

APPENDIX B. Minor Violations Penalty Schedule

MINOR VIOLATIONS PENALTY SCHEDULE B

STATUTE	OAC 45:	VIOLATIONS	SUCCESSIVE VIOLATIONS							
			1st Suspension	Fine	2nd Suspension	Fine	3rd Suspension	Fine	4th Suspension	Fine
37A §2-102-132 See also 37A §6-117.	45:10-3-7	Employ an unlicensed person to work on licensed premises in the sale or distribution of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
37A §2-133		Licensee responsible for act or omission of servant, agent, employee or representative.	Match suspension or fine for underlying (minor or major) violation.							
37A §2-142H		Failure to timely renew license.	None	\$5/Day	None	\$10/Day	None	\$25/Day	None	\$50/Day
37A §2-143C		Failure to notify Commission of change in corporate officers, directors or principal managers of premises or failure to pay required fee for change.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
37A §2-143D		Failure to notify Commission of acquisition of 15% or more corporate stock within 30 days of acquisition.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
37A §2-148A(5)		Misrepresented to a customer or the public any alcoholic beverage sold by the licensee.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500	
37A §2-148B(1)		Mixed Beverage or Caterer licensee has acted as an Agent of a Manufacturer, Brewer or Wholesaler of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days or Revocation	

(5)		Mixed Beverage or Caterer licensee has violated any of the provisions of the ABC Act for which mandatory revocation or suspension is not required.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
See also 37A §6-102A(1).	45:10-5-1	Any licensee has violated any provision of the ABC Act or any rule for which no specific suspension period or fine is provided.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
37A §2-154		Failure to display licenses in conspicuous place.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000
37A §2-156C	45:20-3-8 45:20-3-13	Retail sale or delivery off licensed premises; passageway violation; permit use of licensed premises by other persons for business purposes.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500
37A §3-106C(1)		Delivery of more than six 9-liter cases per year to an individual.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	30 Days + \$3,000
(3)		Failure to have proper labeling on wine shipments.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	30 Days + \$3,000
(5)		Failure to submit quarterly Direct Shipper's report.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	30 Days + \$3,000
37A §3-118 See also 37A §6-102A(4)(b).		Retail sale for less than 6% markup.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500

37A §3-125A See also 37A §6-103A(3).	45:20-5-10	Sale or consumption of alcoholic beverages other than hours set forth.	5 Days \$ 250	10 Days \$ 500	15 Days + \$ 750	20 Days + \$1,000
37A §5-120 See also 37A §5-126.	45:20-3-7 45:20-5-9	Failure to keep books and records, including invoices, available for inspection for 3 years.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-126 See also 37A §5-120.	45:20-3-7 45:20-5-9	Failure to keep records for 3 years.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-137 See also 37A §2-138.	45:20-5-9	Possess alcoholic beverage container on the licensed premises not listed on an invoice from the Wholesaler from whom purchased.	5 Days \$ 250	10 Days \$ 500	15 Days + \$ 750	20 Days + \$1,000
37A §6-101A(3) See also 37A §6-103A(2).	45:20-3-12	Open a retail container or consume alcoholic beverages on the premises of a Retail Package Store, Grocery Store, Convenience Store or Drug Store.	Letter of Caution	5 Days \$ 250	10 Days \$ 500	30 Days + \$1,500
37A §6-102A(3)		Give any alcoholic beverage as a prize for any type of competition.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
(4)		Inducement to stimulate consumption of alcoholic beverages including:	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
		a. Deliver more than two drinks to one person at one time.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
See also 37A §3-118.		b. Sell or offer discounted drinks for less than 6% markup by Mixed Beverage licensee.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

		c. Sell or offer unlimited drinks during set period of time for a fixed price, except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-102A(4)		d. Sell or offer drinks for less than charged general public on any day, except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		e. Increase volume of alcohol in a drink without increasing the price regularly charged during the same calendar week.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		f. Promote contests which involve drinking or award drinks as prizes.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(5)		Permit any person to exit licensed premises with an open container of alcoholic beverage.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(7)		Drunk or intoxicated persons allowed to remain on a licensed premise.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-103A(2) See also 37A §6-101A(3).	45:20-3-12	Permit any retail container to be opened or consumed on licensed premises.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500
37A §6-103B		Permit any person under twenty-one (21) to enter, remain or loiter about the licensed premises.	3 Days	\$ 150	6 Days	\$ 300	15 Days + \$300		30 Days + \$1,500
37A §6-105(2)		Transport alcoholic beverages without a Private Carrier license	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500

