Title 325 – Oklahoma Horse Racing Commission

Chapter 1 - Commission Powers and Jurisdiction

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
1-1-1	Purpose	Prior to 1991 codification				•		Yes	Explains the purpose of the rules in Chapter 1.
1-1-2	Definitions	Amended 7/12/1999 and 9- 14-2019			In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75		Yes	In all Chapter we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter.
1-1-3	Description and powers	Amended at 33 Ok Reg 1534, eff 9-11-16						Yes	This is provided in Statue.
1-1-4	Purpose and intent of jurisdiction	3A:203.7 Added by Laws 1983, c. 11, § 12, emerg. eff. March 22, 1983						Yes	This is provided in Statue.
1-1-5	Controlling authority	3A:200.1(A)(5) Added by Laws 1983, c. 11, § 2, emerg. eff. March 22, 1983. Amended by Laws 1985, c. 196, § 1, emerg. eff. June 26, 1985; Laws 1986, c. 223, § 10, operative July 1, 1986; Laws 1987, c. 208, § 69, operative July 1, 1987; Laws 1987, c. 236, § 88, emerg. eff. July 20, 1987; Laws 1988, c. 210, § 5, operative July 1, 1988; Laws 1991, c. 269, § 6, eff. July 1, 1991; Laws 1992, c. 364, § 14, eff. July 1, 1992; Laws 2004, c. 517, § 1, emerg. eff. June 9, 2004; Laws 2006, c. 274, § 1, emerg. eff. June 7, 2006;						Yes	This is provided in Statue.

		Amended at 33 Ok Reg 1534, eff 9-11-16; Amended at 34 Ok Reg 1321, eff 9- 11-17; Amended at 36 Ok Reg 1449, eff 9-14-19				
1-1-8	Referral to the Commission	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91		Review with Rule Committee this year to amend rule and move as a subsection to rule 325:1-1-7.	Yes	Rules Committee will review this year, to see if the rule can be revoked and add as a subsection under Rule: 325:1-1-7 Jurisdiction of the Stewards to suspend or fine.
1-1-9	Payment of fines	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91			Yes	Timeline in paying fines.
1-1-10	Stewards' reports and records	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91			Yes	Ensures records and reports provided.
1-1-11	Power to order examination of horse	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91			Yes	Ensures protection of horses.
1-1-12	Extension for compliance	3A:205.2(A) Added by Laws 1983, c. 11, § 19, emerg. eff. March 22, 1983. Amended by Laws 1984, c. 203, § 2, operative July 1, 1984; Laws 1985, c. 196, § 6, emerg. eff. June 26, 1985; Laws 1986, c. 223, § 13, operative July 1, 1986; Laws 1987, c. 208, § 70, operative July 1, 1987; Laws 1987, c. 236, § 89, emerg. eff. July 20, 1987; Laws 1989, c. 369, § 102, emerg. eff. June 6, 1989;			Yes	This is provided in Statue.

		Laws 1991, c. 269, § 8, eff. July 1, 1991; Laws 1992, c. 16, § 5, emerg. eff. March 26, 1992; Laws 1992, c. 364, § 18, emerg. eff. June 4, 1992; Laws 2017, c. 116, § 4, eff. July 1, 2017.				
1-1-13	Notice to licensee	Amended at 16 Ok Reg 2855, eff 7-12-99; Amended at 21 Ok Reg 3174, eff 9- 11-04		OHRC Rules Committee will review this rule for FY2022.	Yes	Provides notice to be given in writing. OHRC Rules Committee will start the review of this rule in August for consideration of changes for FY2022, with the goal of keeping the rules precise/clean.
1-1-14	Location for information or filing with Commission Offices	Amended at 14 Ok Reg 2931, eff 7-11-97; Amended at 30 Ok Reg 496, eff 5-15- 13; Amended at 36 Ok Reg 1449, eff 9-14-19		OHRC Rules Committee will review this rule for FY2022.	Yes	Commission office location. OHRC Rules Committee will start the review of this rule in August for consideration of changes for FY2022, with the goal of keeping the rules precise/clean by adding "Email Address".
1-1-15	Public inspection of documents	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91			Yes	All forms and final orders available for public inspection.
1-1-16	Release of record(s); copying charges	Amended at 16 Ok Reg 2855, eff 7-12-99; Amended at 18 Ok Reg 3466, eff 6-26-01 through 7-14-02 (emergency). This emergency action expired without being superseded by a permanent action. Upon expiration of an emergency amendatory action, the last prior permanent text is reinstated. Therefore, on 7-15-02 (after the 7-14-02 expiration of the emergency action), the text of 325:1-1-16 reverted back to the permanent text that became			Yes	Explains release of records.

1-1-17	Forms and instructions	effective 7-12-99, as last published in the 2001 Edition of the OAC and as is published again herein. Amended at 14 Ok Reg 2931, eff 7-11-97; Amended at 36 Ok Reg 1449, eff 9-14-19		OHRC Rules Committee will review this rule for FY2022.	Yes	Forms adopted by Commission. OHRC Rules Committee will start the review of this rule in August for consideration of changes for FY2022, with the goal of keeping the rules precise/clean by adding "Notice of Hearing" form.
1-1-18	Procedures for submitting petitions for promulgation or repeal of rules and for requests for declaratory ruling	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91			Yes	Procedures for submitting petitions.
1-1-19	Severability of rules and regulations from any deemed invalid	75 O.S., §§ 302, 305, and 307; 3A O.S., § 204(A) Codified 12-31-91			Yes	Severability of rules.
1-1-20	Regularly scheduled meetings, special meetings, emergency meetings, and cancellation of meetings	25 O.S. §301 Laws 1977, HB 1416, c. 214, § 1, eff. October 1, 1977			Yes	This is provided in Statue.
1-1-21	Meeting Notices	25 O.S. § 311 Laws 1977, HB 1416, c. 214, § 11, eff. October 1, 1977; Amended by Laws 1987, HB 1070, c. 184, § 1, eff. November 1, 1987; Amended by Laws 1992, HB 2409, c. 12, § 2, eff. September 1, 1992; Amended by Laws 2017, SB 403, c. 105, § 1, eff. November 1, 2017; Amended by Laws 2019,			Yes	This is provided in Statue.

		SB 740, c. 376, § 1, eff. November 1, 2019 Amended by Laws 2020, SB 661, c. 3, § 4, emerg. eff. March 19, 2020				
1-1-22	Procedure for submitting requests to be placed on Commission agenda	Amended at 16 Ok Reg 2855, eff 7-12-99; Amended at 18 Ok Reg 3466, eff 6- 26-01 through 7-14-02 (emergency) ¹ ; Amended at 30 Ok Reg 496, eff 5-15-13			Yes	Procedures. For submitting requests to be placed on Commission agenda.

Chapter 10 – Racing Organization

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
10-1-1	Purpose	3A O.S., § 200 Added by						Yes	Explains the purpose of the rules in Chapter
		Laws 1983, c. 11, § 1,							10.
		emerg. eff. March 22, 1983							
10-1-2	Definitions	Amended at 16 Ok Reg			In some	Chapter		Yes	In all Chapters we have Definitions: some
		2859, eff 7-12-99; Amended			Chapters	1,10,15,25,3			words may be duplicate in some chapters. The
		at 30 Ok Reg 496, eff 5-15-				0,35,40,45,5			duplication is needed to define the meaning in
		13				0,55,60,65			this chapter.
						& 75			
10-1-3	Allocation of Racing	Amended at 11 Ok Reg						Yes	Allocation of racing dates.
	Dates	4191, eff 7-26-94							
10-1-4	Intent of	3A O.S., § 204(A) Codified						Yes	Intent of Commission.
	Commission	12-31-91							
10-1-5	Economic standard	3A O.S., § 204(A) Codified						Yes	Economic standard for racing facility.
		12-31-91							
10-1-6	Duty of licensed	Amended at 26 Ok Reg 805,						Yes	Describes duty of licensed organization.
	organization	eff 4-25-09							
10-1-7	Conditions of a race	3A O.S., § 204(A) Codified						Yes	Requires conditions of race meetings.
	meeting	12-31-91							
10-1-8	Right of	Amended at 33 Ok Reg						Yes	Requires organization to provide Commission
	Commission to	1535, eff 9-11-16							information and reports.
	information								

10-1-9	Duty to compile official program	3A O.S., § 204(A) Codified 12-31-91		Yes	Requires organization to compile official program.
10-1-10	Duty to maintain racing records	Amended at 16 Ok Reg 2859, eff 7-12-99		Yes	Requires organization to maintain racing records.
10-1-11	Horsemen's Bookkeeper	Amended at 16 Ok Reg 2859, eff 7-12-99; Amended at 30 Ok Reg 496, eff 5-15- 13		Yes	Horsemen's Bookkeeper guidelines.
10-1-12	Accounting practices and responsibility	3A O.S., § 204(A) Codified 12-31-91		Yes	Accounting practices.
10-1-13	Totalizator and pari- mutuel equipment	3A O.S., § 204(A) Codified 12-31-91		Yes	Equipment shall be approved by Commission.
10-1-14	Electronic photo finish device	3A O.S., § 204(A) Codified 12-31-91		Yes	Electronic photo finish device approved by Commission.
10-1-15	Videotape recording of races	3A O.S., § 204(A) Codified 12-31-91		Yes	Describes videotape recording system required.
10-1-16	Identification of photo finish photographs and videotape recordings	3A O.S., § 204(A) Codified 12-31-91		Yes	Recordings shall be identified.
10-1-17	Altering official photographs or recordings	3A O.S., § 204(A) Codified 12-31-91		Yes	Prohibits altering of photographs or recordings.
10-1-18	Preservation of official photographs and recordings	3A O.S., § 204(A) Codified 12-31-91		Yes	Recordings to be preserved.
10-1-19	Viewing room required	3A O.S., § 204(A) Codified 12-31-91		Yes	Viewing room to be provided.
10-1-20	Feed and supplies	3A O.S., § 204(A) Codified 12-31-91		Yes	Ensures multiple vendors allowed.
10-1-21	Space and accommodations for the Commission and the Oklahoma Tax Commission	3A O.S., § 204(A) Codified 12-31-91		Yes	Organization shall provide space for Commission.
10-1-22	Duty to receive complaints	3A O.S., § 204(A) Codified 12-31-91		Yes	Organization shall maintain place to receive complaints.

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	Bulletin boards	3A O.S., § 204(A) Codified		Yes	Bulletin board for official notices required.
	required	12-31-91			
10-1-24	Communication	3A O.S., § 204(A) Codified		Yes	Organization required to provide
	systems required	12-31-91			communication and public address systems.
10-1-25	Ambulance service	Amended at 25 Ok Reg 877,		Yes	Ambulance required.
		eff 5-11-08			
10-1-26	Emergency medical	3A O.S., § 204(A) Codified		Yes	Adequate first aid facilities required.
	facilities	12-31-91			
10-1-27	Safety of racecourse	3A O.S., § 204(A) Codified		Yes	Racecourse to be maintained in safe condition.
	and premises	12-31-91			
10-1-28	Starting point	3A O.S., § 204(A) Codified		Yes	Describes starting point markers and distance
	markers and distance	12-31-91			poles to be used.
	poles				
10-1-29	Grade and distance	3A O.S., § 204(A) Codified		Yes	Survey required.
	survey	12-31-91			
10-1-30	Minimum standards	Amended at 18 Ok Reg		Yes	Explains minimum standards for pari-mutuel
	for pari-mutuel	3468, eff 6-26-01 through 7-			facility.
	racing facility	14-02 (emergency) ¹ ;			
		Amended at 30 Ok Reg 496,			
		eff 5-15-13			
10-1-31	Organization as the	3A O.S., § 204(A) Codified		Yes	Organization agrees to indemnify and hold
	insurer of the race	12-31-91			harmless Commission.
	meeting				
10-1-32	Procedure to	Revoked at 33 Ok Reg		Yes	Revoked.
	establish horsemen's	1535, eff 9-11-16			
	purses at Fair Race				
	Meetings [Revoked]				
10-1-33	Horsemen's Purses	Amended at 10 Ok Reg		Yes	Revenue to purses.
		2251, eff 3-11-93; Amended			
		at 11 Ok Reg 4191, eff 7-			
		26-94; Amended at 15 Ok			
		Reg 3192, eff 7-13-98;			
		Amended at 33 Ok Reg			
		1535, eff 9-11-16			

Chapter 15 - Licensing

Subchapter 1 - General Provisions

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
15-1-1	Purpose	3A O.S., §§ 204(A)(6) and						Yes	Explains the purpose of the rules in Chapter
		208.2, 203.7 and 204							15.
		Codified 12-31-91							
15-1-2	Definitions	Amended at 16 Ok Reg 2863,			In some	Chapter		Yes	In all Chapters we have Definitions: some
		eff 7-12-99; Amended at 30			Chapters	1,10,15,25,3			words may be duplicate in some chapters. The
		Ok Reg 499, eff 5-15-13;				0,35,40,45,5			duplication is needed to define the meaning in
		Amended at 30 Ok Reg 1322,				0,55,60,65			this chapter.
		eff 6-27-13; Amended at 36				& 75			Amended Rule effected September 14, 2020
		Ok Reg 1451, eff 9-14-19							which added and/or changed the definitions.

Subchapter 3 – Organizational Licensing

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
15-3-1	Time for filing	3A:205.2(A) Added by Laws						Yes	This is provided in Statue.
	major organization	1985, c. 196, § 7, emerg. eff.							
	applications	June 26, 1985.							
15-3-2	Application for	Amended at 21 Ok Reg 351,						Yes	Application for license to conduct horse race
	license and days to	eff 12-1-03 through 7-14-04							meeting.
	conduct a horse	(emergency) ¹ ; Amended at 21							
	race meeting	Ok Reg 3174, eff 9-11-04							
15-3-3	Commission may	3A O.S., § 205.2						Yes	This is provided in Statue.
	demand	Added by Laws 1983, c. 11, §							
	information	19, emerg. eff. March 22,							
		1983. Amended by Laws							
		1984, c. 203, § 2, operative							
		July 1, 1984; Laws 1985, c.							
		196, § 6, emerg. eff. June 26,							
		1985; Laws 1986, c. 223, §							
		13, operative July 1, 1986;							
		Laws 1987, c. 208, § 70,							
		operative July 1, 1987; Laws							
		1987, c. 236, § 89, emerg. eff.							

		July 20, 1987; Laws 1989, c. 369, § 102, emerg. eff. June 6, 1989; Laws 1991, c. 269, § 8, eff. July 1, 1991; Laws 1992,			
		c. 16, § 5, emerg. eff. March 26, 1992; Laws 1992, c. 364, § 18, emerg. eff. June 4, 1992;			
		Laws 2017, c. 116, § 4, eff. July 1, 2017			
15-3-4	Application for organization license	Amended at 12 Ok Reg 1695, eff 6-12-95; Amended at 13 Ok Reg 2153, eff 6-14-96; Amended at 18 Ok Reg 3469,		Yes	This is provided in Statue.
		eff 6-26-01 (emergency); Amended at 19 Ok Reg 1724, eff 6-13-02; Amended at 33 Ok Reg 1536, eff 9-11-16			
15-3-5	List of shareholders	3A:205.2a Added by Laws 1985, c. 196, § 7, emerg. eff. June 26, 1985		Yes	List of shareholders available for inspection by Commission.
15-3-6	Denial of license	3A:205.2 Added by Laws 1983, c. 11, § 19, emerg. eff. March 22, 1983. Amended by Laws 1984, c. 203, § 2, operative July 1, 1984; Laws 1985, c. 196, § 6, emerg. eff. June 26, 1985; Laws 1986, c. 223, § 13, operative July 1, 1986; Laws 1987, c. 208, § 70, operative July 1, 1987; Laws 1987, c. 236, § 89, emerg. eff. July 20, 1987; Laws 1989, c. 369, § 102, emerg. eff. June 6, 1989; Laws 1991, c. 269, § 8, eff. July 1, 1991; Laws 1992, c. 16, § 5, emerg. eff. March 26, 1992; Laws 1992, c. 364,		Yes	This is provided in Statue.

		§ 18, emerg. eff. June 4, 1992; Laws 2017, c. 116, § 4, eff. July 1, 2017					
15-3-7	Organization bond requirement	3A:205.2(D) Added by Laws 1983, c. 11, § 19, emerg. eff. March 22, 1983. Amended by Laws 1984, c. 203, § 2, operative July 1, 1984; Laws 1985, c. 196, § 6, emerg. eff. June 26, 1985; Laws 1986, c. 223, § 13, operative July 1, 1986; Laws 1987, c. 208, § 70, operative July 1, 1987; Laws 1987, c. 236, § 89, emerg. eff. July 20, 1987; Laws 1989, c. 369, § 102, emerg. eff. June 6, 1989; Laws 1991, c. 269, § 8, eff. July 1, 1991; Laws 1992, c. 16, § 5, emerg. eff. March 26, 1992; Laws 1992, c. 364, § 18, emerg. eff. June 4, 1992; Laws 2017, c. 116, § 4, eff. July 1, 2017			Ye	es	This is provided in Statue.

Subchapter 5 – Occupational Licensing

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
15-5-1	Occupation licenses	Amended at 16 Ok Reg 2863,						Yes	This is provided in Statute.
		eff 7-12-99; Amended at 17							Currently in proposed rule change process.
		Ok Reg 2415, eff 6-26-00							
15-5-2	Application for	3A:204.2(B)						Yes	This is provided in Statute.
	license	Added by Laws 1983, c. 11, §							
		15, emerg. eff. March 22,							
		1983. Amended by Laws							
		1985, c. 196, § 4, emerg. eff.							
		June 26, 1985; Laws 1986, c.							

