

APPLICATION TO ESTABLISH A
LOAN PRODUCTION/DEPOSIT PRODUCTION OFFICE
(Title 6 O.S. § 424)
(O.A.C. § 85:10-9-7)



OKLAHOMA STATE BANKING DEPARTMENT
2900 N. LINCOLN BLVD.
OKLAHOMA CITY, OKLAHOMA 73105

Name of Applicant _____

Address (Main Office) _____
Street City State Zip

Date of Application _____

***REQUESTS FOR ADDITIONAL INFORMATION OR OTHER COMMUNICATIONS
ABOUT THIS APPLICATION SHOULD BE DIRECTED TO:***

Name _____ Title _____

Address _____
Street City State Zip

10 Digit Telephone No. _____ 10 Digit Fax No. _____

E-mail Address _____

Answer all parts of this application. If additional space is needed, please supplement with additional pages and with a clear reference in the space provided to the supplemental page(s). If any part of the application does not apply, write "n/a".

1. Proposed Location of Facility: _____
Street City State Zip

2. Date the office is expected to open: _____.

3. Type of Operation:
_____ Loan Production Office (LPO) only
_____ Deposit Production Office (DPO) only
_____ Combined LPO/DPO

4. LPO Services: Indicate the types of services to be provided at the office:

- _____ Provide loan applications to customers.
- _____ Facilitate the return of the loan application to the bank.
- _____ Provide promissory notes and/or disclosures to customers.
- _____ Receive executed notes from customers.
- _____ Arrange for the loan proceeds to be delivered to the customer.

5. DPO Services: Indicate the types of services to be provided at the office:

- _____ Provide deposit applications to customers.
- _____ Facilitate the return of the deposit application to the bank.
- _____ Provide deposit agreements and/or disclosures to customers.
- _____ Receive executed deposit agreements from customers.
- _____ Arrange for the deposited funds to be delivered to the bank.

6. Types of loan or deposit account applications to be received: _____

7. Describe in detail how business will be conducted at the location:

LPO: _____

DPO: _____

8. Will a loan officer be placed at the location? _____ Yes _____ No
If yes, will the loan officer make recommendations for approval/disapproval of loan applications? _____ Yes _____ No

9. How will applicants be informed of approval/disapproval of their loan or deposit account applications?
Approval: _____
Disapproval: _____

10. Where will loan documents be signed? _____

11. How and where will applicants receive loan proceeds? _____

12. How will deposit customers be instructed to make their deposits? _____

13. When will the customer's funds be accepted and booked as a deposit in the bank? _____

14. What disclosures will the bank provide to customers concerning when their prospective deposits become insured by the FDIC? _____

15. What method(s) will be utilized or made available to customers to deliver deposits? Check one or more as may be applicable. For each method checked, attach a description of how each will be handled by bank personnel, i.e., what involvement bank personnel will have in the method checked.

_____ U.S. Post Office

_____ Private Courier Service

_____ Express Service

_____ Other

If utilizing a private courier service, who will contract with the courier service? _____

Describe how prospective deposits will be handled? _____

Does the bank, bank's holding company, or an affiliate own the courier service?

_____ Yes. _____ No. If yes, explain: _____

Who will bear the risk of loss on prospective deposits while out of the customer's control and in transit or waiting to be picked up by the courier service? _____

Securely email a completed electronic copy of this application to: correspondence@banking.ok.gov

An invoice will be emailed within 5 business days of receipt, reflecting the application fee of \$500 and will include instructions for paying using the Oklahoma State Banking Department's ACH Payment System. For any questions, please call 405-521-2782.

It is hereby certified that all representations and information in this application and any other document submitted in connection with this application contain no misrepresentations or omissions of material facts.

Printed Name

Signature

Title (must be president, CEO, or other authorized officer)