37A §6-107(2)		Transport alcoholic beverages without a Private Carrier license.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §6-109(1)		Consume or be under the influence of alcoholic beverages while on duty or violate educational tasting requirements.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
(2)	45:10-3-10	Permit offensive or threatening language or conduct.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 300
(3)		Permit empty or discarded alcoholic beverage containers outside the licensed premises in public view.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 300
(6)		Permit a sealed or unsealed container of alcoholic beverage to be taken from the licensed premises.	10 Days \$ 500	20 Days \$1,000	30 Days \$1,500	30 Days + \$1,500
	45:10-3-10	Permit fire exit to be blocked or locked.	10 Days \$1,000	20 Days \$2,000	30 Days \$3,000	Revocation
	45:10-3-15	Returning merchandise delivered in error.	Letter of Caution			
	45:10-3-20	Holding of check prohibited.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
	45:10-3-21	Post-dated check prohibited.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
	45:10-3-30	Unauthorized transfer of stock of terminated licensee, failure to submit application for permission to Director, by seller and purchaser, failure to submit Inventory & Report.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

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45:25-1-4	Failure to provide appropriate winery serving area at a trade show or festival.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-1-5	Failure by a winery to provide event schedule and diagram.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-1-6	Failure by an Off-Site Event licensee to provide a designated area.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-3-4	Failure to maintain records by a Self-Distributing Winery.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
45:30-3-13	Failure to maintain records by Wine and Spirits Wholesaler.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
45:30-5-19	Failure by brewery to provide appropriate serving area at a trade show or festival.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:30-5-20	Failure by a brewer to provide event schedule and a diagram.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-1-6	Failure by a Special Event licensee to provide a designated bar area; failure to post signs and failure to qualify for a Type II.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-3-6	Failure by a Public Event licensee to provide a designated bar area; failure to post signs and failure to qualify for a Type II.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500

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45:35-9-4	Failure to comply with size of container for a Hotel mini bar.	3 Days \$ 250	5 Days \$ 500	10 Days \$1,000	10 Days + \$1,000
45:40-3-5	Failure to display proper signage on vehicles owned by Wine and Spirits Wholesalers.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 750
45:40-7-5	Failure to comply with requirements for alteration of licensed premises.	3 Days \$ 150	3 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

[Source: Revoked and reenacted at 35 Ok Reg 801, eff 10-1-18; [Amended at 42 Ok Reg, Number 22, effective 8-11-25](#)]

APPENDIX A. Major Violations Penalty Schedule

MAJOR VIOLATIONS PENALTY SCHEDULE A

STATUTE	OAC 45:	VIOLATIONS	SUCCESSIVE VIOLATIONS				4th All violations subject to revocation
			1st Suspension	Fine	2nd Suspension	3rd Suspension	
37A §2-105	45:25-3-2	Price discrimination by a Self-Distributing Winery.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §2-109B(3)		Sale of wine over 15% by volume.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §2-109C(3)		Sale of beer over 8.99% by volume.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §2-109C(3)	45:20-9-3	Unauthorized sale by a retail beer licensee.	30 Days	\$3,000	60 Days	\$6,000	Revocation
37A §2-109C(3)	45:20-11-3	Unauthorized sale by a retail wine licensee.	30 Days	\$3,000	60 Days	\$6,000	Revocation
37A §2-138 See also 37A §6-103A(1), 37A §6-105(1), and 37A §6-108(1).		Purchase from other than licensed Wholesaler or Beer Distributor.	30 Days	\$3,000	60 Days	\$6,000	Revocation
37A §2-148A(1)		Procured a license through fraud, misrepresentation or concealment.	Revocation				
(2)		Made any false representation or statement to the Commission.	15 Days	\$1,500	30 Days	\$3,000	Revocation

