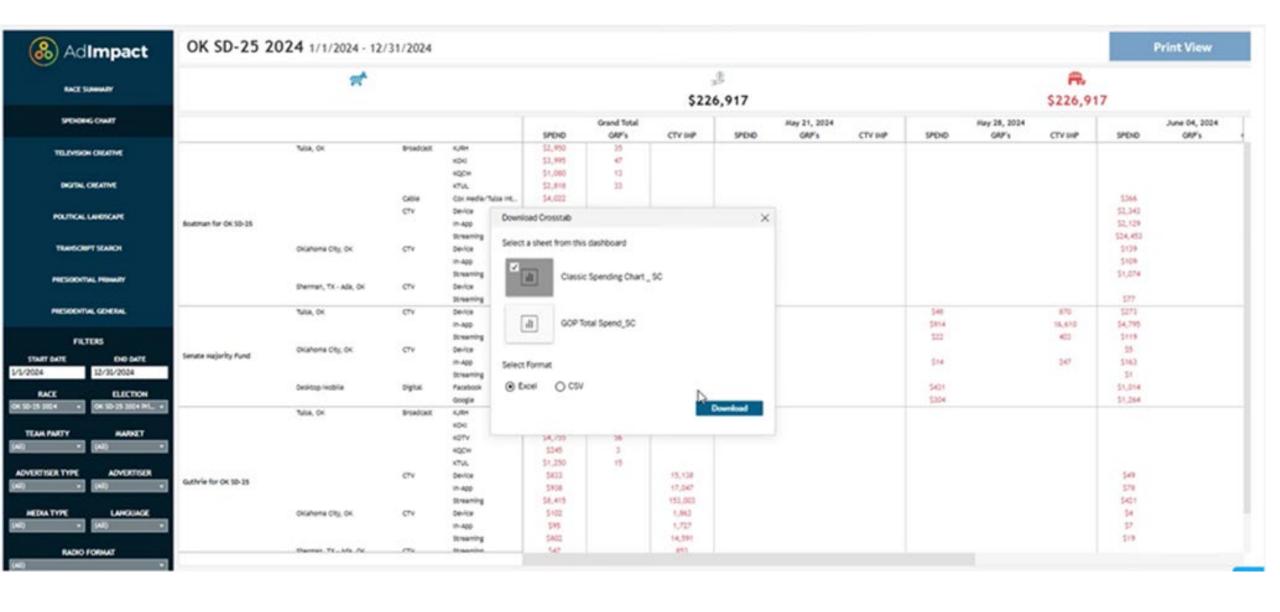
#### **Filters Include:**

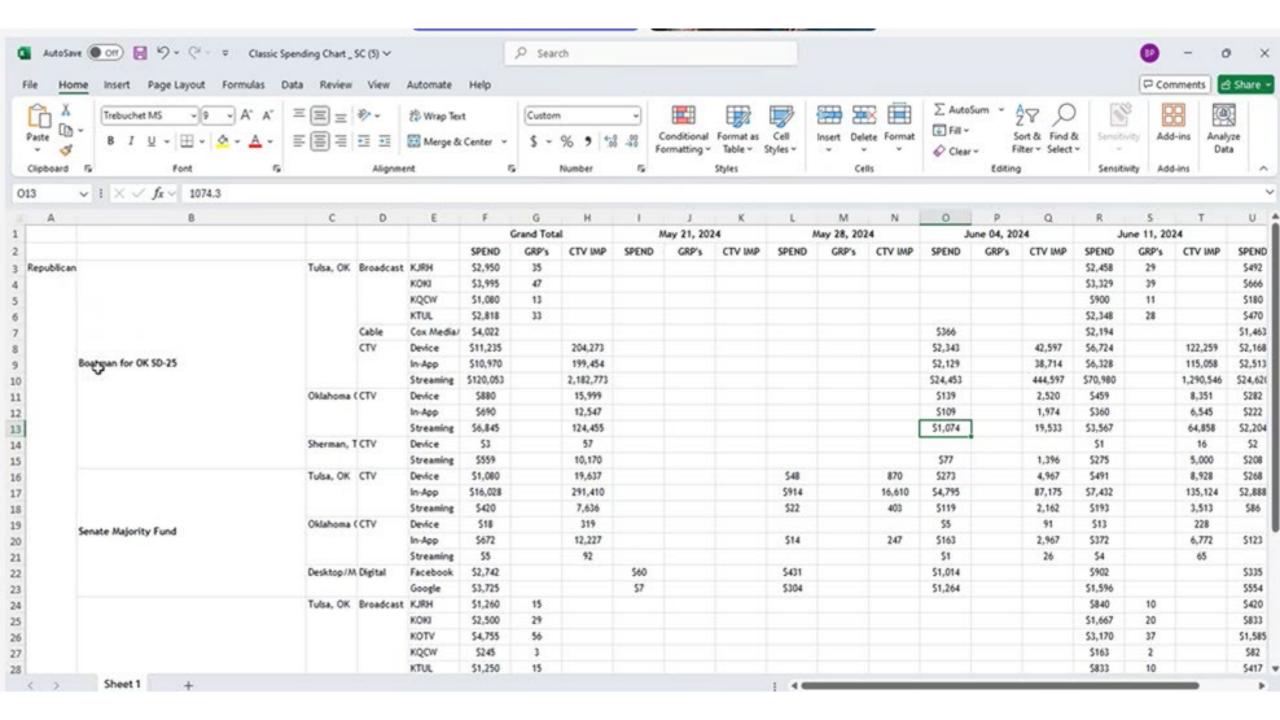
- **Date:** Back to 2018
- Race: Federal, State and Local, including Ballot Measures.
- Race Type: Down Ballot, Governor, House, Presidential, Senate
- Advertiser Type: Candidate, Coordinated, Issue Group
- Media Type: Broadcast, Cable, CTV, Digital, Radio, Satellite
- Advertiser: Named Candidates and Independent Expenditures
- Team Party: Democrat or Republican
- Market or State: All 210 Media Markets available