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		223, § 12, operative July 1,					
		1986; Laws 1988, c. 210, § 6,					
		operative July 1, 1988; Laws					
		1989, c. 369, § 98, operative					
		July 1, 1989; Laws 1992, c. 16,					
		§ 4, emerg. eff. March 26,					
		1992; Laws 1999, c. 8, § 1, eff.					
		Nov. 1, 1999; Laws 2000, c.					
		238, § 1, emerg. eff. May 24,					
		2000; Laws 2003, c. 204, § 2,					
		eff. Nov. 1, 2003; Laws 2017,					
		c. 116, § 3, eff. July 1, 2017					
15-5-3	Employment of	Amended at 30 Ok Reg 1322,				Yes	License required.
	Unlicensed Person	eff 6-27-13					•
15-5-4	Notice of	3A O.S., § 204(A) Codified				Yes	Commission to be notified upon employment
	employment	12-31-91					termination.
	termination						
15-5-5	License	Amended at 16 Ok Reg 1447,				Yes	License identification badge requirements.
	identification badge	eff 5-28-99					
	requirements						
15-5-6	License subject to	3A O.S., § 204(A) Codified				Yes	License subject to conditions and
	conditions and	12-31-91					agreements.
	agreements						
15-5-7	Knowledge of rules	3A O.S., § 204(A) Codified				Yes	Every licensee is presumed to know the
	C	12-31-91					rules.
15-5-8	Certain prohibited	Amended at 11 Ok Reg 4193,				Yes	Lists prohibited licenses.
	licenses	eff 7-26-94; Amended at 16					
		Ok Reg 2863, eff 7-12-99;					
		Amended at 30 Ok Reg 499,					
		eff 5-15-13					
15-5-9	Application	3A O.S., § 204(A) Codified				Yes	Changes in application information to be
	information	12-31-91					reported to Commission.
	changes						_
15-5-10	Grounds for denial,	Amended at 16 Ok Reg 2863,			Updated rule	Yes	Describes grounds for denial, suspension or
	refusal, suspension	eff 7-12-99; Amended at 33			in FY 2021.		revocation of license. This rule has now been
	or revocation of	Ok Reg 1538, eff 9-11-16					amended with the goal of keeping the rules
	license						precise/clean and will go into effect Sept 14,
							2020
							1

15-5-11	Refusal without prejudice	3A O.S., § 204(A) Codified 12-31-91				Yes	Describes license refusals.
15-5-12	Hearing after denial of license	3A O.S., § 204(A) Codified 12-31-91				Yes	Procedures after denial of license.
15-5-13	Financial responsibility of applicants	3A O.S., § 204(A) Codified 12-31-91				Yes	Applicants must submit evidence of financial responsibility when requested.
15-5-14	Examinations	3A O.S., § 204(A) Codified 12-31-91				Yes	License applicants to demonstrate proficiency and qualifications.
15-5-15	Physical examination	Amended at 24 Ok Reg 968, eff 5-11-07				Yes	Physical examination, release-to-work document, by medical professional may be required.
15-5-16	Age, weight, and experience qualifications for Jockey	Amended at 11 Ok Reg 4195, eff 7-26-94			Updated rule in FY 2021.	Yes	Describes qualifications for Jockey. With the goal of updating a rule that hasn't been changed since 1994, it has now been amended effective Sept. 14, 2020
15-5-17	Qualifications for Apprentice Jockey	Amended at 11 Ok Reg 4195, eff 7-26-94; Amended at 17 Ok Reg 2415, eff 6-26-00; Amended at 18 Ok Reg 3472, eff 6-26-01 through 7-14-02 (emergency) ¹ ; Amended at 30 Ok Reg 499, eff 5-15-13			Updated rule in FY 2021.	Yes	Describes qualifications for Apprentice Jockey. This amended rule describing the qualifications for Apprentice Jockeys will go into effect Sept. 14, 2020
15-5-18	Jockey Agent	Amended at 11 Ok Reg 4195, eff 7-26-94; Amended at 36 Ok Reg 1451, eff 9-14-19				Yes	Jockey Agent regulations.
15-5-19	Workers' Compensation Act compliance	Amended at 25 Ok Reg 878, eff 5-11-08				Yes	Workers' Compensation required.
15-5-20	Program Trainer Prohibited	Amended at 30 Ok Reg 1322, eff 6-27-13			Updated rule in FY 2021.	Yes	Prohibits Program Trainer. This amended rule that prohibits program trainers, effective Sept. 14, 2020 will now help control a large problem within the industry

15-5-21	Licensing required prior to entry or scratch time	Amended at 11 Ok Reg 4195, eff 7-26-94; Amended at 16 Ok Reg 2863, eff 7-12-99; Amended at 24 Ok Reg 968, eff 5-11-07		Yes	Licensing required prior to starting.
15-5-22	Qualifications for license as horse owner	Amended at 25 Ok Reg 878, eff 5-11-08		Yes	Qualifications for horse Owner license.
15-5-23	Horse ownership by lease	Amended at 33 Ok Reg 1539, eff 9-11-16		Yes	Describes horse ownership by lease.
15-5-24	Horse ownership by corporation, partnership, syndicate, or other association or entity	3A O.S., § 204(A) Codified 12-31-91		Yes	Horse ownership by Corporation.
15-5-25	Statements of corporation, partnership, syndicate or other association or entity	3A O.S., § 204(A) Codified 12-31-91		Yes	Organization documents shall be filed with Commission.
15-5-26	Stable name registration	3A O.S., § 204(A) Codified 12-31-91		Yes	Stable Names to be registered. Currently in proposed rule change process.
15-5-27	Honoring official credentials	Amended at 16 Ok Reg 2863, eff 7-12-99; Amended at 33 Ok Reg 1539, eff 9-11-16		Yes	Credentials issued by the Commission shall be honored for admission.

Chapter 20 – Racing Officials and Racing Personnel

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
20-1-1	Purpose	3A O.S., §§ 203.4, 203.5,						Yes	Explains the purpose of the rules in Chapter
		203.7, 204, 204.2, 205.2, and							20.
		205.4.							
20-1-2	Definitions	Amended at 16 Ok Reg 2869,			In some	Chapter		Yes	In all Chapters we have Definitions: some
		eff 7-12-99; Amended at 26			Chapters	1,10,15,25,3			words may be duplicate in some chapters.
		Ok Reg 806, eff 4-25-09;				0,35,40,45,5			

		Amended at 30 Ok Reg 501, eff 5-15-13	0,55,60,65 & 75			The duplication is needed to define the meaning in this chapter.
20-1-3	Racing Officials	Amended at 25 Ok Reg 2492, eff 7-11-08; Amended at 36 Ok Reg 1452, eff 9-14-19			Yes	Lists Racing Officials.
20-1-4	Responsibility to the Commission	Amended at 30 Ok Reg 501, eff 5-15-13			Yes	Racing Officials responsible to the Commission for the performance of their duties.
20-1-5	Racing Officials subject to approval	3A O.S., § 204(A) Codified 12-31-91			Yes	Racing Officials subject to approval by Commission.
20-1-6	Racing Officials appointed by the Commission	Amended at 14 Ok Reg 2932, eff 7-11-97; Amended at 23 Ok Reg 973, eff 5-11-06; Amended at 33 Ok Reg 1540, eff 9-11-16; Amended at 36 Ok Reg 1452, eff 9-14-19			Yes	Lists Racing Officials appointed by the Commission.
20-1-7	Racing personnel employed by the Commission	3A O.S., § 204(A) Codified 12-31-91			Yes	Racing personnel employed by the Commission.
20-1-8	General authority of Stewards	3A O.S., § 204(A) Codified 12-31-91			Yes	Stewards have general authority.
20-1-9	Vacancy among Racing Officials	3A O.S., § 204(A) Codified 12-31-91			Yes	Stewards shall fill a vacancy immediately.
20-1-10	Calling off race or race day	3A O.S., § 204(A) Codified 12-31-91			Yes	Race cancellation if cannot be conducted in accordance with Act.
20-1-11	Substitution of Jockey or Trainer	3A O.S., § 204(A) Codified 12-31-91			Yes	Stewards may substitute Jockey.
20-1-12	Stewards' list	Amended at 28 Ok Reg 773, eff 5-26-11		OHRC Rules Committee will review this rule for FY2022.	Yes	Stewards shall maintain Steward's List of horses ineligible to enter. OHRC Rules Committee will start the review of this rule in August for consideration of changes for FY2022, with the goal of keeping the rules precise/clean.
20-1-13	Duties of the Starter	Amended at 25 Ok Reg 878, eff 5-11-08			Yes	Describes Starter's duties.
20-1-14	Starter's List	3A O.S., § 204(A) Codified 12-31-91			Yes	Starter shall maintain list of horses ineligible to start.

20-1-15	Duties of the Paddock Judge	Amended at 26 Ok Reg 806, eff 4-25-09				Yes	Describes duties of Paddock Judge.
20-1-16	Duties of Patrol Judges	3A O.S., § 204(A) Codified 12-31-91				Yes	Describes duties of Patrol Judges.
20-1-17	Duties of Placing Judges and Timers	3A O.S., § 204(A) Codified 12-31-91				Yes	Describes duties of Placing Judges.
20-1-18	Duties of the Clerk of Scales	Amended at 30 Ok Reg 501, eff 5-15-13; Amended at 33 Ok Reg 1540, eff 9-11-16				Yes	Describes duties of Clerk of Scales.
20-1-19	Duties of the Racing Secretary	3A O.S., § 204(A) Codified 12-31-91				Yes	Describes duties of Racing Secretary.
20-1-20	Duties of Associate Judge	3A O.S., § 204(A) Codified 12-31-91				Yes	Describes duties of Associate Judge.
20-1-21	Duties of the Official Veterinarian	Amended at 29 Ok Reg 1221, eff 6-25-12				Yes	Describes duties of Official Veterinarian.
20-1-22	Duties of the Racing Veterinarian	Amended at 29 Ok Reg 1221, eff 6-25-12				Yes	Describes duties of Racing Veterinarian.
20-1-23	Veterinarian's List	3A O.S., § 204(A) Codified 12-31-91			OHRC Rules Committee will review this rule for FY2022.	Yes	Official Veterinarian shall maintain list of horses ineligible to start. OHRC Rules Committee will start the review of this rule in August for consideration of changes for FY2022, with the goal of keeping the rules precise/clean.
20-1-24	Duties of the Horse Identifier	Amended at 26 Ok Reg 806, eff 4-25-09; Amended at 36 Ok Reg 1452, eff 9-14-19				Yes	Describes duties of Horse Identifier.
20-1-25	Duties of the Safety Steward	Added at 36 Ok Reg 1452, eff 9-14-19				Yes	Describes duties of Safety Steward.
20-1-26	Duties of the Outrider	Added at 36 Ok Reg 1452, eff 9-14-19				Yes	Describes duties of Outrider.

Chapter 25 – Entries and Declarations

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			

25-1-1	Purpose	3A O.S., § 204(A) Added by State Question No. 553, Initiative Petition No. 315, adopted at election held Sept. 21, 1982. Amended by Laws 1983, c. 11, § 13, emerg. eff. March 22, 1983; Laws 1985, c. 196, § 3, emerg. eff. June 26, 1985; Laws 1987, c. 208, § 68, operative July 1, 1987; Laws 1987, c. 236, § 87, emerg. eff. July 20, 1987; Laws 1989, c. 369, § 97, operative July 1, 1989; Laws 1990, c. 170, § 1, eff. Sept. 1, 1990; Laws 1992, c. 16, § 3, emerg. eff. March 26, 1992; Laws 1992, c. 364, § 17, emerg. eff. June 4, 1992; Laws 1993, c. 355, § 17, emerg. eff June 10, 1993; Laws 1997, c. 305, § 2, eff. July 1, 1997				Yes	Explains the purpose of the rules in Chapter 25.
25-1-2	Definitions	Amended at 16 Ok Reg 2872, eff 7-12-99; Amended at 30 Ok Reg 502, eff 5-15-13; Amended at 33 Ok Reg 1541, eff 9-11-16; Amended at 36 Ok Reg 1454, eff 9-14-19	In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75	Updated rule in FY 2021.	Yes	In all Chapter we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter. Effective Sept. 14, 2020, this rule has been amended to keep definitions as clear and precise as possible
25-1-3	Control over entries and declarations	3A O.S., § 204(A) Codified 12-31-91				Yes	Entries under the supervision of Stewards.
25-1-4	Racing Secretary to establish conditions					Yes	Racing Secretary responsible for entries.
25-1-5	Entries	Amended at 23 Ok Reg 2592, eff 6-25-06; Amended at 30 Ok Reg 1325, eff 6-27-13				Yes	Describes entries.
25-1-6	Determining eligibility	Amended at 36 Ok Reg 1454, eff 9-14-19				Yes	Determination of a horse's eligibility.

25-1-7	Penalties and	3A O.S., § 204(A) Codified		Yes	Describes penalties and allowances.
	allowances	12-31-91			
25-1-8	Sex allowance	3A O.S., § 204(A) Codified		Yes	Describes filly and mare allowance.
		12-31-91			
25-1-9	Entries survive with	3A O.S., § 204(A) Codified		Yes	Explains penalties and allowances.
	transfer	12-31-91			
25-1-	Horses ineligible to	Amended at 14 Ok Reg 2933,		Yes	Reconciled with 325:25-1-10 effective 9-14-
10o2	start in a race	eff 7-11-97; Amended at 24			19.
		Ok Reg 969, eff 5-11-07;			
		Amended at 28 Ok Reg 656,			
		eff 5-12-11; Amended at 33			
		Ok Reg 452, eff 2-15-16			
		(emergency); Amended at 33			
		Ok Reg 1544, eff 9-11-16 ¹			
25-1-10	Horses ineligible to	Amended at 14 Ok Reg 2933,	OHRC Rules	Yes	Describes horses ineligible to start in a race.
	start in a race	eff 7-11-97; Amended at 24	Committee		OHRC Rules Committee will start the review
		Ok Reg 969, eff 5-11-07;	will review		of this rule in August for consideration of
		Amended at 28 Ok Reg 656,	this rule for		changes for FY2022, with the goal of
		eff 5-12-11; Amended at 33	FY2022.		keeping the rules precise/clean.
		Ok Reg 452, eff 2-15-16			
		(emergency); Amended at 33			
		Ok Reg 1543, eff 9-11-16 ¹ ;			
		Amended at 33 Ok Reg 1544,			
		eff 9-11-16 ¹ ; Amended at 36			
		Ok Reg 1454, eff 9-14-1			
25-1-11	Age restrictions	Amended at 18 Ok Reg 3473,		Yes	Age restrictions.
		eff 6-26-01 through 7-14-02			
		(emergency) ¹ ; Amended at 30			
		Ok Reg 502, eff 5-15-13			
25-1-12	Horses ineligible to	Amended at 17 Ok Reg 2417,	OHRC Rules	Yes	Ineligible horses may be scratched. OHRC
	enter or start	eff 6-26-00	Committee		Rules Committee will start the review of this
			will review		rule in August for consideration of changes
			this rule for		for FY2022, with the goal of keeping the
07.1.15	D		FY2022.	**	rules precise/clean.
25-1-13	Registration	3A O.S., § 204(A) Codified		Yes	Registration Certificate to reflect correct
	Certificate to reflect	12-31-91			ownership.
	correct ownership				

25-1-14	Alteration or forgery of Certificate of Registration	3A O.S., § 204(A) Codified 12-31-91			Yes	No person shall forge Registration Certificate.
25-1-15	Transfer after sale of a horse within the enclosure	3A O.S., § 204(A) Codified 12-31-91			Yes	Notification after sale.
25-1-16	Declarations and scratches	3A O.S., § 204(A) Codified 12-31-91			Yes	Describes declarations and scratches.
25-1-17	Coupling of Entries	Amended at 11 Ok Reg 4197, eff 7-26-94; Amended at 14 Ok Reg 2933, eff 7-11-97; Amended at 23 Ok Reg 2592, eff 6-25-06; Amended at 24 Ok Reg 969, eff 5-11-07; Amended at 32 Ok Reg 1196, eff 8-27-15			Yes	Explains coupling of entries.
25-1-18	List of horses within the enclosure	3A O.S., § 204(A) Codified 12-31-91			Yes	Organization maintains list of horses in enclosure.
25-1-19	Deadline for arrival of entered horses	Amended at 20 Ok Reg 1230, eff 5-27-03			Yes	Deadline for arrival of entered horses. Currently in proposed rule change process.
25-1-20	Change of name of horse	3A O.S., § 204(A) Codified 12-31-91			Yes	Name changes must be printed in official program.
25-1-21	Refund of Fees	Amended at 32 Ok Reg 1196, eff 8-27-15			Yes	Describes refund of fees.
25-1-22	Release of Certificates	3A O.S., § 204(A) Codified 12-31-91			Yes	Registration Certificates to be released to Trainer.
25-1-23	Nomination payment races	Amended at 30 Ok Reg 502, eff 5-15-13			Yes	Describes organizations responsibility to ensure payments.
25-1-24	Late scratch in an overnight race	3A O.S., § 204(A) Codified 12-31-91			Yes	Explains scratch after "scratch time".
25-1-25	Scratch in stakes and nomination races	3A O.S., § 204(A) Codified 12-31-91			Yes	Scratches from stakes allowed until one hour prior to post time.
25-1-26	Limitations on field and number of races	3A O.S., § 204(A) Codified 12-31-91		Updated rule in FY 2021.	Yes	Describes field size limitations. With the intention of cleaning up the rule, it has now

						been amended effective Sept. 14, 2020 by adding "stakes".
25-1-27	Agreement upon entry	3A O.S., § 204(A) Codified 12-31-91			Yes	Disputes to be determined by Stewards and Commission.
25-1-28	Selection of Entered Horses	Amended at 32 Ok Reg 1196, eff 8-27-15			Yes	Post positions determined by Stewards.
25-1-29	Preferred list of horses	3A O.S., § 204(A) Codified 12-31-91			Yes	Describes preferred list.
25-1-30	Jockey mount fees	Amended at 17 Ok Reg 2417, eff 6-26-00; Amended at 27 Ok Reg 2173, eff 7-11-10			Yes	Lists jockey mount fees.
25-1- 30.1	Naming/engaging of riders	Added at 18 Ok Reg 3473, eff 6-26-01 through 7-14-02 (emergency) ¹ ; Added at 30 Ok Reg 502, eff 5-15-13			Yes	Sets time to name riders.
25-1-31	Double registered horses	3A O.S., § 204(A) Codified 12-31-91			Yes	Explains procedure for double registered horses.
25-1-32	Coggins Test	Added at 28 Ok Reg 657, eff 5-12-11; Amended at 30 Ok Reg 502, eff 5-15-13; Amended at 30 Ok Reg 1325, eff 6-27-13; Amended at 36 Ok Reg 1454, eff 9-14-19		Updated rule in FY 2021.	Yes	Describes Equine Infectious Anemia rule. This amended rule describing Equine Infectious Anemia is now clear, meets State requirements and will go into effect Sept. 14, 2020

Chapter 30 – Claiming Races

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
30-1-1	Purpose	3A O.S., § 204						Yes	Explains the purpose of the rules in Chapter
		Added by State Question No.							30.
		553, Initiative Petition No.							
		315, adopted at election held							
		Sept. 21, 1982. Amended by							
		Laws 1983, c. 11, § 13, emerg.							
		eff. March 22, 1983; Laws							
		1985, c. 196, § 3, emerg. eff.							