(3)	45:10-3-11	Maintained an unsanitary establishment or has supplied impure or otherwise deleterious beverages or food.	3 Days	\$ 300	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §2-148A(6)		Had any permit or license suspended or revoked by the Tax Commission.	Revocation						
37A §2-148B(2)		Mixed Beverage or Caterer licensee who is a Manufacturer, Brewer or Wholesaler of alcoholic beverages.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
37A §2-148B(3)		Has borrowed money or property or accepted gratuities or rebate from a Manufacturer or Wholesaler of alcoholic beverages.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
(4)		Has obtained the use of equipment from any Manufacturer or Wholesaler of alcoholic beverages or any Agent thereof.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	Revocation
37A §2-148D(1) See also 37A §6-120.		Knowingly sold or allowed alcoholic beverages to be sold, delivered or furnished to any person under twenty-one (21) or to a person visibly intoxicated, adjudged insane or mentally deficient.	10 Days	\$1,000	15 Days	\$1,500	20 Days	\$2,000	Revocation
(2)		Licensee, any partner, officer or director convicted of a felony.	Revocation						
37A §2-148D(3)		Wine & Spirits Wholesaler, Beer Distributor Retail Spirits, Retail Beer or Retail Wine licensee or any partner therein convicted of a Prohibitory Law relating to the sale, manufacture or transportation of alcoholic beverages.	Revocation						

37A §2-148H		Failure of any licensee to pay a fine or serve a suspension imposed by the Commission.	Revocation						
37A §2-156(C)		Sale by Package Store in anything other than retail container, sale of more than 20% non-alcohol, and sale or delivery off-premises.	5 Days \$ 500	10 Days \$ 1,000	20 Days \$ 2,000	Revocation			
37A §2-157(A)	45:25-3-3	Failure to post prices by a Self-Distributing Winery.	10 Days \$ 1,000	30 Days \$ 3,000	Revocation				
37A §2-157(D)	45:25-3-5	Pooling delivery of alcohol by a Self-Distributing Winery.	10 Days \$ 1,000	30 Days \$ 3,000	Revocation				
37A §3-101		Manufacture, rectify, sell, possess, store, import into or export from this state, transport or deliver any alcoholic beverage except as specifically provided in this Act.	30 Days \$ 3,000	60 Days \$ 6,000	Revocation				
37A §3-106(A)	45:25-5-1	Failure to obtain Direct Shippers Permit.	10 Days \$ 1,000	30 Days \$ 3,000	Revocation				
37A §3-116A See also 37A §3-119(2) and 37A §3-123A(2).		Discrimination or inducement in sale to Wholesaler.	10 Days \$ 1,000	30 Days \$ 3,000	Revocation				
37A §3-119(1)		Financial or business interest with or in any retail premises with regard to the sale of alcoholic beverages.	Revocation						

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See also 37A §3-116A and 37A §3-123A(2).	(2)	45:10-3-25	Lend money or other thing of value or offer any gift, gratuity or other inducement to licensee.	10 Days	\$1,000	30 Days	\$3,000	Revocation	
	(3)		Guarantee financial obligation of licensee.	10 Days	\$1,000	30 Days	\$3,000	Revocation	
	(4)		Require licensee to purchase any quota as condition of purchase.	10 Days	\$1,000	30 Days	\$3,000	Revocation	
	(5)		Sale to licensee on consignment, etc.	10 Days	\$1,000	30 Days	\$3,000	Revocation	
	(6)	45:10-3-26	Extend credit to licensee.	10 Days	\$1,000	30 Days	\$3,000	Revocation	
37A §3-123A(1)		45:30-3-1 45:30-3-6 45:30-5-6	Discriminate in price between Wholesalers, Retailers and other licensees for alcoholic beverages bearing same brands, etc.	Warning	\$1,000	10 Days	\$3,000	Revocation after Opportunity to Cure.	
37A §3-123A(2) See also 37A §3-116A and 37A §3-119(2).		45:10-3-24 45:10-3-25	Grant any discount, rebate, free goods, allowance or other inducement.	Warning	\$1,000	10 Days	\$3,000	Revocation	
37A §5-132A			Alcoholic beverage not labeled, offered or advertised for sale in accordance with rules or brand label not registered and approved.	10 Days	\$1,000	20 Days	\$2,000	30 Days \$3,000	Revocation
37A §5-132B			Application for registration of brand label not filed.	10 Days	\$1,000	20 Days	\$2,000	30 Days \$3,000	Revocation