Advertiser	Party	Broadcast Spend	CTV Spend	Cable Spend	Digital Spend	Radio Spend	SPEND
People for Opportunity	Republican	583,030	728,617	354,473	79,598	67,115	\$2,010,576
Protect our Freedoms	Republican	444,530	502,209	89,977	69,900		\$1,106,616
46 Action	Independent	372,040		50,974			\$423,014
Blue Horizon PAC	Independent	395,850	484			13,625	\$409,959
Keith for Tulsa Mayor	Republican	224,000	74,251	36,985	10,101		\$370,289
Hands Off Our Courts	Democrat	334,891			2,036	32,376	\$369,303
Bingman for OK Corporation Commissioner	Republican	240,000	8,572	89,935			\$338,507
Yes on State Question 833	Independent	178,645	56,712				\$235,357
Citizens to Promote OKC 2024	Independent	83,410	106,557				\$189,967
Fairness Corp	Independent	162,630	12,155		15,000		\$189,785
Boatman for OK SD-25	Republican	10,843	151,235	4,022			\$166,100
Oklahoma Growth Alliance	Independent	45,385	89,376	14,850			\$149,611
Wallace for OK HD-32	Republican	71,840	20,874	51,180			\$143,894
Alliance for Secure Energy	Republican	104,935	36,669	662	80		\$142,346
Johnson for Oklahoma County Sheriff	Republican	105,660	19,798				\$125,458









CUSTOM RANGE

Advocacy & Government **Election Advertisements** 

9/1/24 - 12/31/24

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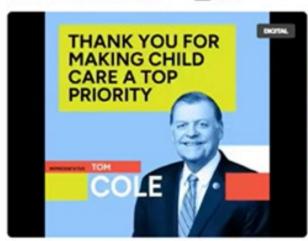
GROUP BY: ELECTION

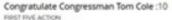
SORT: MOST RECENT

**OK Child Care Issue 2024** 











Tragic Circumstances:15 RESCUE CLAYTON

OK SD-47 2024 General











#### AD ALERT: OKLAHOMA STATE MEDICAL ASSOCIATION



SPOT TITLE

It's Life Threatening

SPOT LENGTH 30

ADVERTISER Oklahoma State Medical

Association

OK Healthcare Issue 2026

FREST TIME ARRIVE 5:52 AM

FIRST ARRIVE DATE March 11, 2025

FIRST AIRING MARKET

Oklahoma City, OK

KWTV (CBS)

#### SPEND IN ELECTION

Democrat: \$9,532 Independent: \$54,401 Republican: \$62

\$63,995

Spending by Team Party in OK Healthcare Issue 2026 Election



### **Analyze Messaging**

Review all ads in one easy to navigate platform to check for proper disclaimers.