		June 26, 1005, Lowe 1007, a				
		June 26, 1985; Laws 1987, c.				
		208, § 68, operative July 1,				
		1987; Laws 1987, c. 236, § 87,				
		emerg. eff. July 20, 1987;				
		Laws 1989, c. 369, § 97,				
		operative July 1, 1989; Laws				
		1990, c. 170, § 1, eff. Sept. 1,				
		1990; Laws 1992, c. 16, § 3,				
		emerg. eff. March 26, 1992;				
		Laws 1992, c. 364, § 17,				
		emerg. eff. June 4, 1992; Laws				
		1993, c. 355, § 17, emerg. eff				
		June 10, 1993; Laws 1997, c.				
		305, § 2, eff. July 1, 1997				
30-1-2	Definitions	Amended at 16 Ok Reg 2876,	In some	Chapter	Yes	In all Chapter we have Definitions: some
30-1-2	Deminions	eff 7-12-99; Amended at 30		1,10,15,25,3	168	
			Chapters			words may be duplicate in some chapters.
		Ok Reg 505, eff 5-15-13;		0,35,40,45,5		The duplication is needed to define the
		Amended at 36 Ok Reg 1457,		0,55,60,65		meaning in this chapter.
		eff 9-14-19		& 75	 	
30-1-3	Racing interest	Amended at 21 Ok Reg 3175,			Yes	Racing interest defined.
	defined	eff 9-11-04; Amended at 23				
		Ok Reg 973, eff 5-11-06			 	
30-1-4	Eligibility to claim	3A O.S., § 204(A) Codified			Yes	Describes eligibility to claim.
		12-31-91				
30-1-5	Prohibited actions	Amended at 30 Ok Reg 505,			Yes	Lists prohibited actions with respect to
	with respect to	eff 5-15-13				claims.
	claim					
30-1-6	Responsibility for	3A O.S., § 204(A) Codified			Yes	Describes responsibility for prohibited
	prohibited actions	12-31-91				actions.
30-1-7	Affidavit may be	3A O.S., § 204(A) Codified			Yes	Authorizes Stewards to require an affidavit.
	required	12-31-91			103	rumonzes stewards to require an amadvit.
	1	Amended at 11 Ok Reg 4199,			Yes	Explains claim rules.
30-1-0	claim	eff 7-26-94; Amended at 33			103	Explains claim fules.
	Ciallii	· ·				
20.1.0	Emono sulsi -1-	Ok Reg 1546, eff 9-11-1			Vac	Emploing among which investigate along
	Errors which	Amended at 33 Ok Reg 1546,			Yes	Explains errors which invalidate claims.
	invalidate claim	eff 9-11-16			**	
30-1-10	Opening of claim	3A O.S., § 204(A) Codified			Yes	Procedure for claim.
		12-31-91				

30-1-11	Vesting of title to claimed horse	3A O.S., § 204(A) Codified 12-31-91		Yes	Describes when title is vested to claimed horse.
30-1-12	Delivery of claimed horse	3A O.S., § 204(A) Codified 12-31-91		Yes	Explains delivery of claimed horse.
30-1-13	Delivery of claimed horse to the test barn	Amended at 23 Ok Reg 973, eff 5-11-06		Yes	Describes procedures for claimed horses requiring post-race testing.
30-1-14	Delivery of certificates or documents	3A O.S., § 204(A) Codified 12-31-91		Yes	Ensures proper transfer of Registration Certificate of claimed horse.
30-1-15	Warranty of clear title	3A O.S., § 204(A) Codified 12-31-91		Yes	Ensures clear title of horse.
30-1-16	Sale or transfer of claimed horse	3A O.S., § 204(A) Codified 12-31-91		Yes	Prohibits claimed horse sale for 30 days.
30-1-17	Entry of claimed horse	Amended at 13 Ok Reg 2157, eff 6-14-96; Amended at 23 Ok Reg 973, eff 5-11-06; Amended at 24 Ok Reg 970, eff 5-11-07; Amended at 28 Ok Reg 657, eff 5-12-11		Yes	Describes limits to entry of claimed horse.
30-1-18	Entry of mare in foal in a claiming race	3A O.S., § 204(A) Codified 12-31-91		Yes	Regulates entry of mare in foal.
30-1-19	Recision of claim	3A O.S., § 204(A) Codified 12-31-91		Yes	Stewards may rescind claims.

Chapter 35 – General Conduct

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
35-1-1	Purpose	3A O.S., § 200						Yes	Explains the purpose of the rules in Chapter
		Added by Laws 1983, c. 11, §							35.
		1, emerg. eff. March 22, 1983							
35-1-2	Definitions	Amended at 16 Ok Reg 2879,			In some	Chapter	Updated rule	Yes	In all Chapter we have Definitions: some
		eff 7-12-99; Amended at 30 Ok			Chapters	1,10,15,25,3	in FY 2021.		words may be duplicate in some chapters. The
		Reg 506, eff 5-15-13; Amended				0,35,40,45,5			duplication is needed to define the meaning in
		at 36 Ok Reg 1458, eff 9-14-19							this chapter. Effective Sept. 14, 2020, this rule

				0,55,60,65 & 75			has been amended to keep definitions as clear and precise as possible.
35-1-3	Conditions of meeting binding upon licensees	3A O.S., § 204(A) Codified 12- 31-91				Yes	Conditions of meeting bound upon licensees.
35-1-4	Horsemen's Representative Organizations and Agreements [Renumbered]	Renumbered to 325:35-1-33 at 13 Ok Reg 2961, eff 7-12-96				Yes	Renumbered.
35-1-5	Trainer responsibility	Amended at 23 Ok Reg 2069, eff 5-9-06 (emergency); Amended at 24 Ok Reg 971, eff 5-11-07; Amended at 36 Ok Reg 1458, eff 9-14-19			Updated rule in FY 2021. OHRC Rules Committee will review this rule for FY2022.	Yes	Describes Trainer responsibility. By amending this rule that goes into effect Sept. 14, 2020, it will primarily avoid trainers transferring horses to family while suspended for longer than 60 days. (Program trainers). Continue to review with OHRC Rules Committee for FY2022 which still needs some work to meet our goal of being clear, and precise/clean.
35-1-6	Altering sex of horse	3A O.S., § 204(A) Codified 12- 31-91				Yes	Altering sex of horse shall be reported.
35-1-7	Official workouts and schooling races	Amended at 16 Ok Reg 2879, eff 7-12-99				Yes	Requires Trainer to identify horse for workout.
35-1-8	Intoxication	Amended at 10 Ok Reg 2005, eff 5-27-93; Amended at 33 Ok Reg 1547, eff 9-11-16				Yes	No licensee shall be under the influence or disturb the peace.
35-1-9	Firearms	Amended at 20 Ok Reg 1230, eff 5-27-03				Yes	Firearms are prohibited.
35-1-10	Financial responsibility	Amended at 16 Ok Reg 1448, eff 5-28-99				Yes	Licensees to be financially responsible.
35-1- 10.1	Filing and processing of financial responsibility complaints	Added at 16 Ok Reg 1448, eff 5-28-99; Amended at 33 Ok Reg 1547, eff 9-11-16				Yes	Process to file financial responsibility complaints.

35-1-11	Checks	3A O.S., § 204(A) Codified 12- 31-91		Yes	Checks to have sufficient funds.
35-1-12	Gratuity to Starter or Assistant Starter prohibited	3A O.S., § 204(A) Codified 12- 31-91		Yes	Gratuity to Starter prohibited.
35-1-13	Possession of contraband	Amended at 29 Ok Reg 723, eff 4-19-12 (emergency); Amended at 30 Ok Reg 506, eff 5-15-13; Amended at 36 Ok Reg 1458, eff 9-14-19		Yes	Contraband prohibited.
35-1-14	Bribes prohibited	3A O.S., § 204(A) Codified 12- 31-91		Yes	Bribes prohibited.
35-1-15	Trainer's duty to ensure licensed participation	3A O.S., § 204(A) Codified 12- 31-91	Updated rule in FY 2021.	Yes	To ensure licensed participation & trainer responsibility this rule has been amended and goes into effect Sept. 14, 2020
35-1-16	Conduct detrimental to horse racing	3A O.S., § 204(A) Codified 12- 31-91		Yes	Conduct detrimental to horse racing prohibited.
35-1-17	Illegal or improper communications equipment or devices [Revoked]	Revoked at 24 Ok Reg 972, eff 5-11-07		Yes	Revoked
35-1-18	Communicating results of races [Revoked	Revoked at 33 Ok Reg 1547, eff 9-11-16		Yes	Revoked
35-1-19	Denial of access to private property	3A O.S., § 204(A) Codified 12- 31-91		Yes	Organization licensee may deny access to private property.
35-1-20	Qualifications for participation at race meeting	Amended at 17 Ok Reg 2419, eff 6-26-00		Yes	Management employee of Organization Licensee prohibited from financial interest.
35-1-21	Tricks/schemes prohibited	3A O.S., § 204(A) Codified 12- 31-91	Updated rule in FY 2021.	Yes	False statements prohibited. With the intention of prohibiting false statements, this rule has been amended and goes into effect Sept. 14, 2020

35-1-22	Prearranging the outcome of a race prohibited	3A O.S., § 204(A) Codified 12- 31-91		Yes	Prearranging outcome of race prohibited.
35-1-23	Compliance with orders of Racing Officials and Commission representatives	3A O.S., § 204(A) Codified 12- 31-91		Yes	Abusive or profane language prohibited.
35-1-24	Persons prohibited from wagering and being present in the enclosure	3A O.S., § 204(A) Codified 12- 31-91		Yes	Persons prohibited from enclosure.
35-1-25	Duty of organization to exclude or eject prohibited persons	3A O.S., § 204(A) Codified 12- 31-91		Yes	Organization to exclude prohibited persons.
35-1-26	Disorderly persons	3A O.S., § 204(A) Codified 12- 31-91		Yes	Disorderly persons may be removed.
35-1-27	Notice of exclusion or ejection	3A O.S., § 204(A) Codified 12- 31-91		Yes	Organization shall inform Commission of Exclusions.
35-1-28	Determination of rehabilitation	3A O.S., § 204(A) Codified 12- 31-91		Yes	Excluded persons may apply for determination.
35-1-29	Denial of access by organization licensee	3A O.S., § 204(A) Codified 12- 31-91		Yes	Licensee may exercise right to deny access.
35-1-30	Jockey wagering	3A O.S., § 204(A) Codified 12- 31-91	Updated rule in FY 2021.	Yes	Limits to Jockey wagering. Amended Rule effected September 14, 2020 which updated the rule.
35-1-31	Fighting	Added at 12 Ok Reg 2431, eff 6-26-95		Yes	Fighting prohibited.
35-1-32	Theft	Added at 12 Ok Reg 2431, eff 6-26-95		Yes	Theft prohibited.
35-1-33	Horsemen's Representative Organizations and Agreements	Renumbered from 325:35-1-4 at 13 Ok Reg 2961, eff 7-12-96		Yes	OHRC determines organization representing Owners and Trainers.

35-1-34	Election of horsemen's representative organizations and agreements	Added at 13 Ok Reg 2961, eff 7-12-96; Amended at 18 Ok Reg 3473, eff 6-26-01 through 7-14-02 (emergency) ¹ ; Amended at 23 Ok Reg 974, eff 5-11-06; Amended at 30 Ok Reg 506, eff 5-15-13		Yes	Describes process for election of Horsemen's Representatives.
35-1-35	Agreements to be binding on members	Added at 13 Ok Reg 2961, eff 7-12-96		Yes	Agreements binding on members.
35-1-36	Agreements to be binding on Organization Licensee	Added at 13 Ok Reg 2961, eff 7-12-96		Yes	Agreements binding on Organization Licensees.
35-1-37	Agreements to be filed	Added at 13 Ok Reg 2961, eff 7-12-96; Amended at 16 Ok Reg 1448, eff 5-28-99; Amended at 30 Ok Reg 506, eff 5-15-13; Amended at 33 Ok Reg 1548, eff 9-11-16		Yes	Agreements to be filed with Commission.
35-1-38	Prohibited provisions of horsemen's agreements	Added at 13 Ok Reg 2961, eff 7-12-96; Amended at 24 Ok Reg 972, eff 5-11-07; Amended at 30 Ok Reg 506, eff 5-15-13		Yes	Prohibited provisions of Horsemen's agreements.

Chapter 40 – Veterinarian Practices and Restrictions

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
40-1-1	Purpose	Amended at 35 Ok Reg 1530,						Yes	Explains the purpose of the rules in Chapter
		eff 9-14-18							40.
40-1-2	Definitions	Amended at 10 Ok Reg 2769,			In some	Chapter		Yes	In all Chapter we have Definitions: some
		eff 6-25-93; Amended at 16 Ok			Chapters	1,10,15,25,3			words may be duplicate in some chapters.
		Reg 2882, eff 7-12-99;			_	0,35,40,45,5			The duplication is needed to define the
		Amended at 30 Ok Reg 510, eff				0,55,60,65			meaning in this chapter.
		5-15-13; Amended at 30 Ok				& 75			-
		Reg 1325, eff 6-27-13;							

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		Amended at 35 Ok Reg 1530,				
		eff 9-14-18; Amended at 36 Ok				
		Reg 1460, eff 9-14-19				
40-1-3	Veterinary	Amended at 10 Ok Reg 2769,		Y	es	Veterinary practice treatment restrictions.
	practices -	eff 6-25-93; Amended at 30 Ok				
	Treatment	Reg 1325, eff 6-27-13;				
	restricted	Amended at 35 Ok Reg 1530,				
		eff 9-14-18; Amended at 36 Ok				
		Reg 1460, eff 9-14-19				
40-1-4	Veterinarians	Amended at 35 Ok Reg 1530,		Y	es	Veterinarians under supervision of Official
	under supervision	eff 9-14-18				Veterinarian.
	of Official					
	Veterinarian					
40-1-5	Veterinarian	Amended at 23 Ok Reg 2070,		Y	es	Veterinarian reports required.
	reports	eff 5-9-06 (emergency);				
		Amended at 23 Ok Reg 2593,				
		eff 6-25-06; Amended at 35 Ok				
		Reg 1530, eff 9-14-18;				
		Amended at 36 Ok Reg 1460,				
		eff 9-14-19				
40-1-6	Bandages	Amended at 35 Ok Reg 1530,		Y	es	Only approved bandages permitted.
		eff 9-14-18				
40-1-7	Posterior digital	Amended at 35 Ok Reg 1530,		Y	es	Posterior digital neurectomy guidelines.
	neurectomy	eff 9-14-18				
40-1-8	Postmortem	Amended at 30 Ok Reg 510, eff		Y	es	Postmortem examination to be conducted.
	examination	5-15-13; Amended at 35 Ok				
		Reg 1530, eff 9-14-18				
40-1-9	Labeling of	Amended at 35 Ok Reg 1530,		Y	es	Labeling of veterinary prescription
	veterinary	eff 9-14-18				medications.
	prescription					
10 1 1	medications				_	
40-1-10	Veterinary	Amended at 35 Ok Reg 1530,		Y	es	Veterinary waste disposal.
	equipment	eff 9-14-18				

Chapter 45 – Prohibited Practices and Equine Testing

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
45-1-1	Purpose	Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18					Updated rule in FY 2021.	Yes	Explains the purpose of the rules in Chapter 45. Amended Rule effected September 14, 2020 which updated the rule.
45-1-2	Definitions	Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 17 Ok Reg 2420, eff 6-26-00; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 977, eff 5-11-06; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 977, eff 5-11-06; Amended at 23 Ok Reg 977, eff 5-11-06; Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 30 Ok Reg 511, eff 5-15-13; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18			In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75	Updated rule in FY 2021.	Yes	In all Chapter we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter Effective Sept. 14, 2020, this rule has been amended to keep definitions as clear and precise as possible.
45-1-3	Intent of medication rules [Revoked]	Amended at 16 Ok Reg 3307, eff 7-26-99; Revoked at 35 Ok Reg 347, eff 12-18-17 (emergency); Revoked at 35 Ok Reg 1535, eff 9-14-18						Yes	Revoked.
45-1-4	Substance violations	Amended at 10 Ok Reg 4731, eff 9-28-93 (emergency); Amended at 11 Ok Reg 3195, eff 6-27-94; Amended at 13 Ok Reg 2159, eff 6-14-96; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06;					Updated rule in FY 2021.	Yes	No substance within 24 hours. Amended Rule effected September 14, 2020 which updated the rule based on ARCI Rules, which all racing jurisdiction try to adhere to.

		Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18					
45-1-5	Collection and testing of biological samples	Amended at 10 Ok Reg 4731, eff 9-28-93 (emergency); Amended at 11 Ok Reg 3195, eff 6-27-94; Amended at 12 Ok Reg 1697, eff 6-12-95; Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18				Yes	Official Veterinarian shall collect biological samples.
45-1-6	Use of permitted substances	Amended at 10 Ok Reg 4731, eff 9-28-93 (emergency); Amended at 11 Ok Reg 3195, eff 6-27-94; Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 26 Ok Reg 808, eff 4-25-09; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18				Yes	No horse carrying prohibited substance shall race.
45-1- 6.1	Listed thresholds for Thoroughbreds	Added at 35 Ok Reg 347, eff 12-18-17 (emergency); Added at 35 Ok Reg 1535, eff 9-14-18			Updated rule in FY 2021.	Yes	Thresholds for Thoroughbreds. Amended Rule effected September 14, 2020 which updated the rule based on ARCI

						Rules, which all racing jurisdiction try to adhere to.
45-1- 6.2	Listed thresholds for Quarter Horses, Paints, and Appaloosas	Added at 35 Ok Reg 347, eff 12-18-17 (emergency); Added at 35 Ok Reg 1535, eff 9-14-18		Updated rule in FY 2021.	Yes	Thresholds for Quarter Horses, Paints and Appaloosas. Amended Rule effected September 14, 2020 which updated the rule based on ARCI Rules, which all racing jurisdiction try to adhere to.
45-1-7	Authority to test sample [Revoked]	Amended at 10 Ok Reg 4731, eff 9-28-93 (emergency); Amended at 11 Ok Reg 3195, eff 6-27-94; Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Revoked at 35 Ok Reg 347, eff 12-18-17 (emergency); Revoked at 35 Ok Reg 1535, eff 9-14-18			Yes	Revoked.
45-1-8	Furosemide (Salix) use with detention barn [Revoked]	Amended at 20 Ok Reg 1231, eff 5-27-03; Revoked at 23 Ok Reg 2071, eff 5-9-06 (emergency); Revoked at 23 Ok Reg 2594, eff 6-25-06			Yes	Revoked.
45-1- 9.1	Phenylbutazone use	Added at 35 Ok Reg 347, eff 12-18-17 (emergency); Added at 35 Ok Reg 1535, eff 9-14-18		Updated rule in FY 2021.	Yes	Describes use of Phenylbutazone. Amended Rule effected September 14, 2020 which updated the rule.
45-1-9	Furosemide use	Amended at 10 Ok Reg 4731, eff 9-28-93 (emergency); Amended at 11 Ok Reg 3195, eff 6-27-94; Amended at 13 Ok Reg 2573, eff 6-28-96; Amended at 17 Ok Reg 3525, eff 8-31-00 through 7-14-01 (emergency) ¹ ; Amended at 20			Yes	Describes use of Furosemide.

		Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 24 Ok Reg 973, eff 5-11-07; Amended at 32 Ok Reg 1197, eff 8-27-15; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18				
45-1-10	Conditional Furosemide (Salix) use [Revoked]	Amended at 20 Ok Reg 1231, eff 5-27-03; Revoked at 23 Ok Reg 2071, eff 5-9-06 (emergency); Revoked at 23 Ok Reg 2594, eff 6-25-06			Yes	Revoked.
45-1-11	Trainer responsibility	Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18			Yes	Trainer is responsible for substance violation.
45-1-12	Bleeder lists	Amended at 16 Ok Reg 3285, eff 5-5-99 (emergency); Amended at 17 Ok Reg 2420, eff 6-26-00; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18			Yes	Official Veterinarian maintains bleeder list.

45-1-13	Racing after bleeding	Amended at 12 Ok Reg 1697, eff 6-12-95; Amended at 13 Ok Reg 1527, eff 3-28-96 (emergency); Amended at 13 Ok Reg 2161, eff 6-14-96; Amended at 16 Ok Reg 3285, eff 5-5-99 (emergency); Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 25 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18		Yes	Describes horses not eligible to race after bleeding.
45-1-14	Racing soundness examination	Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18		Yes	Horses entered to race may be examined for racing soundness.
45-1-15	Equine drug testing laboratory reports [Revoked]	Amended at 10 Ok Reg 4731, eff 9-28-93 (emergency); Amended at 11 Ok Reg 3195, eff 6-27-94; Amended at 16 Ok Reg 3307, eff 7-26-99; Amended at 20 Ok Reg 1231, eff 5-27-03; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Revoked at 35 Ok Reg 347, eff 12-18-17 (emergency); Revoked at 35 Ok Reg 1535, eff 9-14-18		Yes	Revoked.
45-1-16	Pre-race testing	Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18	OHRC Rules Committee will review	Yes	Pre-race testing may be required. The OHRC Rules Committee will review for changes in FY2022 for adding "Out of Competition Testing Procedures".

					this rule for		
					FY2022		
45-1-17	Requirements for	Amended at 10 Ok Reg 4731,				Yes	Organization licensees shall provide
	official testing	eff 9-28-93 (emergency);					equipment.
		Amended at 11 Ok Reg 3195,					
		eff 6-27-94; Amended at 23 Ok					
		Reg 2071, eff 5-9-06					
		(emergency); Amended at 23					
		Ok Reg 2594, eff 6-25-06;					
		Amended at 35 Ok Reg 347, eff					
		12-18-17 (emergency);					
		Amended at 35 Ok Reg 1535,					
		eff 9-14-18					
45-1-18	Collection of post-	Amended at 10 Ok Reg 4731,				Yes	Official Veterinarian shall collect biological
	race samples	eff 9-28-93 (emergency);					samples.
	-	Amended at 11 Ok Reg 3195,					
		eff 6-27-94; Amended at 13 Ok					
		Reg 1797, eff 5-3-96					
		(emergency); Amended at 14					
		Ok Reg 2284, eff 6-12-97;					
		Amended at 14 Ok Reg 2934,					
		eff 7-11-97; Amended at 16 Ok					
		Reg 1449, eff 5-28-99;					
		Amended at 23 Ok Reg 2071,					
		eff 5-9-06 (emergency);					
		Amended at 23 Ok Reg 2594,					
		eff 6-25-06; Amended at 29 Ok					
		Reg 1222, eff 6-25-12;					
		Amended at 35 Ok Reg 347, eff					
		12-18-17 (emergency);					
		Amended at 35 Ok Reg 1535,					
		eff 9-14-18					
45-1-19	Official testing	Amended at 23 Ok Reg 2071,			Updated rule	Yes	The rule regarding Official testing
		eff 5-9-06 (emergency);			in FY 2021.		(Laboratory guidelines) has been amended is
		Amended at 23 Ok Reg 2594,					effective Sept. 14, 2020.
		eff 6-25-06; Amended at 35 Ok					•
		Reg 347, eff 12-18-17					

		(emergency); Amended at 35					
		Ok Reg 1535, eff 9-14-18					
45-1-20	Split tests	Amended at 23 Ok Reg 2071,			Updated rule	Yes	The rule regarding Split testing has been
	1	eff 5-9-06 (emergency);			in FY 2021.		amended and is effective Sept. 14, 2020.
		Amended at 23 Ok Reg 2594,					1
		eff 6-25-06; Amended at 30 Ok					
		Reg 511, eff 5-15-13; Amended					
		at 33 Ok Reg 1549, eff 9-11-16;					
		Amended at 35 Ok Reg 347, eff					
		12-18-17 (emergency);					
		Amended at 35 Ok Reg 1535,					
		eff 9-14-18					
45-1-21	Facilitating the	Amended at 35 Ok Reg 347, eff				Yes	Facilitating the collection of post-race urine.
	collection of post-	12-18-17 (emergency);					
	race urine samples	Amended at 35 Ok Reg 1535,					
		eff 9-14-18					
45-1-22	Phenylbutazone	Added at 10 Ok Reg 4731, eff				Yes	Revoked.
	use [Revoked]	9-28-93 (emergency); Added at					
		11 Ok Reg 3195, eff 6-27-94;					
		Amended at 23 Ok Reg 2071,					
		eff 5-9-06 (emergency);					
		Amended at 23 Ok Reg 2594,					
		eff 6-25-06; Amended at 32 Ok					
		Reg 1197, eff 8-27-15; Revoked					
		at 35 Ok Reg 347, eff 12-18-17					
		(emergency); Revoked at 35 Ok					
		Reg 1535, eff 9-14-18					
45-1-23	Trainer	Added at 10 Ok Reg 4731, eff				Yes	Revoked.
	responsibility -	9-28-93 (emergency); Added at					
	Phenylbutazone	11 Ok Reg 3195, eff 6-27-94;					
	[Revoked]	Amended at 23 Ok Reg 2071,					
		eff 5-9-06 (emergency);					
		Amended at 23 Ok Reg 2594,					
		eff 6-25-06; Revoked at 35 Ok					
		Reg 347, eff 12-18-17					
		(emergency); Revoked at 35 Ok					
		Reg 1535, eff 9-14-18					

45-1-24	Substance classification and penalties	Added at 10 Ok Reg 4731, eff 9-28-93 (emergency); Added at 11 Ok Reg 3195, eff 6-27-94; Amended at 23 Ok Reg 2071, eff 5-9-06 (emergency); Amended at 23 Ok Reg 2594, eff 6-25-06; Amended at 34 Ok Reg 1322, eff 9-11-17; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18	Updated rule in FY 2021.	Yes	Substance violation penalties. While keeping the goal of a precise rule, the rule regarding Substance violation penalties has been amended and will go into effect Sept. 14, 2020.
45-1-25	Use, administration and regulation of Furosemide (Salix) [Revoked]	Added at 20 Ok Reg 2857, eff 6-4-03 through 7-14-04 (emergency) ¹ ; Added at 21 Ok Reg 3176, eff 9-11-04; Revoked at 23 Ok Reg 2071, eff 5-9-06 (emergency); Revoked at 23 Ok Reg 2594, eff 6-25-06		Yes	Revoked.
45-1-26	Primary laboratory's request for additional test time	Added at 23 Ok Reg 2071, eff 5-9-06 (emergency); Added at 23 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18		Yes	Primary laboratory may request additional time.
45-1-27	Prohibited practices and certain penalties	Added at 23 Ok Reg 2071, eff 5-9-06 (emergency); Added at 23 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18	Updated rule in FY 2021.	Yes	Describes prohibited practices or therapies. Amended Rule effected September 14, 2020 which updated the rule.
45-1-28	Report of treatment: Procaine Penicillin administration [Revoked]	Added at 23 Ok Reg 2071, eff 5-9-06 (emergency); Added at 23 Ok Reg 2594, eff 6-25-06; Amended at 24 Ok Reg 973, eff		Yes	Revoked.

		5-11-07; Revoked at 31 Ok Reg 1777, eff 9-12-14				
45-1-29	Environmental contaminants and substances of human use	Added at 23 Ok Reg 2071, eff 5-9-06 (emergency); Added at 23 Ok Reg 2594, eff 6-25-06; Amended at 35 Ok Reg 347, eff 12-18-17 (emergency); Amended at 35 Ok Reg 1535, eff 9-14-18		Updated rule in FY 2021.	Yes	Environmental contaminant can be considered mitigating circumstance. Amended Rule effected September 14, 2020 which updated the rule.

Chapter 50 – Human Substance Abuse Testing

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of	Outdated/ Unnecessary	Necessary	Explanation of determined category
						Duplication			
50-1-1	Purpose	3A O.S., § 204(A) Codified 12- 31-91						Yes	Explains the purpose of the rules in Chapter 50.
50-1-2	Definitions	Amended at 16 Ok Reg 2886, eff 7-12-99; Amended at 23 Ok Reg 978, eff 5-11-06; Amended at 30 Ok Reg 513, eff 5-15-13			In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75	Updated rule in FY 2021.	Yes	In all Chapters we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter. By amending this rule, the definitions are now more precise and clear. This will go into effect Sept. 14, 2020
50-1-3	Use of controlled dangerous substance or prescription drugs	Amended at 9 Ok Reg 1721, eff 4-13-92 (emergency); Amended at 10 Ok Reg 2007, eff 5-27-93						Yes	Addresses controlled dangerous substances. Currently in proposed rule change process.
50-1- 3.1	Prohibited Licensee Activities/Substan ce Abuse Testing Procedures/Assess ment/Treatment/ Penalties	Added at 13 Ok Reg 2575, eff 6-28-96; Amended at 34 Ok Reg 1322, eff 9-11-17					Updated rule in FY 2021.	Yes	Prohibited licensee activities. Prohibited licensee activity rule has recently been amended which will go into effect Sept. 14, 2020
50-1-4	Licensee subject to testing [Revoked]	Amended at 9 Ok Reg 1721, eff 4-13-92 (emergency); Amended						Yes	Revoked.

			 			,
		at 10 Ok Reg 2007, eff 5-27-93;				
		Revoked at 13 Ok Reg 2575, eff 6-28-96				
50-1-5	Probable Cause	Revoked at 13 Ok Reg 2575, eff			Yes	Revoked.
	Selection	6-28-96				
	[Revoked]					
50-1-6	Taking of samples	Amended at 12 Ok Reg 1699,			Yes	Revoked.
	[Revoked]	eff 6-12-95; Revoked at 13 Ok Reg 2575, eff 6-28-96				
50-1-7	Positive sample	Revoked at 13 Ok Reg 2575, eff			Yes	Revoked.
	results [Revoked]	6-28-96			100	Te , one di
50-1-8	Penalties	Amended at 9 Ok Reg 2201, eff			Yes	Revoked.
	[Revoked]	5-13-92 (emergency); Amended				
		at 10 Ok Reg 2253, eff 6-11-93;				
		Revoked at 13 Ok Reg 2575, eff				
		6-28-96				
50-1-9	Prohibited use or	Revoked at 13 Ok Reg 2575, eff			Yes	Revoked.
	presence of	6-28-96				
	alcohol within the enclosure					
	[Revoked]					
50-1-10	Licensee subject to	Revoked at 13 Ok Reg 2575, eff			Yes	Revoked.
	testing [Revoked]	6-28-9				
50-1-11	Penalties (alcohol)	Revoked at 13 Ok Reg 2575, eff			Yes	Revoked.
	[Revoked]	6-28-96				
50-1-12	Possession of drug	Added at 10 Ok Reg 2007, eff			Yes	Revoked.
	paraphernalia	5-27-93; Revoked at 13 Ok Reg				
	[Revoked]	2575, eff 6-28-96				

Chapter 55 - Fire Prevention and Security

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
55-1-1	Purpose	3A O.S., § 200 Added by Laws 1983, c. 11, § 1, emerg. eff. March 22, 1983.						Yes	Explains the purpose of the rules in Chapter 55.

55-1-2	Definitions	Amended at 16 Ok Reg 3311, eff 7-26-99; Amended at 30 Ok Reg 514, eff 5-15-13	In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75	Yes	In all Chapter we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter.
55-1-3	Security control	3A O.S., § 204(A) Codified 12- 31-91			Yes	Every organization shall maintain security controls.
55-1-4	Identification required	3A O.S., § 204(A) Codified 12- 31-91			Yes	Identification required within enclosure.
55-1-5	Organization credentials	3A O.S., § 204(A) Codified 12- 31-91			Yes	Racing organization shall issue credentials.
55-1-6	Organization to prevent unauthorized access to restricted areas	3A O.S., § 204(A) Codified 12- 31-91			Yes	Organization shall prevent access to restricted area.
55-1-7	Examination of personal effects	3A O.S., § 204(A) Codified 12- 31-91			Yes	Commission may inspect property.
55-1-8	Compliance with orders of security officers and public safety officers	3A O.S., § 204(A) Codified 12- 31-91			Yes	Licensees shall comply with security.
55-1-9	Fire prevention	3A O.S., § 204(A) Codified 12- 31-91			Yes	Organization licensee to protect against fire.
55-1-10	Smoking in the shedrow	3A O.S., § 204(A) Codified 12- 31-91			Yes	Smoking in shedrow (inside barn) prohibited.