37A §5-133		Refill with any substance a container which contained any alcoholic beverages on which the tax under Section 5-101 has been paid.	30 Days	\$3,000	60 Days	\$6,000	Revocation
37A §6-101A(4)		Import, for personal use, more than one liter on which Oklahoma Excise Tax is delinquent.	Revocation				
(5)		Receive, possess or use any alcoholic beverage in violation of Oklahoma ABC Act.	30 Days	\$3,000	60 Days	\$6,000	Revocation
(6)		Transport more than one liter without valid documentation showing name and address of consignor and consignee.	Revocation				
(7)		Transport an open container, accessible to the driver, while vehicle is in motion.	Revocation				
(8)		Consume spirits off the licensed premises or be intoxicated in a public place.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-101(9)		Forcibly resist lawful arrest or interfere with an investigation.	Revocation				
37A §6-102A(1)	45:10-5-1	Receive, possess or sell alcoholic beverage except as authorized by ABC Act and the license or permit held.	10 Days	\$1,000	30 Days	\$3,000	Revocation
(2)		Employ any person under twenty-one (21) selling spirits or under eighteen (18) selling beer or wine.	10 Days	\$1,000	30 Days	\$3,000	Revocation

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(6)	Serve or sell alcoholic beverages with an expired license.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
37A §6-103A(1) See also 37A §2-138, 37A §6-105(1), and 37A §6-108(1).	Purchase or receive alcoholic beverage other than from a Wholesaler, Beer Distributor, Winery or Small Brewer licensee.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
(3)	Open store or sale by Retail Spirits licensee other than hours and days set forth.	30 Days	\$3,000	60 Days	\$6,000	Revocation or Revocation with criminal conviction		
37A §6-103(5)	Sell alcoholic beverage on credit by a Retail Spirits licensee.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	Revocation
(6)	Offer gift to consumer as inducement for sale by a Retail Sprits licensee.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	Revocation
37A §6-104(1)	Sell or deliver Retail Spirits or Wine, or receipt of same by Retail licensee, on Sunday.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-104(2)	Sell or deliver Retail Spirits or Wine, or receipt of same by Retail licensee, on designated holidays.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-105(1) See also 37A §2-138, 37A §6-103A(1), and 37A §6-108(1).	Purchase or receive alcoholic beverage from other than Wine & Spirits Wholesaler or Beer Distributor.	10 Days	\$1,000	30 Days	\$3,000	Revocation		

(3)		Unclear labeling of bottle which might conceal quantity or content of beverage which is kept for sale.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-105(4)		Keep or knowingly permit alcoholic beverages to be kept, brought or consumed which are not allowed to be sold or served on licensed premises.	10 Days	\$1,000	30 Days	\$3,000	Revocation
See also 37A §6-114.	(5)	Allow person under age twenty-one (21) within designated bar area.	10 Days	\$1,000	20 Days	\$2,000	30 Days \$3,000 Revocation
37A §6-108(1) See also 37A §2-138, 37A §6-103A(1), and 37A §6-105(1).		Purchase or receive alcoholic beverage other than from Wine & Spirits Wholesaler, Beer Distributor, Winery or Small Brewer.	30 Days	\$3,000	60 Days	\$6,000	Revocation
37A §6-109(4)	45:10-3-10	Permit any illegal gambling, violation of drug laws, prostitution or other criminal conduct.	30 Days	\$3,000	60 Days	\$6,000	Revocation
(5)		Refuse or fail to promptly open a door to the licensed premises upon request of ABLE employee or any other peace officer.	15 Days	\$1,500	30 Days	\$3,000	Revocation
(7)		Destroy, damage, alter, remove, conceal or refuse to surrender potential evidence.	15 Days	\$1,500	30 Days	\$3,000	Revocation
37A §6-110(1)		Permit performance of sexual acts or simulated sexual acts.	10 Days	\$1,000	20 Days	\$2,000	Revocation