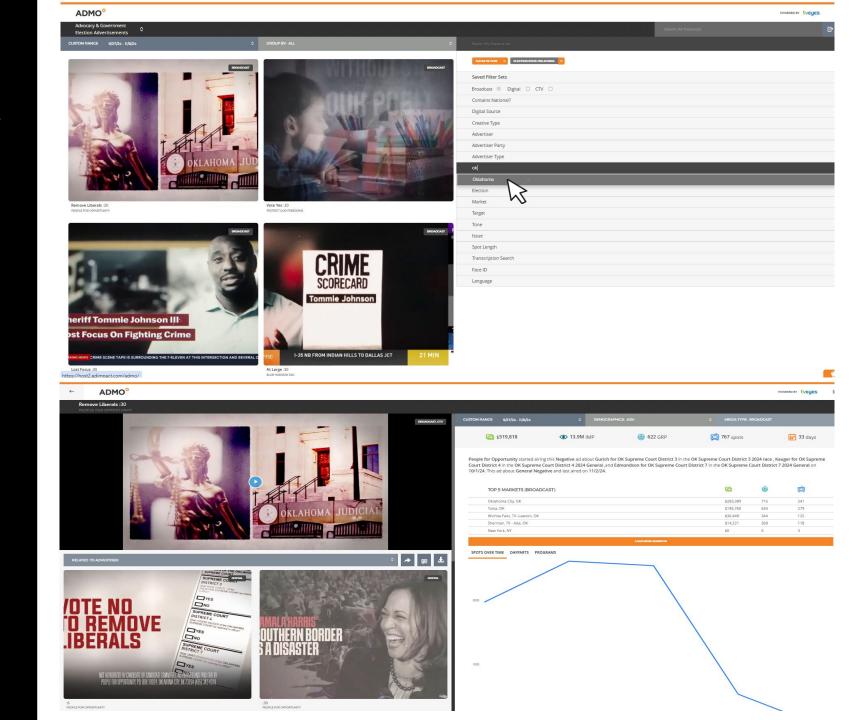
Filter database by Advertiser, Issue, Target, Tone, etc. Search all transcripts for key words.

Easily Export or Share Video Files

Broadcast, digital video, and CTV in one place.

Click the ad for additional detail including impressions, spending and total airings by market and media type.

Real-time logs are updated within minutes of a spot's airing.





## Download our new Mobile App!

On the road? The **AdImpact App** will put the power of our data in the palm of your hand!

- Spending and creative insights at your fingertips
- Customize push notifications for the races you care about most



## **Contribution Limits**

### Contribution limits for 2025–2026

		Recipient						
		Candidate committee	PAC† (SSF and nonconnected)	Party committee: state/district/ local	Party committee: national	Additional national party committee accounts‡		
	Individual	\$3,500* per election	\$5,000 per year	\$10,000 per year (combined)	\$44,300* per year	\$132,900* per year		
Donor	Candidate committee	\$2,000 per election	\$5,000 per year	Unlimited transfers	Unlimited transfers			
	PAC: multicandidate	\$5,000 per election	\$5,000 per year	\$5,000 per year (combined)	\$15,000 per year	\$45,000 per year		
	PAC: non- multicandidate	\$3,500* per election	\$5,000 per year	\$10,000 per year (combined)	\$44,300* per year	\$132,900* per year		
	Party committee: state/district/local	\$5,000 per election	\$5,000 per year	Unlimited transfers	Unlimited transfers			
	Party committee: national	\$5,000 per election**	\$5,000 per year	Unlimited transfers	Unlimited transfers			

\* Indexed for inflation in odd-numbered years.

†"PAC" here refers to a committee that makes contributions to other federal political committees. Independent expenditure- only political committees (sometimes called "Super PACs") may accept unlimited contributions, including from corporations and labor organizations.

‡ The limits in this column apply to a national party committee's accounts for: (i) the presidential nominating convention; (ii) election recounts and contests and other legal proceedings; and (iii) national party headquarters buildings. A party's national committee, Senate campaign committee and House campaign committee are each considered separate national party committee, not the parties' national congressional campaign committees, may have an account for the presidential nominating convention.

\*\*Additionally, a national party committee and its Senatorial campaign committee may contribute up to \$62,000 combined per campaign to each Senate candidate. 110.2(e).