Chapter 60 – Running the Race

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
60-1-1	Purpose	3A O.S., § 200 Added by Laws 1983, c. 11, § 1, emerg. eff. March 22, 1983.						Yes	Explains the purpose of the rules in Chapter 60.
60-1-2	Definitions	Amended at 16 Ok Reg 2889,			In some	Chapter	Updated rule	Yes	In all Chapter we have Definitions: some
		eff 7-12-99; Amended at 24 Ok			Chapters	1,10,15,25,3	in FY 2021.		words may be duplicate in some chapters.

		Reg 974, eff 5-11-06; Amended at 30 Ok Reg 515, eff 5-15-13; Amended at 36 Ok Reg 1463, eff 9-14-19	0,35,40,45,5 0,55,60,65 & 75		The duplication is needed to define the meaning in this chapter. Amended Rule effected September 14, 2020 which added and/or changed the definitions
60-1-3	Jockeys to report	Amended at 36 Ok Reg 1463, eff 9-14-19		Yes	Jockeys to report weight.
60-1-4	Entrance to jockey room prohibited	3A O.S., § 204(A) Codified 12- 31-91		Yes	Permitted persons only in Jockey room.
60-1-5	Maximum overweight	3A O.S., § 204(A) Codified 12- 31-91		Yes	Describes maximum overweight.
60-1-6	Weighing out	Amended at 10 Ok Reg 4229, eff 7-7-93 (emergency); Amended at 11 Ok Reg 4201, eff 7-26-94; Amended at 12 Ok Reg 2433, eff 6-26-95		Yes	Describes Jockey weighing out process.
60-1-7	Unruly horses in the paddock	3A O.S., § 204(A) Codified 12- 31-91		Yes	Unruly horses may be scratched.
60-1-8	Use of equipment	Amended at 36 Ok Reg 1463, eff 9-14-19		Yes	Describes permitted riding crops.
60-1-9	Prohibited use of equipment	3A O.S., § 204(A) Codified 12- 31-91		Yes	Prohibited use of equipment.
60-1-10		3A O.S., § 204(A) Codified 12- 31-91		Yes	Responsibility for weight.
60-1-11		Amended at 36 Ok Reg 1463, eff 9-14-19		Yes	Describes helmet and vest requirements.
60-1-12	-	Amended at 16 Ok Reg 2889, eff 7-12-99; Amended at 24 Ok Reg 974, eff 5-11-07		Yes	Explains use of racing colors.
60-1-13	Deposit of jockey fee	3A O.S., § 204(A) Codified 12- 31-91		Yes	Jockey mount fee must be on deposit.
60-1-14	Requirements for horse, trainer, and jockey	3A O.S., § 204(A) Codified 12- 31-91		Yes	Requirements for horse, trainer and jockey.
60-1-15	Failure to fulfill jockey engagements	3A O.S., § 204(A) Codified 12- 31-91		Yes	Failure to fulfill jockey engagements.

60-1-16	Control and parade of horses on the track	3A O.S., § 204(A) Codified 12- 31-91			Yes	Horses under control of Starter upon entry to track.
60-1-17	Start of the race	Amended at 36 Ok Reg 1463, eff 9-14-19			Yes	Describes start of race.
60-1-18	Leaving the racecourse	3A O.S., § 204(A) Codified 12- 31-91			Yes	Explains horse leaving course.
60-1-19	Riding rules	3A O.S., § 204(A) Codified 12- 31-91			Yes	Jockey responsible to not cause a foul.
60-1-20	Stewards to determine fouls and extent of disqualification	3A O.S., § 204(A) Codified 12- 31-91			Yes	Stewards shall determine extent of interference.
60-1-21	Careless riding	Amended at 25 Ok Reg 879, eff 5-11-08			Yes	Jockey shall not ride carelessly.
60-1-22	Ramifications of a disqualification	3A O.S., § 204(A) Codified 12- 31-91			Yes	Describes time trial disqualifications.
60-1-23	Dead heat	3A O.S., § 204(A) Codified 12- 31-91			Yes	Dead heat purse to be divided equally.
60-1-24	Returning to the finish after the race	3A O.S., § 204(A) Codified 12- 31-91		Updated rule in FY 2021.	Yes	Returning to the finish line. Rule regarding returning to the finish line has been amended and is effective Sept. 14,
60-1-25	Objection - inquiry concerning interference	3A O.S., § 204(A) Codified 12- 31-91			Yes	Objections may be made before race declared official.
60-1-26	Official order of finish	3A O.S., § 204(A) Codified 12- 31-91			Yes	Stewards shall declare race official.
60-1-27	Time trial qualifiers	Amended at 16 Ok Reg 2889, eff 7-12-99			Yes	Time trial qualifiers with same qualifying time draw by lot.

Chapter 65 – Pari-Mutuel Wagering Subchapter 1 – General Provisions

Sectio	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
65-1-1	Purpose [Revoked]	Revoked at 9 Ok Reg 3201, eff							Revoked.
		6-30-92 (emergency); Revoked							
		at 10 Ok Reg 3569, eff 7-12-93							

65-1-2	Definitions	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-3	Pari-mutuel	Revoked at 9 Ok Reg 3201, eff	Revoked.
	wagering	6-30-92 (emergency); Revoked	
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93	
65-1-4	Pari-mutuel tickets	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-5	Claim for payment	Revoked at 9 Ok Reg 3201, eff	Revoked.
	from pari-mutuel	6-30-92 (emergency); Revoked	
	pool [Revoked]	at 10 Ok Reg 3569, eff 7-12-93	
65-1-6	Lost or destroyed	Revoked at 9 Ok Reg 3201, eff	Revoked.
	tickets [Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-7	Pari-mutuel pools	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-8	Distribution of	Revoked at 9 Ok Reg 3201, eff	Revoked.
	pools [Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-9	Race declared	Revoked at 9 Ok Reg 3201, eff	Revoked.
	official [Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-10	1	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-11	Place pool	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-12	Show pool	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	
65-1-13	Daily Double	Revoked at 9 Ok Reg 3201, eff	Revoked.
	[Revoked]	6-30-92 (emergency); Revoked	
		at 10 Ok Reg 3569, eff 7-12-93	

65-1-14	Quinella	Revoked at 9 Ok Reg 3201, eff		Revoked.
	[Revoked]	6-30-92 (emergency); Revoked		
	. ,	at 10 Ok Reg 3569, eff 7-12-93		
65-1-15	Exacta [Revoked]	Revoked at 9 Ok Reg 3201, eff		Revoked.
		6-30-92 (emergency); Revoked		
		at 10 Ok Reg 3569, eff 7-12-93		
65-1-16	Pick Six	Revoked at 9 Ok Reg 3201, eff		Revoked.
	[Revoked]	6-30-92 (emergency); Revoked		
		at 10 Ok Reg 3569, eff 7-12-93		
65-1-17	Payoff on minus	Revoked at 9 Ok Reg 3201, eff		Revoked.
	pool [Revoked]	6-30-92 (emergency); Revoked		
		at 10 Ok Reg 3569, eff 7-12-93		
65-1-18	Errors in posted	Revoked at 9 Ok Reg 3201, eff		Revoked.
	payoff [Revoked]	6-30-92 (emergency); Revoked		
		at 10 Ok Reg 3569, eff 7-12-93		
65-1-19	Payment for errors	Revoked at 9 Ok Reg 3201, eff		Revoked.
	[Revoked]	6-30-92 (emergency); Revoked		
		at 10 Ok Reg 3569, eff 7-12-93		
65-1-20	Emergency in pari-	Revoked at 9 Ok Reg 3201, eff		Revoked.
	mutuel department	6-30-92 (emergency); Revoked		
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93		
65-1-21	Cooperation of	Revoked at 9 Ok Reg 3201, eff		Revoked.
	pari-mutuel	6-30-92 (emergency); Revoked		
	department	at 10 Ok Reg 3569, eff 7-12-93		
	[Revoked]			
65-1-22	Acceptance of	Revoked at 9 Ok Reg 3201, eff		Revoked.
	wagers from	6-30-92 (emergency); Revoked		
	outside the	at 10 Ok Reg 3569, eff 7-12-93		
	enclosure			
	[Revoked]			
65-1-23	Probable odds or	Revoked at 9 Ok Reg 3201, eff		Revoked.
	morning line	6-30-92 (emergency); Revoked		
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93		
65-1-24	Closing of	Revoked at 9 Ok Reg 3201, eff		Revoked.
	wagering in a race	6-30-92 (emergency); Revoked		
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93		

65-1-25	Wagering by	Revoked at 9 Ok Reg 3201, eff						Revoked.
	minors prohibited	6-30-92 (emergency); Revoked						
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93						
65-1-26	Wagering	Revoked at 9 Ok Reg 3201, eff						Revoked.
	prohibited	6-30-92 (emergency); Revoked						
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93						
65-1-27	Furnishing racing	Revoked at 9 Ok Reg 3201, eff						Revoked.
	selection	6-30-92 (emergency); Revoked						
	[Revoked]	at 10 Ok Reg 3569, eff 7-12-93						
65-1-28	Racing selection	Revoked at 9 Ok Reg 3201, eff						Revoked.
	services [Revoked]	6-30-92 (emergency); Revoked						
		at 10 Ok Reg 3569, eff 7-12-93						
65-1-29	Dead heat	Revoked at 9 Ok Reg 3201, eff						Revoked.
	[Revoked]	6-30-92 (emergency); Revoked						
	. ,	at 10 Ok Reg 3569, eff 7-12-93						
65-1-30	Wagering interest	Revoked at 9 Ok Reg 3201, eff						Revoked.
	[Revoked]	6-30-92 (emergency); Revoked						
		at 10 Ok Reg 3569, eff 7-12-93						
65-1-31	Purpose	Added at 9 Ok Reg 3201, eff 6-					Yes	Explains the purpose of the rules in Chapter
	1	30-92 (emergency); Added at						65.
		10 Ok Reg 3569, eff 7-12-93						
65-1-	Definitions	Added at 14 Ok Reg 2935, eff	In	some	Chapter	OHRC Rules	Yes	In all Chapters we have Definitions: some
31.1		7-11-97; Amended at 15 Ok	Ch	apters	1,10,15,25,3	Committee		words may be duplicate in some chapters.
		Reg 3194, eff 7-13-98;		•	0,35,40,45,5	will review		The duplication is needed to define the
		Amended at 16 Ok Reg 2893,			0,55,60,65	this rule for		meaning in this chapter. The OHRC Rules
		eff 7-12-99; Amended at 18 Ok			& 75	FY2022.		Committee will review this rule to add to the
		Reg 3476, eff 6-26-01						definitions "Unique Wager".
		(emergency); Amended at 19						
		Ok Reg 1726, eff 6-13-02;						
		Amended at 30 Ok Reg 516, eff						
		5-15-13						
65-1-32	General	Added at 9 Ok Reg 3201, eff 6-					Yes	Pari-mutuel system shall be approved by
	compliance with	30-92 (emergency); Added at						Commission.
	laws and rules;	10 Ok Reg 3569, eff 7-12-93						
	approval by							
	Commission							

65-1-33	Records	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93	Yes	Organization licensee shall maintain records of all wagering.
65-1-34	Pari-Mutuel tickets; validity	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 30 Ok Reg 516, eff 5-15-13	Yes	Describes validity of pari-mutuel ticket.
65-1-35	Pari-mutuel ticket sales; payments and claims; purchasing restrictions	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 11 Ok Reg 4203, eff 7-26-94; Amended at 13 Ok Reg 2579, eff 6-28-96; Amended at 17 Ok Reg 2421, eff 6-26-00; Amended at 30 Ok Reg 516, eff 5-15-13	Yes	Describes pari-mutuel ticket sales.
65-1-36	Advance performance wagering	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93	Yes	Describes start of wagering.
65-1-37	Claims for payment from pari-mutuel pool	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 18 Ok Reg 3476, eff 6-26-01 (emergency); Amended at 19 Ok Reg 1726, eff 6-13-02	Yes	Explains process for claims for payment.
65-1-38	Payment for errors	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93	Yes	Procedures for payment for errors.
65-1-39	Betting explanation	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93	Yes	Wagering explanation to be published in program.
65-1-40	Display of betting information	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93;	Yes	Betting information to be displayed.

		Amended at 30 Ok Reg 516, eff 5-15-13			
65-1-41	Canceled contests	Added at 9 Ok Reg 3201, eff 6-		Yes	Refunds to be granted on cancelled contests.
		30-92 (emergency); Added at			
		10 Ok Reg 3569, eff 7-12-93			
65-1-42	Refunds	Added at 9 Ok Reg 3201, eff 6-		Yes	Describes refund of pools.
		30-92 (emergency); Added at			
		10 Ok Reg 3569, eff 7-12-93			
65-1-43	Coupled entries	Added at 9 Ok Reg 3201, eff 6-		Yes	Coupled entry calculations.
	and mutuel fields	30-92 (emergency); Added at			
		10 Ok Reg 3569, eff 7-12-93;			
		Amended at 30 Ok Reg 516, eff			
		5-15-13			
65-1-44	Pools dependent	Added at 9 Ok Reg 3201, eff 6-		Yes	Provides guidelines for pools.
	upon betting	30-92 (emergency); Added at			
	interests	10 Ok Reg 3569, eff 7-12-93;			
		Amended at 14 Ok Reg 2935,			
		eff 7-11-97; Amended at 18 Ok			
		Reg 3476, eff 6-26-01 through			
		7-14-02 (emergency) ¹ ;			
		Amended at 21 Ok Reg 3176,			
		eff 9-11-04; Amended at 30 Ok			
		Reg 516, eff 5-15-13; Amended			
		at 31 Ok Reg 1778, eff 9-12-14			
65-1-45	Prior approval	Added at 9 Ok Reg 3201, eff 6-		Yes	Prior approval required for betting pools.
	required for	30-92 (emergency); Added at			
	betting pools	10 Ok Reg 3569, eff 7-12-93			
65-1-46	Closing of	Added at 9 Ok Reg 3201, eff 6-		Yes	Commission representative shall close
	wagering in a	30-92 (emergency); Added at			wagering.
	contest	10 Ok Reg 3569, eff 7-12-93			
65-1-47	Complaints	Added at 9 Ok Reg 3201, eff 6-		Yes	Guidelines to pari-mutuel complaints.
	pertaining to pari-	30-92 (emergency); Added at			
	mutuel operations	10 Ok Reg 3569, eff 7-12-93			
65-1-48	Licensees'	Added at 9 Ok Reg 3201, eff 6-		Yes	Licensees shall report irregularities.
	responsibility to	30-92 (emergency); Added at			_
	report	10 Ok Reg 3569, eff 7-12-93			
	irregularities				

65-1-49	Unrestricted	Added at 9 Ok Reg 3201, eff 6-		Yes	Licensee shall permit unrestricted access.
	access	30-92 (emergency); Added at			
		10 Ok Reg 3569, eff 7-12-93			
65-1-50	Emergency	Added at 9 Ok Reg 3201, eff 6-		Yes	Pari-mutual emergencies to be reported to
	situations	30-92 (emergency); Added at			Stewards.
		10 Ok Reg 3569, eff 7-12-93;			
		Amended at 30 Ok Reg 516, eff			
		5-15-13			
65-1-51	Racing	Added at 9 Ok Reg 3201, eff 6-		Yes	Racing prediction authors to be licensed by
	predictions/selecti	30-92 (emergency); Added at			Commission.
	ons	10 Ok Reg 3569, eff 7-12-93			

Subchapter 3 – Account Wagering

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
65-3-1	General account	Added at 9 Ok Reg 3201, eff 6-						Yes	Account wagering requirements.
	wagering	30-92 (emergency); Added at							
	requirements	10 Ok Reg 3569, eff 7-12-93							
65-3-2	Account opening	Added at 9 Ok Reg 3201, eff 6-						Yes	Describes short and long-term accounts.
		30-92 (emergency); Added at							
		10 Ok Reg 3569, eff 7-12-93							
65-3-3	Refusals	Added at 9 Ok Reg 3201, eff 6-						Yes	Organization may refuse to open an account.
		30-92 (emergency); Added at							
		10 Ok Reg 3569, eff 7-12-93							
65-3-4	Patron information	Added at 9 Ok Reg 3201, eff 6-						Yes	Patron information.
		30-92 (emergency); Added at							
		10 Ok Reg 3569, eff 7-12-93							
65-3-5	Deposits	Added at 9 Ok Reg 3201, eff 6-						Yes	Deposits for accounts.
		30-92 (emergency); Added at							
		10 Ok Reg 3569, eff 7-12-93							
65-3-6	Sufficient account	Added at 9 Ok Reg 3201, eff 6-						Yes	Wagers not to exceed balance of account.
	balance	30-92 (emergency); Added at							
		10 Ok Reg 3569, eff 7-12-93							
65-3-7	Account credits	Added at 9 Ok Reg 3201, eff 6-						Yes	Explains account credits.
		30-92 (emergency); Added at							
		10 Ok Reg 3569, eff 7-12-93							

65-3-8	Account operation	Added at 9 Ok Reg 3201, eff 6-			Yes	Describes account operation.
		30-92 (emergency); Added at				
		10 Ok Reg 3569, eff 7-12-93;				
		Amended at 14 Ok Reg 2935,				
		eff 7-11-97				
65-3-9	Account closure	Added at 9 Ok Reg 3201, eff 6-			Yes	Describes account closure.
		30-92 (emergency); Added at				
		10 Ok Reg 3569, eff 7-12-93				

Subchapter 5 – Simulcast Wagering

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
65-5-1	Duties of	Added at 9 Ok Reg 3201, eff 6-						Yes	Duties of simulcast host.
	simulcast host	30-92 (emergency); Added at 10							
		Ok Reg 3569, eff 7-12-93							
65-5-2	Duties of	Added at 9 Ok Reg 3201, eff 6-						Yes	Duties of authorized receiver.
	authorized	30-92 (emergency); Added at 10							
	receiver	Ok Reg 3569, eff 7-12-93							

Subchapter 7 – Interjurisdiction Common Pool Wagering

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			
65-7-1	General approval	Added at 9 Ok Reg 3201, eff 6-						Yes	Contracts shall be approved by Commission.
	of contracts, bet	30-92 (emergency); Added at 10							
	types, and display	Ok Reg 3569, eff 7-12-93;							
	of information	Amended at 14 Ok Reg 2935, eff							
		7-11-97							
65-7-2	Guest jurisdiction	Added at 9 Ok Reg 3201, eff 6-						Yes	Interjurisdiction common pool rules.
	participation in	30-92 (emergency); Added at 10							
	interjurisdiction	Ok Reg 3569, eff 7-12-93;							
	common pools	Amended at 14 Ok Reg 2935, eff							
	-	7-11-97							
65-7-3	Host jurisdiction	Added at 9 Ok Reg 3201, eff 6-						Yes	Host jurisdiction in merged pools.
	participation in	30-92 (emergency); Added at 10							
	merged pools	Ok Reg 3569, eff 7-12-93;							
		Amended at 14 Ok Reg 2935, eff							

		7-11-97; Amended at 30 Ok Reg 516, eff 5-15-13				
65-7-4	Takeout rates in interjurisdiction common pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97			Yes	Takeout rates in interjurisdiction common pools.

