

**SCHEDULE OF PLEDGING REQUIREMENTS
(Title 6 O.S. § 1004.A.(2))**

<u>CASH AND SECURITIES HELD IN TRUST</u>	<u>REQUIRED PLEDGING</u>
Less than \$1,000,000	\$ 50,000
\$1,000,000 to \$5,000,000	\$250,000
\$5,000,001 to \$10,000,000	\$400,000
Over \$10,000,000	\$500,000

The required pledging must reflect the " ready market value " of the securities pledged.

Pledged securities may be held for safekeeping at any bank, other than the pledging bank, approved by the Bank Commissioner. The holding bank must issue and then forward a joint custody receipt to the Bank Commissioner for each security pledged.

**PURCHASE OF BOND OR IRREVOCABLE LETTER OF CREDIT
(Title 6 O.S. § 1004.C)**

<u>CASH AND SECURITIES HELD IN TRUST</u>	<u>MINIMUM REQUIRED BOND OR LETTER OF CREDIT</u>
Less than \$1,000,000	\$100,000
\$1,000,000 to \$5,000,000	\$500,000
\$5,000,001 to \$10,000,000	\$800,000
Over \$10,000,000	\$1,000,000

The bond or letter of credit must be submitted to and approved by the Commissioner.

Effective November 1, 2005