For further information, please contact: Federal Election Commission Washington, DC 20463 (800) 424-9530; (202) 694-1100 info@fec.gov

### 2024 State Elections: Contribution Chart

	RECEIVING COMMITTEES							
CONTRIBUTORS	State Candidate Committee	Limited Committee	1/25 Committee	Party Committee	Unlimited Committee			
Individuals** (candidates may give unlimited contributions to their own campaign)	Per Election Limit*: \$3,300	\$5,000	\$5,000 per year	\$10,000 per year (may be split between multiple party committees)	No Limits			
	Unopposed Candidates: \$3,300 per campaign	per year						
Limited Committee (other than 1/25	Per Election Limit*: \$5,000	\$5,000	Nothing	\$10,000 per year (may be split between multiple party committees)	Nothing			
committees)	Unopposed Candidates: \$5,000 per campaign	per year***						
1/25 Limited Committee	Per Election Limit*: \$2,500	Nothing	Nothing	\$5,000 per year (may be split between multiple party committees)	Nothing			
	Unopposed Candidates: \$2,500 per campaign	Nothing						
State Candidate Committee	\$3,300 per campaign (from 2024 committee to 2024 committee)	Nothing	Nothing	Up to \$25,000, only after the race is decided for that candidate	Nothing			
Political Party	Statewide Office: \$25,000 prior to general election	N. 4.1	Nothing	Unlimited transfers	27.41			
	All Other State Offices: \$10,000 prior to general election	Nothing		between committees in same party	Nothing			
Unlimited Committee	Nothing	Nothing	Nothing	Nothing	Unlimited***			
Corporations	Nothing	Nothing	Nothing	Nothing	Unlimited			

#### \*PER ELECTION LIMITS

Contribution limits are election specific with limits resetting after each election only if the candidate's name will appear on another ballot during the election cycle. A contributor may not provide one contribution to be allocated for multiple elections. After the general election, contributors may make additional contributions so long as the overall total given by the contributor does not exceed the amount of a single election limit for that contributor type.

<sup>\*\*</sup>CASH & ANONYMOUS CONTRIBUTIONS: No more than \$50 of a contributor's contribution may be in cash or from an anonymous source. Cash or anonymous contributions received in excess of \$50 must either be returned to the contributor (if known) or given to the State Treasurer's office to the general revenue fund of the state of Oklahoma.

<sup>\*\*</sup>TRIBES: Contributions from tribes are acceptable, as long as the tribe is not incorporated; tribes follow the individual contribution limit of \$3,300 per election.

<sup>\*\*\*</sup>COMMITTEE TYPE & PURPOSE: A PAC may only contribute to another PAC of the same type and with the same purpose.

## **Attorney General Opinion**

### Rule 4.5. Misuse of Authority.

A state officer or employee shall not use or permit the use of his or her office or title or any authority associated with his or her state office, or a state office to which he or she has been elected, in a manner that is intended to coerce or induce another person, including a subordinate, to provide any benefit, financial or otherwise, to himself or herself or to his or her family members or persons with whom the state officer or employee is affiliated in a nongovernmental capacity, except to the extent otherwise permitted or authorized by the Constitution or statutes or by these Rules.

#### History

Promulgated by Ethics Commission January 10, 2014; effective upon Legislature's sine die adjournment May 23, 2014; operative January 1, 2015.

#### Commission Comment

This Rule is intended to prevent a state officer or employee from using, directing or enticing third parties to use the state officer or employee's public position to improperly enrich the state officer or employee or others.

**Example:** Ferguson, who has been elected to a statewide office but has not yet taken the office, may not offer or threaten "special treatment" for someone that he will regulate as a state officer, depending on whether that person will provide employment or other financial benefits to his spouse.

**Example:** Owens, the head of a state agency, may not permit Finch, her deputy director, to suggest to a representative of Ajax Corporation that "my boss" (Owens) would really enjoy a weekend at the Ajax Corporation resort in Florida.

## **Executive Session**





Commission Meeting March 13, 2025 10:00 AM

## Case No. 2024-03 alleging violations of Ethics Rule 2, Campaign Finance.

## Case No. 2024-37 combined with 2023-27, alleging violations of Ethics Rule 2, Campaign Finance.

## Case No. 2022-26 alleging violations of Ethics Rule 2, Campaign Finance.

## Case No. 2022-38 alleging violations of Ethics Rule 2, Campaign Finance.

## Case No. 2022-43 alleging violations of Ethics Rule 2, Campaign Finance.

## Case No. 2022-12 alleging violations of Ethics Rule 2, Campaign Finance.

# Case Nos. 2023-10 combined with 2024-35, alleging violation of Ethics Rule 5, Lobbyist Registration and Reporting.

## Complaint C-25-02

## Complaint C-25-01

## Complaint C-24-39

## Complaint C-24-40

## Complaint C-24-25