Subchapter 9 – Calculation of Payoffs and Distribution of Pools

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
65-9-1	General—separate pools, standard price or net price calculation required; profit split	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93						Yes	Explains pari-mutuel wagering pool calculation.
65-9-2	Win pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93						Yes	Win pool wagering calculation.
65-9-3	Place pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93						Yes	Place pool wagering calculation.
65-9-4	Show Pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 30 Ok Reg 516, eff 5-15-13						Yes	Show pool wagering calculation.
65-9-5	Double Pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 30 Ok Reg 516, eff 5-15-13						Yes	Double pool wagering calculation.
65-9-6	Win Three pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93;						Yes	Win Three wagering calculation.

	T	1				
		Amended at 14 Ok Reg 2935, eff				
		7-11-97; Amended at 30 Ok Reg				
		516, eff 5-15-13; Amended at 35				
		Ok Reg 1549, eff 9-14-18;				
		Amended at 36 Ok Reg 1466, eff				
		9-14-19				
65-9-7	Pick (n) Pools	Added at 9 Ok Reg 3201, eff 6-			Yes	Pick (n) pool calculation.
		30-92 (emergency); Added at 10				
		Ok Reg 3569, eff 7-12-93;				
		Amended at 14 Ok Reg 2935, eff				
		7-11-97; Amended at 15 Ok Reg				
		3194, eff 7-13-98; Amended at				
		30 Ok Reg 516, eff 5-15-13;				
		Amended at 35 Ok Reg 1549, eff				
		9-14-18; Amended at 36 Ok Reg				
		1466, eff 9-14-19				
65-9-8	Place Pick (n)	Added at 9 Ok Reg 3201, eff 6-		OHRC Rules	Yes	Place Pick (n) pool calculation. This rule will
	Pools	30-92 (emergency); Added at 10		Committee		be reviewed this year by the Rules
		Ok Reg 3569, eff 7-12-93;		will review		Committee for changes in FY2022 with the
		Amended at 30 Ok Reg 516, eff		this rule for		intent to clear up the rule.
		5-15-13; Amended at 35 Ok Reg		FY2022.		_
		1549, eff 9-14-18; Amended at				
		36 Ok Reg 1466, eff 9-14-19				
65-9-9	Quinella pools	Added at 9 Ok Reg 3201, eff 6-			Yes	Quinella pool calculation.
		30-92 (emergency); Added at 10				
		Ok Reg 3569, eff 7-12-93				
65-9-10	Quinella Double	Added at 9 Ok Reg 3201, eff 6-			Yes	Quinella Double pool calculation.
	pools	30-92 (emergency); Added at 10				
	_	Ok Reg 3569, eff 7-12-93;				
		Amended at 30 Ok Reg 516, eff				
		5-15-13				
65-9-	Show Quinella	Added at 14 Ok Reg 2935, eff 7-			Yes	Show Quinella pool calculation.
10.1	pools	11-97				_
65-9-11	Exacta pools	Added at 9 Ok Reg 3201, eff 6-			Yes	Exacta pool calculation.
	_	30-92 (emergency); Added at 10				_
		Ok Reg 3569, eff 7-12-93				
65-9-12	Trifecta pools	Added at 9 Ok Reg 3201, eff 6-			Yes	Trifecta pool calculation.
		30-92 (emergency); Added at 10				
		· · · · · · · · · · · · · · · · · · ·		•	•	<u> </u>

		Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97				
65-9-13	Superfecta pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97			Yes	Superfecta pool calculation.
65-9-14	Twin Quinella pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97			Yes	Twin Quinella pool calculation.
65-9-15	Twin Trifecta pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97			Yes	Twin Trifecta pool calculation.
65-9-16	Tri-Superfecta pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97			Yes	Tri-Superfecta pool calculation.
65-9-17	Twin Superfecta pools	Added at 9 Ok Reg 3201, eff 6-30-92 (emergency); Added at 10 Ok Reg 3569, eff 7-12-93; Amended at 14 Ok Reg 2935, eff 7-11-97			Yes	Twin Superfecta pool calculation.
65-9-18	Exacta (n) pools	Added at 14 Ok Reg 2935, eff 7- 11-97; Amended at 30 Ok Reg 516, eff 5-15-13			Yes	Exacta (n) pool calculation.

Chapter 70 – Objections and Protests; Hearings and Appeals

Secti	n Title	Date Created	Costly	Ineffective	Duplicate	Location	Outdated/	Necessary	Explanation of determined category
						Of	Unnecessary		
						Duplication			

70-1-1	Purpose	3A O.S., § 200 Added by Laws 1983, c. 11, § 1, emerg. eff. March 22, 1983			Yes	Explains the purpose of the rules in Chapter 70.
70-1-2	Definitions	Amended at 16 Ok Reg 2895, eff 7-12-99; Amended at 23 Ok Reg 979, eff 5-11-06; Amended at 30 Ok Reg 531, eff 5-15-13; Amended at 36 Ok Reg 1471, eff 9-14-19	In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75	Yes	In all Chapters we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter.
70-1-3	Stewards to make inquiry or investigation	3AO.S.,§204(A) Codified 12-31-91			Yes	Stewards to make inquiry or investigation.
70-1-4	Objections	3AO.S.,§204(A) Codified 12-31-91			Yes	Objections shall be made to Stewards in writing.
70-1-5	Grounds for objections	3AO.S.,§204(A) Codified 12-31-91			Yes	Describes grounds for objection to entered horse.
70-1-6	Horse subject to objection	3AO.S.,§204(A) Codified 12-31-91			Yes	Stewards may scratch horse subject to objection.
70-1-7	Protests	Amended at 16 Ok Reg 2895, eff 7-12-99			Yes	Protests to be in writing to Stewards.
70-1-8	Grounds for protest	Amended at 16 Ok Reg 2895, eff 7-12-99			Yes	Describes grounds for protest.
70-1-9	Persons empowered to file objection or protest	3AO.S.,§204(A) Codified 12-31-91			Yes	Lists persons empowered to object or protest.
70-1-10	No limitation on time to file when fraud alleged	3AO.S.,§204(A) Codified 12-31-91			Yes	No limitation to file when fraud alleged.
70-1-11	Frivolous or inaccurate objection or protest	3AO.S.,§204(A) Codified 12-31-91			Yes	No person shall file frivolous objection.
70-1-12	*	3AO.S.,§204(A) Codified 12-31-91			Yes	Explains disqualified horse when protest declared valid.
70-1-13	Notification of and	Amended at 16 Ok Reg 2895, eff 7-12-99			Yes	Adequate notice of hearing shall be given.

	representation at hearing						
70-1- 13.1	Entry of appearance of attorney, withdrawal of counsel, address of record, change of address	Added at 36 Ok Reg 1471, eff 9-14-19			OHRC Rules Committee will review this rule for FY2022.	Yes	Attorney shall file entry of appearance. This rule will be reviewed by the OHRC Rules Committee.
70-1-14	Testimony and evidence at hearing	Amended at 36 Ok Reg 1471, eff 9-14-19				Yes	Describes testimony and evidence at hearing.
70-1-15	Duty of disclosure	Amended at 16 Ok Reg 2895, eff 7-12-99				Yes	Duty of licensee to fully disclose.
70-1-16	Failure to appear	3AO.S.,§204(A) Codified 12-31-91				Yes	Licensee who fails to appear may be suspended.
70-1-17	Record of inquiry/hearing	Amended at 16 Ok Reg 2895, eff 7-12-99				Yes	Hearings to be recorded.
70-1-18	Vote on Stewards' decision	3A:204 Added by State Question No. 553, Initiative Petition No. 315, adopted at election held Sept. 21, 1982. Amended by Laws 1983, c. 11, § 13, emerg. eff. March 22, 1983; Laws 1985, c. 196, § 3, emerg. eff. June 26, 1985; Laws 1987, c. 208, § 68, operative July 1, 1987; Laws 1987, c. 236, § 87, emerg. eff. July 20, 1987; Laws 1989, c. 369, § 97, operative July 1, 1989; Laws 1990, c. 170, § 1, eff. Sept. 1, 1990; Laws 1992, c. 16, § 3, emerg. eff. March 26, 1992; Laws 1992, c. 364, § 17, emerg. eff. June 4, 1992; Laws 1993, c. 355, § 17, emerg. eff June 10, 1993; Laws 1997, c. 305, § 2, eff. July 1, 1997.				Yes	Stewards decision vote by majority.

70-1-19	Rulings/orders by the Stewards	Amended at 34 Ok Reg 1324, eff 9-11-17			Yes	Describes Stewards rulings.
70-1-20	Summary suspension of occupation licensee	Amended at 36 Ok Reg 1471, eff 9-14-19			Yes	Explains summary suspension of occupation licensee.
70-1-21	Duration of suspension or revocation	3AO.S.,§204(A) Codified 12-31-91			Yes	Explains duration of suspension.
70-1-22	Grounds for appeal from decision of the stewards	Amended at 16 Ok Reg 2895, eff 7-12-99; Amended at 23 Ok Reg 2602, eff 6-25-06			Yes	Grounds for appeal from Steward decision.
70-1-23	Appeal from decision of the Stewards	Amended at 16 Ok Reg 2895, eff 7-12-99; Amended at 30 Ok Reg 531, eff 5-15-13		Updated rule in FY 2021.	Yes	Appeals process to Commission. The appeals process to the Commission effective Sept. 14, 2020 has been amended and updated
70-1-24	Appeal hearing procedure	Amended at 16 Ok Reg 2895, eff 7-12-99; Amended at 34 Ok Reg 1324, eff 9-11-17			Yes	Appeal hearing procedure.
70-1-25	Appointment of hearing examiners	3AO.S.,§204(A) Codified 12-31-91			Yes	Hearing examiner appointment.
70-1-26	Hearings on agreement	3AO.S.,§204(A) Codified 12-31-91			Yes	Trial race hearings before Executive Director.
70-1-27	Temporary stay order	Amended at 16 Ok Reg 2895, eff 7-12-99			Yes	Temporary stay order rules.
70-1-28	Appearance at hearing upon appeal	Amended at 16 Ok Reg 2895, eff 7-12-99			Yes	Appellant to provide facts at appeal hearing.
70-1-29	Complaints against officials	3AO.S.,§204(A) Codified 12-31-91			Yes	Complaints to be reported to Commission.
70-1-30	Rulings on admissibility and evidence	3AO.S.,§204(A) Codified 12-31-91			Yes	Rulings on admissibility and evidence.
70-1-31	Pleadings	Amended at 36 Ok Reg 1471, eff 9-14-19			Yes	Pleadings shall be filed with Commission.

70-1-32	Discovery	Amended at 36 Ok Reg 1471, eff 9-14-19			Yes	Discovery in accordance with Oklahoma Administrative Procedures Act.
Append	Petition for	Added at 16 Ok Reg 2895, eff 7-			Yes	Petition for Appeal.
ix A	Appeal	12-99				

Chapter 75 – Oklahoma-Bred Program

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
75-1-1	Purpose	Amended at 16 Ok Reg 3315, eff 7-26-99				•		Yes	Explains the purpose of the rules in Chapter 75.
75-1-2	Definitions	Amended at 11 Ok Reg 4205, eff 7-26-94; Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 18 Ok Reg 3479, eff 6-26-01 (emergency); Amended at 19 Ok Reg 1728, eff 6-13-02; Amended at 26 Ok Reg 2177, eff 6-25-09; Amended at 28 Ok Reg 773, eff 5-26-11; Amended at 30 Ok Reg 532, eff 5-15-13; Amended at 31 Ok Reg 1779, eff 9-12-14; Amended at 36 Ok Reg 1474, eff 9-14-19			In some Chapters	Chapter 1,10,15,25,3 0,35,40,45,5 0,55,60,65 & 75	Updated rule in FY 2021.	Yes	In all Chapter we have Definitions: some words may be duplicate in some chapters. The duplication is needed to define the meaning in this chapter. By amending this rule, the definitions are now more precise and clear and will go into effect Sept. 14, 2020
75-1-3	Definition of Accredited Oklahoma-Bred Thoroughbreds	Amended at 10 Ok Reg 2773, eff 7-1-93; Amended at 11 Ok Reg 139, eff 10-10-93 (emergency); Amended at 11 Ok Reg 4205, eff 7-26-94; Amended at 13 Ok Reg 1369, eff 5-13-96; Amended at 14 Ok Reg 2285, eff 6-12-97; Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 17 Ok Reg 2422, eff 6-26-00; Amended at 20 Ok Reg 1235, eff 5-27-03; Amended at 20 Ok Reg 1237, eff						Yes	Defines Accredited Oklahoma-Bred Thoroughbreds.

		5-28-03; Amended at 25 Ok Reg 880, eff 5-11-08; Amended at 26 Ok Reg 2177, eff 6-25-09; Amended at 28 Ok Reg 1650, eff 6-25-11; Amended at 30 Ok Reg 532, eff 5-15-13; Amended at 31 Ok Reg 1779, eff 9-12-14; Amended at 34 Ok Reg 1325, eff 9-11-17; Amended at 35 Ok Reg 1554, eff 9-14-18; Amended at 36 Ok Reg 1474, eff 9-14-19				
75-1- 3.1	Definition of Accredited Oklahoma-Bred Quarter Horse, Paint or Appaloosa	Added at 26 Ok Reg 2177, eff 6-25-09; Amended at 28 Ok Reg 1650, eff 6-25-11; Amended at 30 Ok Reg 532, eff 5-15-13; Amended at 36 Ok Reg 1474, eff 9-14-19			Yes	Defines Accredited Oklahoma-Bred Quarter Horse.
75-1-4	Accreditation of Oklahoma-Bred	Amended at 11 Ok Reg 139, eff 10-10-93 (emergency); Amended at 11 Ok Reg 627, eff 11-15-93 (emergency); Amended at 10 Ok Reg 2775, eff 1-1-94; Amended at 11 Ok Reg 4205, eff 7-26-94; Amended at 13 Ok Reg 733, eff 12-1-95 (emergency); Amended at 13 Ok Reg 2163, eff 6-14-96; Amended at 26 Ok Reg 2177, eff 6-25-09			Yes	Describes Oklahoma-Bred Accreditation.
75-1- 4.1	Dual breed Oklahoma-Bred registration	Added at 26 Ok Reg 2177, eff 6-25-09			Yes	Dual breed Oklahoma-Bred registration.
75-1-5	Administration of Oklahoma-Bred program	Amended at 26 Ok Reg 2177, eff 6-25-09			Yes	Administration of Oklahoma-Bred program.

75-1-6	Annual state audit of Special Account	3A O.S., §§ 204(A) and 208.3 Codified 12-31-91			Yes	State Auditor to annually audit the Oklahoma Breeding Development Fund.
75-1-7	Accreditation required for Oklahoma-Bred eligibility	Amended at 11 Ok Reg 13, eff 10-10-93 (emergency); Amended at 11 Ok Reg 4205, eff 7-26-94; Amended at 13 Ok Reg 2581, eff 6-28-96; Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 24 Ok Reg 974, eff 5-11-07; Amended at 26 Ok Reg 2177, eff 6-25-09			Yes	Accreditation required for Oklahoma-Bred eligibility.
75-1-8	Inspection of horses	Amended at 26 Ok Reg 2177, eff 6-25-09			Yes	Horses subject to inspection.
75-1-9	Decision as to eligibility of Oklahoma-Bred	Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 24 Ok Reg 974, eff 5-11-07; Amended at 26 Ok Reg 2177, eff 6-25-09			Yes	Eligibility decisions decided by Registering Agency.
75-1-10	False statements concerning Oklahoma-Bred accreditation	Amended at 26 Ok Reg 2177, eff 6-25-09			Yes	False statements may be subject to penalty.
75-1-11	Oklahoma-Bred racing program	Amended at 30 Ok Reg 532, eff 5-15-13			Yes	Race meetings to provide Oklahoma-Bred racing program.
75-1-12	Application Requirements, Categories and Fees for Quarter Horses, Appaloosas or Paints	Amended at 11 Ok Reg 139, eff 10-10-93 (emergency); Amended at 11 Ok Reg 4205, eff 7-26-94; Amended at 16 Ok Reg 1450, eff 5-28-99; Amended at 20 Ok Reg 1231, eff 5-28-03; Amended at 26 Ok Reg 2177, eff 6-25-09; Amended at 27 Ok Reg 2175, eff 7-11-10			Yes	Application requirements and fees for Quarter Horses.