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(2)		Permit fondling of specified body parts.	10 Days	\$1,000	20 Days	\$2,000	Revocation	
(3)		Permit exposure of specified body parts.	10 Days	\$1,000	20 Days	\$2,000	Revocation	
(4)		Permit use of artificial devices or inanimate objects to depict prohibited sexual acts, including films, pictures or visual reproductions of prohibited acts.	10 Days	\$1,000	20 Days	\$2,000	Revocation	
37A §6-114 See also 37A §6-105(5).		Allow persons under age twenty-one (21) in bar area which has, as its main purpose, the sale of alcoholic beverages.	10 Days	\$1,000	20 Days	\$2,000	30 Days \$3,000 Revocation	
37A §6-117	45:30-5-16	Acting without a license for which one is required under the Oklahoma ABC Act.	30 Days	\$2,500	30 Days	\$2,500		
3A §406	45:50-11-2	Conviction of Charity Games Distributor or Manufacturer licensee, partner, officer or stockholder for felony, or licensee not a U.S. citizen.	Revocation					
3A §407	45:50-11-2	Violation of tax laws, failure to pay fine, or violation of Charity Games Act, Rules, etc., by Charity Games licensee.	Revocation					
3A §426	45:50-11-2	Violation of Charity Games contraband prohibitions.	Revocation					
	45:10-3-15	Unauthorized replacement of wine or spirit.	10 Days	\$1,000	20 Days	\$2,000	30 Days \$3,000 Revocation	

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45:20-5-1(c)	Failure to have signs prohibiting persons under age twenty-one (21) from entry into Mixed Beverage Club.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
45:20-9-2	Delivery of beer to other than retail location.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
45:20-11-2	Delivery of wine to other than retail location.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
45:50-11-2	Violation of any provision of the Charity Games Act for which mandatory revocation is not required.	30 Days	\$3,000	60 Days	\$6,000	90 Days	\$9,000	Revocation

[Source: Revoked and reenacted at 35 Ok Reg 801, eff 10-1-18; [Amended at 42 Ok Reg, Number 22, effective 8-11-25](#)]

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MINOR VIOLATIONS PENALTY SCHEDULE B

STATUTE	OAC 45:	VIOLATIONS	SUCCESSIVE VIOLATIONS							
			1st		2nd		3rd		4th	
			Suspension	Fine	Suspension	Fine	Suspension	Fine	Suspension	Fine
37A §2-102-132 See also 37A §6-117.	45:10-3-7	Employ an unlicensed person to work on licensed premises in the sale or distribution of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
37A §2-133		Licensee responsible for act or omission of servant, agent, employee or representative.	Match suspension or fine for underlying (minor or major) violation.							
37A §2-142H		Failure to timely renew license.	None	\$5/Day	None	\$10/Day	None	\$25/Day	None	\$50/Day
37A §2-143C		Failure to notify Commission of change in corporate officers, directors or principal managers of premises or failure to pay required fee for change.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
37A §2-143D		Failure to notify Commission of acquisition of 15% or more corporate stock within 30 days of acquisition.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
37A §2-148A(5)		Misrepresented to a customer or the public any alcoholic beverage sold by the licensee.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500	
37A §2-148B(1)		Mixed Beverage or Caterer licensee has acted as an Agent of a Manufacturer, Brewer or Wholesaler of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days or Revocation	

(5)		Mixed Beverage or Caterer licensee has violated any of the provisions of the ABC Act for which mandatory revocation or suspension is not required.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
See also 37A §6-102A(1).	45:10-5-1	Any licensee has violated any provision of the ABC Act or any rule for which no specific suspension period or fine is provided.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
37A §2-154		Failure to display licenses in conspicuous place.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000
37A §2-156C	45:20-3-8 45:20-3-13	Retail sale or delivery off licensed premises; passageway violation; permit use of licensed premises by other persons for business purposes.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500
37A §3-106C(1)		Delivery of more than six 9-liter cases per year to an individual.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	30 Days + \$3,000
(3)		Failure to have proper labeling on wine shipments.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	30 Days + \$3,000
(5)		Failure to submit quarterly Direct Shipper's report.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	30 Days + \$3,000
37A §3-118 See also 37A §6-102A(4)(b).		Retail sale for less than 6% markup.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500