75-1- 12.1	Application requirements, categories and fees for Thoroughbreds	Added at 26 Ok Reg 2177, eff 6-25-09; Amended at 27 Ok Reg 2175, eff 7-11-10; Amended at 30 Ok Reg 532, eff 5-15-13; Amended at 35 Ok Reg 1554, eff 9-14-18		Yes	Application requirements and fees for Thoroughbreds.
75-1-13	Change of horse ownership	Amended at 16 Ok Reg 3315, eff 7-26-99	Updated rule in FY 2021.	e Yes	Notification of ownership change required. With the intention of making it easier on the public, this rule regarding ownership changes has been restructured and is effective Sept. 14, 2020.
75-1- 13.1	Dual breed application categories and fees	Added at 26 Ok Reg 2177, eff 6-25-09; Amended at 27 Ok Reg 2175, eff 7-11-10		Yes	Dual breed application categories and fees.
75-1-14	Establishment of Oklahoma-Bred added money and award payments at race meetings	Amended at 26 Ok Reg 2177, eff 6-25-09		Yes	Commission to establish Oklahoma-Bred added money.
75-1-15	Distribution of funds for Oklahoma-Bred pari-mutuel races	Amended at 10 Ok Reg 2775, eff 1-1-94; Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 17 Ok Reg 2422, eff 6-26-00; Amended at 26 Ok Reg 809, eff 4-25-09; Amended at 27 Ok Reg 837, eff 4-25-10; Amended at 29 Ok Reg 1222, eff 6-25-12; Amended at 30 Ok Reg 532, eff 5-15-13	OHRC Rule Committee will review this rule for FY2022.	s Yes	Describes distribution of funds for Oklahoma-Bred races. OHRC Rules Committee will start the review of this rule in August for consideration of changes for FY2022, with the goal of keeping the rules precise/clean.
75-1-16	Disposition of Unexpended Oklahoma-Bred	Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 26 Ok Reg 2177, eff 6-25-09; Amended at 27 Ok Reg 2175, eff 7-11-10		Yes	Disposition of unexpended Oklahoma-Bred funds.

	Funds Generated at a Race Meeting					
75-1- 16.1	Forfeiture of unclaimed awards	Added at 11 Ok Reg 139, eff 10- 10-93 (emergency); Added at 11 Ok Reg 4205, eff 7-26-94			Yes	Monies forfeited if requirements incomplete.
75-1-17	Oklahoma-Bred races to be written and offered	3A O.S., §§ 204(A) and 208.3 Codified 12-31-91			Yes	Oklahoma-Bred races to be written at each racetrack.
75-1-18	Open company winners awards	3A O.S., §§ 204(A) and 208.3 Codified 12-31-91			Yes	Commission may designate awards in open company.
75-1-19	Embryo transfer	Amended at 16 Ok Reg 3315, eff 7-26-99; Amended at 26 Ok Reg 2177, eff 6-25-09; Amended at 36 Ok Reg 1474, eff 9-14-19			Yes	Describes embryo transfer rules.
75-1-20	Embryo transplant grandfather clause [Revoked]	Revoked at 16 Ok Reg 3315, eff 7-26-99			Yes	Revoked.
75-1-21	Expenditure of Oklahoma Breeding Development Fund Special Account Monies for the purpose of caring for retired and unwanted Oklahoma-Bred racing stock	Amended at 28 Ok Reg 773, eff 5-26-11; Amended at 30 Ok Reg 532, eff 5-15-13; Amended at 34 Ok Reg 1325, eff 9-11-17			Yes	Explains monies to care for retired and unwanted Oklahoma-Breds.

Chapter 80 – Gaming Licensing Requirements

Subchapter 1 – General Provisions

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		

80-1-1	Purpose	6/25/20 06		Yes	Establishes the purpose of the regulation
80-1-2	Definitions	6/15/20 06	Updated rule in FY 2021.	Yes	Establishes definitions of terms used herein. By amending this rule, the definitions are now more detailed and clear-cut. This will go into effect Sept. 14, 2020
80-1-3	Applicability of Rules -	6/25/20		Yes	Prevents spouse or close relative of someone ineligible
	Exceptions	06			for a license to be licensed

Subchapter 3 – Gaming License Classifications

Section	Title	Date	Costly	Ineffective	Duplicate		Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
80-3-1	Types of Gaming Licenses	6/25/20						Yes	Sets out types of license in Gaming
		06							

Subchapter 5 – Gaming Licenses and Applications

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
80-5-1	General provisions	6/25/20						Yes	Sets out procedures to apply for licensing
		06							
80-5-2	Time for filing an	6/25/20						Yes	
	application for Racetrack	06							Sets deadlines for Organization renewal applications
	Gaming Operator License								
80-5-3	Application amendment	6/25/20						Yes	Procedures to amend application after submission
	and withdrawal	06							
80-5-4	Payment of non-refundable	6/25/20						Yes	Explains fee requirements
	fees and costs required	06							
80-5-5	Conditions of a Racetrack	4/25/20						Yes	Explains conditions which organization licensee must
	Gaming Operator License	09							follow
80-5-6	General grounds for refusal	6/25/20						Yes	Establishes conditions upon which a license can be
	to issue license or denial of	06							denied and guidelines
	Gaming License								
	applications								

Subchapter 7 – Requirements for Racetrack Gaming Operator License and Recipient Racetrack Gaming License

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Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category	
		Created				Duplication	Unnecessary			

80-7-1	Prerequisite for eligibility for initial license and renewals	6/25/20 06		Yes	Sets out requirements for initial and renewal applications for organizations
80-7-2	General form and requirements for Racetrack Gaming Operator License application	6/25/20 06		Yes	Sets out required information for application to receive a gaming operator license
80-7-3	Operations Plan requirement	6/25/20 06		Yes	Sets out requirements for how gaming can be conducted and requires operator to have a plan
80-7-4	Security Plan requirement	6/25/20 06		Yes	Requires that adequate security must be provided for the safety of the organization and the public
80-7-5	Requirements for a Recipient Racetrack Gaming License	6/25/20 06		Yes	Sets out requirements for Recipient licensing

Subchapter 9 – Requirements for Manufacturer, Distributor, or Manufacturer/Distributor, and Their Employee License Applicants

	<u></u>								
Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
80-9-1	Application for	6/25/20						Yes	Explains requirements for licensing for game
	Manufacturer, Distributor,	09							manufacturers and distributors
	or Manufacturer/								
	Distributor License								
80-9-2	Manufacturer's,	6/25/20						Yes	Requires that employees of manufacturers and
	Distributor's, or	09							distributors operating inside the facility to be licensed
	Manufacturer/Distributor's								
	Employee License								

Subchapter 11 – Requirements for Vendor License

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
80-11-1	Approved Vendor list	6/25/20						Yes	Ensures that organization keeps a updated list of vendors
		06							licensed to work at their facility
80-11-2	Vendor License	6/25/20						Yes	Requires that certain vendors must be licensed
		09							
80-11-3	Vendor Employee License	6/25/20						Yes	Ensures that vendor employees working within the
		09							facility are licensed
80-11-4	Vendor disclosure	6/25/20						Yes	Requires vendors who provide over \$500K of goods or
	requirement	06							services must meet additional requirements

Subchapter 13 – Requirements for Key Executive License or Gaming Employee License and Amendments to any Employee License

Section	Title	Date Created	Costly	Ineffective	•	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
80-13-1	Application required	6/25/20 06				-		Yes	Establishes the parameters for licensing of Key Executives and employees
80-13-2	When Key Executive applications are due	6/25/20 06						Yes	Establishes that Key Executive licenses are due at the same time as the organizations
80-13-3	Employer-specific nature of all Employee Licenses	6/25/20 06						Yes	Requires that employee licenses can be for only one employer
80-13-4	Amended Employee Licenses	6/25/20 06						Yes	Allows employees that change from one employer to another can amend their original application
80-13-5	License required prior to employment	6/25/20 06						Yes	Requires that employees must be licensed before they begin work
80-13-6	Employee Licenses - Refusal to issue or denial and license termination upon loss of employment	6/25/20						Yes	Sets out guidelines of reasons for which a license may be denied, refused or revoked to ensure integrity of the gaming environment.
80-13-7	Additional grounds for denial of Key Executive License application	6/25/20 06						Yes	Ensures that Key Executives do not have a background which would bring distrust upon the organization
80-13-8	Limited pre-licensed employment for Key Executives and Gaming Employees	6/25/20 06						Yes	Allows certain licensees to work on a temporary basis while background investigation is being conducted

Subchapter 15 – Requirements for Independent Testing Laboratory License

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
80-15-1	Application required	6/27/20					Updated rule in FY 2021.	Yes	Sets out requirements for Independent Testing Laboratories. Effective Sept. 14, 2020, this rule has been amended for the main reason of keeping our rules neat/orderly.

Subchapter 17 – Fees and Assessments

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		

80-17-1	Payment of fees and	6/25/20			Yes	Establishes requirements for what fees and assessments
	assessments	06				are due and when

Subchapter 19 – Consideration of License Application and Licensee Disciplinary Actions

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
80-19-1	Procedures for consideration of Applications for Racetrack Gaming Operator Licenses and Independent Testing Laboratory Licenses	6/25/20 06					•	Yes	Establishes procedures for consideration of applications for these categories and establishes who makes the determinations
80-19-2	Procedures for consideration of Applications for Manufacturer, Distributor, Manufacturer/Distributor and Key Executive Licenses	6/25/20 06						Yes	Establishes procedures for consideration of application for these categories and who makes the determinations
80-19-3	Procedures for consideration of Applications for Vendor, Vendor Employee and Gaming Employee Licenses	6/25/20 06						Yes	Establishes procedures for consideration of application for these categories and who makes the determinations
80-19-4	Disciplinary actions against Racetrack Gaming Operator Licensees and Independent Testing Laboratories	6/25/20 06						Yes	Establishes the parameters for who hears cases of rule violations and disciplinary action for these categories
80-19-5	Disciplinary actions against all other occupation gaming Licensees	6/25/20 06						Yes	Establishes the parameters for who hears cases of rule violations and disciplinary action for these categories

Chapter 85 – Gaming Machine Specifications and Use and Operation Requirements

Subchapter 1 – General Provisions

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-1-1	Purpose	6/25/20						Yes	Establishes the purpose of the regulations
		06							
85-1-2	Definitions	6/25/20					Updated rule in	Yes	Defines certain terms used in this section. With the main
		06					FY 2021.		purpose of keeping the rules clear-cut/detailed, this rule
									has now been amended effective Sept. 14, 2020
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Subchapter 3 – Authorized Games

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-3-1	Types of authorized games	6/25/20						Yes	Establishes the types of Gaming Machines that are
		06							allowed in racetrack casinos
85-3-2	Waiver by Commission	6/25/20						Yes	Allows the Commission to waive certain requirements in
		06							certain circumstances

Subchapter 5 – Electronic Amusement Games Specification

Section	Title	Date	Costly	Ineffective	Duplicate		Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-5-1	Required specifications	6/25/20						Yes	Explains the specifications for gaming machines of this
		06							category

Subchapter 7 – Electronic Bonanza-Style Bingo Games Specifications

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-7-1	Required specification	6/25/20						Yes	Explains the specifications for gaming machines of this
		06							category

Subchapter 9 – Electronic Instant Bingo Games Specifications

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-9-1	Required specifications	6/25/20						Yes	Explains the specifications for gaming machines of this
		06							category

Subchapter 11 - Required Certification and Approval of Electronic Amusement Games, Electronic Bonanza-Style Bingo Games and Electronic Instant Bingo Games

	 1 1 1	1						
Section	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
	Created				Duplication	Unnecessary		

85-11-1	Certification by Independent Testing Laboratory and Commission approval required	6/25/20 06		Yes	Requires gaming machines to be tested and certified by ITL prior to being placed into service
85-11- 1.1	Certification reciprocity	6/25/20 06		Yes	Allows that if a game is tested and certified in another jurisdiction then it can be used in a racetrack casino
85-11-2	All five types of authorized games subejct to Commission rules [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-11-3	List of Commission- approved Independent Testing Laboratories [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-11-4	Certification by Independent Testing Laboratory and Commission approval required [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-11-5	Submission of prototype for testing by Independent Testing Laboratory required	6/25/20 06		Yes	Requires that gaming machines must be submitted to testing labs
85-11-6	Submitting games and components to the Independent Testing Laboratory [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-11-7	RNG submissions [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-11-8	Previous submission [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-11- 8.1	Procedures for Commission approval of gaming machines and components	6/25/20		Yes	Requires that certain procedures be followed for submission of games to be placed in a racetrack casino

85-11-9	Submitting modifications to a previously certified	6/25/20 06			Yes	Ensures that no modifications are made to machines once approved without additional certification
	item					
85-11-	Joint venture submissions					
10	[Expired]					Expired, Rule is no longer in the Racetrack
						Gaming Rules.
85-11-	Procedures for					Superseded, Rule is no longer in the Racetrack Gaming
11	Commission approval of					Rules.
	electronic gaming machine					
	or device and components					
	thereof [Superseded]					
85-11-	Procedures for appeal of	6/25/20			Yes	Allows certain parties to appeal decisions to not allow
12	decision disapproving	06				games to be placed in racetrack casino
	gaming machines or					
	components					

Subchapter 12 – Required Certification and Verification of Compact Electronic Games and Non-Compact Electronic Games

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
85-12-1	Certification by Independent Testing Laboratory and Commission approval required	6/25/20 06		Yes		Duplication	Yes outdated	Yes w/ changes	Requires cumbersome procedures for games in this category that were needed early in the establishment of casinos, but now is unnecessary. Rules Committee will review this year.
85-12-2	Certification reciprocity	6/25/20 06						Yes	Allows cross certification of games in this category
85-12-3	Submission of prototype for testing by Independent Testing Laboratory required	6/25/20 06						Yes	Requires that all new games must be tested and certified by Independent Testing Lab
85-12-4	Procedures for Commission approval of gaming machines and components	6/25/20 06					Yes	Yes	Requires the Commission or the Executive Director to review and approve machines in this category. This is unnecessarily cumbersome and needs to be streamlined. Rules Committee will review this year.
85-12-5	Submitting modifications to a previously certified item	6/25/20 06						Yes	Requires that any modifications to approved games must be certified by lab

85-12-6	Procedures for appeal of	6/25/20		Yes	Establishes procedures to appeal any decisions to
	decision disapproving	06			disapproving of games or components
	gaming machines or				
	components				

Subchapter 13 – Cashless Transactions

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
85-13-1	General cashless transaction requirements	6/25/20 06						Yes	Sets out requirements for integrity of any cashless systems used
85-13-2	Error conditions [Expired]							Yes	Expired, Rule is no longer in the Racetrack Gaming Rules
85-13-3	Transfer of transactions [Expired]							Yes	Expired, Rule is no longer in the Racetrack Gaming Rules
85-13-4	Security requirements	6/25/20 06						Yes	Ensures the security of the cashless systems and patron monies
85-13-5	Prevention of unauthorized transactions	6/25/20 06						Yes	Ensures the security of the cashless systems and patron monies
85-13-6	Diagnostic tests on a cashless player terminal	6/25/20 06						Yes	Ensures the security of the cashless systems and patron monies
85-13-7	Transaction auditing	6/25/20 06						Yes	Ensures the security of the cashless systems and patron monies
85-13-8	Financial and player reports	6/25/20 06						Yes	Ensures accuracy of cashless systems being used
85-13-9	Account balance [Expired]								

Subchapter 15 – Use and Operation Requirements Applicable to Electronic Amusement Games, Electronic Bonanza-Style Bingo Games and Electronic Instant Bingo Games

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-15-0	Applicability	6/25/20						Yes	Defines what game types fall under this chapter. NOTE:
		06							There is a numbering mistake as this section refers to
									Chapter 17 instead of 15. 17 does not exist

85-15-1	General player terminal specifications	6/25/20 06		Yes	Establishes player terminal specifications
85-15-2	Applicability of Player Terminal use and operation requirements [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-15-3	General player terminal requirements	6/25/20 06		Yes	Sets standards for individual player terminals
85-15-4	Terminal security	6/25/20 06		Yes	Sets standards for the security of the player terminals
85-15-5	Player safety [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-15-6	Microprocessor controlled [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-15-7	Cabinet wiring [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-15-8	Player terminal identification	6/25/20 06		Yes	Requires serial number plates on machines
85-15-9	Tower light	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 10	Power surges	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 11	Coin diverter	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 12	Drop box	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 13	External doors/compartments	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 14	Logic compartment	6/25/20 06	Updated rule in FY 2021.	Yes	Security to prevent tampering with game software and/or game parameters. Amended Rule effected September 14,

					2020 which replaces the words "must" and 'may" to "shall". Plus corrects spelling of "compartment".
85-15- 15	Coin and currency compartments	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 16	Function of a random access memory (RAM) clear	6/25/20 06		Yes	Sets standards for this feature or component which is an industrywide accepted requirement
85-15- 17	Configuration setting	6/25/20 06		Yes	Protects accounting information within the machine
85-15- 18	Critical memory defined	6/25/20 06		Yes	Defines what information must be stored in the critical memory of each machine
85-15- 19	Critical memory integrity	6/25/20 06		Yes	Ensures the protection of the data in the critical memory
85-15- 20	Program Storage Devices	6/25/20 06		Yes	Defines what program storage devices are and how they must be labeled
85-15- 21	Write once (non-writable) program storage	6/25/20 06		Yes	Defines what information is stored here and insures integrity
85-15- 22	Writable program storage	6/25/20 06		Yes	Defines what information is stored here and insures integrity
85-15- 23	Integrity of the control program	6/25/20 06		Yes	Ensures the integrity of the control program and how it will interact with other game components
85-15- 24	Multi-station games	6/25/20 06		Yes	Defines what constitutes a Multi-Station game
85-15- 25	Circuit board identification	6/25/20 06		Yes	Ensures that these components are the same as was certified by the testing lab
85-15- 26	Mechanical devices used for displaying game outcomes	6/25/20 06		Yes	Ensures the integrity of mechanical reels used in games

85-15- 27	Video monitors/touchscreens	6/25/20		Yes	Ensures the integrity of the touch screens and video screens used in the games
					3-1-1-1-1-1 and an analysis and a second and
85-15- 28	Coin or token acceptors	6/25/20 06		Yes	Establishes parameters for coin acceptors
85-15- 29	Bill acceptors	6/25/20 06		Yes	Establishes parameters for currency acceptors
85-15- 30	Communications [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-15- 31	Factory set Bill Acceptors [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-15- 32	Tokenization [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-15- 33	Accountability of bills/tickets or other items accepted	6/25/20 06		Yes	Sets accountability requirements of currency and vouchers accepted by the machine
85-15- 34	Bill acceptor recall	6/25/20 06		Yes	Allows for verification of currency and vouchers accepted
85-15- 35	Bill acceptor error conditions	6/25/20 06		Yes	Establishes conditions that ensure the integrity and security of the bill acceptor and the bill stacker
85-15- 36	Bill acceptor stacker requirements	6/25/20 06		Yes	Establishes conditions that ensure the integrity and security of the bill acceptor and the bill stacker
85-15- 37	Credit redemption [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-15- 38	Cancel credit [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules.
85-15- 39	Hoppers and hopper error conditions	6/25/20 06	Yes, see note	Yes	Ensures the integrity of the coin hopper (however, no current machines in racetrack casinos accept coins).
85-15- 40	Payment by ticket printers	6/25/20 06		Yes	Establishes how voucher printers are secured and what information the voucher must contain

85-15- 41	Access to Player Terminal meters [Expired]			Expired, Rule is no longer in the Racetrack Gaming Rules.
85-15- 42	Credit meter	6/25/20 06	Yes	Makes clear how the credit meters will display credits as to cash value
85-15- 43	Electronic accounting and occurrence meters	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 44	Multi-game game specific meters	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 45	Double-up or gamble meters	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 46	Cashless transaction log	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 47	Error conditions	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 48	Game interruption and resumption	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 49	Door open events	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 50	RNG requirements	6/25/20 06	Yes	Industry standard. Ensures the integrity of the games and accounting
85-15- 51	Required notice of average theoretical percentage	6/25/20 06	Yes	Industry Standard. This is required public information
85-15- 52	Bonus games	6/25/20 06	Yes	Industry standard.
85-15- 53	Multi-line games	6/25/20 06	Yes	Industry standard.

85-15-	Multiple games offered for	6/25/20		Yes	Industry standard.
54	play at one player terminal	06			
85-15-	Taxation reporting limits	6/25/20		Yes	Industry standard as set by the IRS
55		06			
85-15-	Test/diagnostic mode	6/25/20			Industry standard.
56	(demo mode)	06			
85-15-	Number of last plays	6/25/20			Industry standard.
57	required	06			
85-15-	Software verification	6/25/20			Industry standard.
58		06			
85-15-	Progressive prize	6/25/20			Industry standard.
59	management	06			
85-15-	Progressive meter/display	6/25/20			Industry standard.
60		06			
85-15-	Progressive controllers	6/25/20			Industry standard. Sets parameters for progressive
61		06			controller integrity
85-15-	Linked player terminal	6/25/20			Industry standard. Ensures that all machines linked to a
62	odds	06			progressive have the same odds of winning.
85-15-	Game cycle	6/25/20			Industry standard.
63		06			
85-15-	Periodic payments and	6/25/20			Sets parameters for annuity or periodic payments and
64	merchandise prizes	06			value of merchandise prizes and requires they must be clear to patron

Subchapter 17 – Use Requirements for all Authorized Games

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
85-17-1	Game cycle [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.

85-17-2	RNG requirements	Superseded, Rule is no longer in the Racetrack Gaming
	[Superseded]	Rules.
85-17-3	Required notice of average	Superseded, Rule is no longer in the Racetrack Gaming
	theoretical percentage	Rules.
	payout [Superseded]	
85-17-4	Multiple percentages	Expired, Rule is no longer in the Racetrack Gaming
	[Expired]	Rules
85-17-5	Merchandise prizes in lieu	Expired, Rule is no longer in the Racetrack Gaming
	of cash awards [Expired]	Rules
85-17-6	Bonus games [Superseded]	Superseded, Rule is no longer in the Racetrack Gaming
		Rules.
85-17-7	Multi-line games	Superseded, Rule is no longer in the Racetrack Gaming
	[Superseded]	Rules.
85-17-8	Multiple games offered for	Superseded, Rule is no longer in the Racetrack Gaming
	play at one player terminal	Rules.
	[Superseded]	
85-17-9	Taxation reporting limits	Superseded, Rule is no longer in the Racetrack Gaming
	[Superseded]	Rules.
85-17-	Test/diagnostic mode	Superseded, Rule is no longer in the Racetrack Gaming
10	(demo mode) [Superseded]	Rules.
85-17-	Number of last plays	Superseded, Rule is no longer in the Racetrack Gaming
11	required [Superseded]	Rules.
85-17-	Software verification	Superseded, Rule is no longer in the Racetrack Gaming
12	[Superseded]	Rules.

Subchapter 19 – Progressive Use and Operation Requirements

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-19-1	Progressive prize								Superseded, Rule is no longer in the Racetrack Gaming
	management [Superseded]								Rules.
85-19-2	Progressive meter/display								Superseded, Rule is no longer in the Racetrack Gaming
	[Superseded]								Rules.
85-19-3	Progressive controllers								Superseded, Rule is no longer in the Racetrack Gaming
	[Superseded]								Rules.
85-19-4	Linked player terminal								Superseded, Rule is no longer in the Racetrack Gaming
	odds [Superseded]								Rules.

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
85-20-1	Applicability	6/25/20 06						Yes	Establishes which types of games are covered by this chapter. However, there is a number error. It refers to this as chapter 19
85-20-2	Terminal security	6/25/20 06						Yes	Industry standard
85-20-3	Player terminal identification	6/25/20						Yes	Industry standard
85-20-4	Power surges	6/25/20 06						Yes	Industry standard
85-20-5	External doors/compartments	6/25/20						Yes	Industry standard
85-20-6	Logic compartment	6/25/20 06					Updated rule in FY 2021.	Yes	Industry standard. Amended Rule effected September 14, 2020 which restructured and edited this rule's grammar and a few specific words.
85-20-7	Coin or token acceptors	6/25/20 06						Yes	Industry standard
85-20-8	Bill acceptors	6/25/20 06						Yes	Industry standard
85-20-9	Credit meter	6/25/20						Yes	Industry standard
85-20- 10	Door open events	6/25/20						Yes	Industry standard
85-20- 11	Taxation reporting limits	6/25/20						Yes	Industry standard
85-20- 12	Progressive prize management	6/25/20 06						Yes	Industry standard

85-20-	Periodic payments and	6/25/20			Yes	Industry standard
13	merchandise prizes	06				

Subchapter 21 – Accounting System Requirements

Section	Title	Date Created	Costly	Ineffective	Duplicate	Location Of Duplication	Outdated/ Unnecessary	Necessary	Explanation of determined category
85-21-1	Subchapter purpose [Expired]								Expired, Rule is no longer in the Racetrack Gaming Rules
85-21-2	Electronic accounting systems	6/25/20 06						Yes	Establishes parameters for electronic accounting in the gaming environment
35-21-3	On-line system [Expired]								Expired, Rule is no longer in the Racetrack Gaming Rules
85-21-4	Interface elements [Expired]								Expired, Rule is no longer in the Racetrack Gaming Rules
85-21-5	System server(s) [Expired]								Expired, Rule is no longer in the Racetrack Gaming Rules
85-21-6	Jackpot/fill functionality [Expired]								Expired, Rule is no longer in the Racetrack Gaming Rules
85-21-7	Required MCS functionality [Expired]								Expired, Rule is no longer in the Racetrack Gaming Rules
85-21-8	EAS stored accounting meters	6/25/20 06						Yes	Requires that accounting systems obtain certain information from all machines
85-21-9	EAS required reports	6/25/20 06						Yes	Requires operator's system must be able to generate certain reports for the Commission and/or the Auditor
85-21- 10	Security access control	6/25/20 06						Yes	Ensures the integrity of the accounting system program
85-21- 11	Data alteration	6/25/20 06						Yes	Ensures the integrity of the accounting system program
85-21- 12	System back-up	6/25/20 06						Yes	Ensures the integrity of the accounting system program

85-21-	Recovery requirements	6/25/20		Yes	
13		06			Ensures the integrity of the accounting system program
85-21- 14	Verification of Player Terminal software via the system [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-21- 15	Download requirements [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-21- 16	Remote, on-site access requirements [Expired]				Expired, Rule is no longer in the Racetrack Gaming Rules
85-21- 17	Ticket validation system - additional requirements	6/25/20 06		Yes	Allows voucher validation to be within the accounting system or a separate system that ties into it
85-21- 18	Ticket information	6/25/20 06		Yes	Sets out what information must be printed on each voucher
85-21- 19	Ticket types	6/25/20 06		Yes	Provides for vouchers to be printed if the machine and the accounting system are not communicating and establishes the procedures
85-21- 20	Ticket issuance [Expired]			Yes	Expired, Rule is no longer in the Racetrack Gaming Rules
85-21- 21	Ticket redemption	6/25/20 06		Yes	Establishes parameters for how casinos will redeem customer vouchers
85-21- 22	Invalid ticket notification	6/25/20 06		Yes	Ensures the integrity of the voucher system to avoid fraud
85-21- 23	Offline ticket redemption	6/25/20 06		Yes	Establishes procedures for redeeming customer vouchers if the validation system is off line
85-21- 24	Required reports	6/25/20 06		Yes	Establishes what reports must be generated by the validation system
85-21- 25	Security of ticket information	6/25/20 06		Yes	Ensures the integrity of the voucher system, prevents alteration
85-21- 26	General standards for redemption kiosks	6/25/20 06		Yes	Sets general standards for kiosks that can redeem customer vouchers

85-21- 27	Redemption kiosk error conditions	6/25/20 06		Y	Yes	Establishes certain error conditions. NOTE: There are numbering errors in this section.
85-21- 28	Maximum redeemable value	6/25/20 06				Sets the maximum amount that a kiosk can redeem due to taxation requirements
85-21- 29	Metering	6/25/20 06		Y	Yes	Establishes what accounting information must be stored in the kiosks
85-21- 30	Clearing meters	6/25/20 06		Y	Yes	Ensures integrity of information stored in the kiosk
85-21- 31	Required logs	6/25/20 06		Y	Yes	Establishes what logs must be kept by the kiosks
85-21- 32	Ticket acceptance	6/25/20 06		Y	Yes	Ensures the integrity of the system and prevents fraud

Subchapter 23 – Redemption Terminal (Kiosk) Standards and Use Requirements

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created			_	Duplication	Unnecessary		
85-23-1	General standards [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.
85-23-2	Error conditions [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.
85-23-3	Maximum redeemable value [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.
85-23-4	Metering [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.
85-23-5	Clearing meters [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.
85-23-6	Required logs [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.
85-23-7	Ticket acceptance [Superseded]								Superseded, Rule is no longer in the Racetrack Gaming Rules.

Subchapter 25 – Transportation, Receipt, Installation and Disposal of Gaming Machines

Section	Title	Date	Costly	Ineffective	Duplicate		Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
85-25-1	Restriction on sales, display, distribution, transportation and operation of gaming machines	6/25/20 06						Yes	Ensures that gaming machines are only sold to legal entities and they are only transported, stored or displayed in a legal manner.
85-25-2	Transportation of gaming machines into the state	5/11/20 08					Updated rule in FY 2021.	Yes	Establishes procedures for shipping gaming machines into the state. Amended Rule effected September 14, 2020 which updated the rule.
85-25-3	Receipt of gaming machines in the state	6/25/20 06					Updated rule in FY 2021.	Yes	Establishes procedures for the receipt of gaming machines by operators. Amended Rule effected September 14, 2020 which updated the rule.
85-25-4	Transportation of gaming machines between Commission licensed gaming facilities in the state	5/11/20 08					Updated rule in FY 2021.	Yes	By amending the rule regarding transportation of gaming machines between licensed gaming facilities, it is now clear-cut and precise. This will go into effect Sept. 14, 2020
85-25-5	Approval to distribute gaming machines outside of the state	6/25/20 06					Updated rule in FY 2021.	Yes	Amended Rule effected September 14, 2020 which updated the rule.
85-25-6	On-site testing, installation and placement of gaming machines - including each player terminal and each game	6/25/20 06					Updated rule in FY 2021.	Yes	Provides procedures for installing machines at facilities and what testing must be conducted to ensure they are working properly and communicating with the accounting systems. Procedures for installing machines at facilities, the required testing, and the accounting systems has now been amended with the goal is keeping the rule detailed and clear. Effective Sept. 14, 2020
85-25-7	Disposal of gaming machines	6/25/20 06						Yes	Ensures that gaming machines are disposed of properly

Subchapter 27 – Requirements for Audits Performed by Gaming Agents

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		

85-27-1 Daily and spot audits	6/25/20	Yes	Allows spot audits by Gaming Agents and/or Auditors
	06		

Chapter 90 – Gaming Operations

Subchapter 1 – General Provisions

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
90-1-1	Purpose	6/25/20						Yes	Establishes the purpose of this chapter
		06							
90-1-2	Definitions	6/25/20						Yes	Defines terms used in this chapter
		06							-

Subchapter 3 – Security and Surveillance Minimum Requirements

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
90-3-1	Surveillance Systems	6/25/20						Yes	Requires operators to have adequate surveillance of the
		06							casino to help prevent fraud, theft and to ensure integrity
90-3-2	Security and Surveillance	6/25/20						Yes	Requires security and surveillance logs to provide the
	Logs	06							Commission with adequate information
90-3-3	Storage and retrieval of	6/25/20						Yes	Requires a certain time period for which surveillance
	surveillance recordings	06							recordings must be kept
90-3-4	Maintenance and testing	6/25/20						Yes	Requires operators to keep their surveillance system
		06							maintained and working
90-3-5	Surveillance system	6/25/20						Yes	Requires operators to evaluate their surveillance plans to
	compliance	06							ensure it stays in compliance
90-3-6	Commission office	6/25/20						Yes	Requires operator to provide office for Commission
		06							Gaming Agents

Subchapter 5 - Unresolved Patron Disputes

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
90-5-1	Unresolved patron disputes	4/25/20					Updated rule in	Yes	Establishes procedures for investigation of disputes
		09					FY 2021.		between patrons and the casino by the Commission. Investigation disputes between patrons and the casino by the commission has established procedures that have been amended and goes into effect Sept. 14, 2020.

90-5-2	Compulsive Gambling	6/25/20			Yes	Requires the operator to provide a plan for Compulsive
	Assistance Plan	06				Gambling and how to deal with it
90-5-3	Minimum standards for	6/25/20			Yes	Establishes the minimum standards for an operator's
	Compulsive Gambling	06				Compulsive Gambling Assistance plans
	Assistance Plan					
90-5-4	Employee training	6/25/20			Yes	Requires that all casino employees must receive training
	regarding Compulsive	06				in detection of Compulsive Gambling
	Gambling Assistance Plan					
90-5-5	Annual report regarding	6/25/20			Yes	Requires that the operator provide an annual report about
	Compulsive Gambling	06				its efforts to curb Compulsive Gambling
	Activities					_

Subchapter 7 – Smoking Regulations

Section	Title	Date	Costly	Ineffective	Duplicate	Location Of	Outdated/	Necessary	Explanation of determined category
		Created				Duplication	Unnecessary		
90-7-1	Requirements for smoking	6/25/20			Yes	Title 3A	OHRC Rules	No	This is established by state law and does not need to be
	in Gaming Facility	06				3A-208.12	Committee		addressed here. Will review with Rules Committee this
							review this rule		year to remove.
							for being		
							revoked.		