37A §3-125A See also 37A §6-103A(3).	45:20-5-10	Sale or consumption of alcoholic beverages other than hours set forth.	5 Days \$ 250	10 Days \$ 500	15 Days + \$ 750	20 Days + \$1,000
37A §5-120 See also 37A §5-126.	45:20-3-7 45:20-5-9	Failure to keep books and records, including invoices, available for inspection for 3 years.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-126 See also 37A §5-120.	45:20-3-7 45:20-5-9	Failure to keep records for 3 years.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-137 See also 37A §2-138.	45:20-5-9	Possess alcoholic beverage container on the licensed premises not listed on an invoice from the Wholesaler from whom purchased.	5 Days \$ 250	10 Days \$ 500	15 Days + \$ 750	20 Days + \$1,000
37A §6-101A(3) See also 37A §6-103A(2).	45:20-3-12	Open a retail container or consume alcoholic beverages on the premises of a Retail Package Store, Grocery Store, Convenience Store or Drug Store.	Letter of Caution	5 Days \$ 250	10 Days \$ 500	30 Days + \$1,500
37A §6-102A(3)		Give any alcoholic beverage as a prize for any type of competition.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
(4)		Inducement to stimulate consumption of alcoholic beverages including:	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
		a. Deliver more than two drinks to one person at one time.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
See also 37A §3-118.		b. Sell or offer discounted drinks for less than 6% markup by Mixed Beverage licensee.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

		c. Sell or offer unlimited drinks during set period of time for a fixed price, except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-102A(4)		d. Sell or offer drinks for less than charged general public on any day, except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		e. Increase volume of alcohol in a drink without increasing the price regularly charged during the same calendar week.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		f. Promote contests which involve drinking or award drinks as prizes.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(5)		Permit any person to exit licensed premises with an open container of alcoholic beverage.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(7)		Drunk or intoxicated persons allowed to remain on a licensed premise.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-103A(2) See also 37A §6-101A(3).	45:20-3-12	Permit any retail container to be opened or consumed on licensed premises.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500
37A §6-103B		Permit any person under twenty-one (21) to enter, remain or loiter about the licensed premises.	3 Days	\$ 150	6 Days	\$ 300	15 Days + \$300		30 Days + \$1,500
37A §6-105(2)		Transport alcoholic beverages without a Private Carrier license	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500

Minor Violations Penalty Schedule

37A §6-107(2)		Transport alcoholic beverages without a Private Carrier license.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §6-109(1)		Consume or be under the influence of alcoholic beverages while on duty or violate educational tasting requirements.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
(2)	45:10-3-10	Permit offensive or threatening language or conduct.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 300
(3)		Permit empty or discarded alcoholic beverage containers outside the licensed premises in public view.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 300
(6)		Permit a sealed or unsealed container of alcoholic beverage to be taken from the licensed premises.	10 Days \$ 500	20 Days \$1,000	30 Days \$1,500	30 Days + \$1,500
	45:10-3-10	Permit fire exit to be blocked or locked.	10 Days \$1,000	20 Days \$2,000	30 Days \$3,000	Revocation
	45:10-3-15	Returning merchandise delivered in error.	Letter of Caution			
	45:10-3-20	Holding of check prohibited.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
	45:10-3-21	Post-dated check prohibited.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
	45:10-3-30	Unauthorized transfer of stock of terminated licensee, failure to submit application for permission to Director, by seller and purchaser, failure to submit Inventory & Report.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

Minor Violations Penalty Schedule

45:25-1-4	Failure to provide appropriate winery serving area at a trade show or festival.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-1-5	Failure by a winery to provide event schedule and diagram.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-1-6	Failure by an Off-Site Event licensee to provide a designated area.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-3-4	Failure to maintain records by a Self-Distributing Winery.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
45:30-3-13	Failure to maintain records by Wine and Spirits Wholesaler.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
45:30-5-19	Failure by brewery to provide appropriate serving area at a trade show or festival.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:30-5-20	Failure by a brewer to provide event schedule and a diagram.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-1-6	Failure by a Special Event licensee to provide a designated bar area; failure to post signs and failure to qualify for a Type II.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-3-6	Failure by a Public Event licensee to provide a designated bar area; failure to post signs and failure to qualify for a Type II.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500

Minor Violations Penalty Schedule

45:35-9-4	Failure to comply with size of container for a Hotel mini bar.	3 Days \$ 250	5 Days \$ 500	10 Days \$1,000	10 Days + \$1,000
45:40-3-5	Failure to display proper signage on vehicles owned by Wine and Spirits Wholesalers.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 750
45:40-7-5	Failure to comply with requirements for alteration of licensed premises.	3 Days \$ 150	3 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

[Source: Revoked and reenacted at 35 Ok Reg 801, eff 10-1-18; [Amended at 42 Ok Reg, Number 22, effective 8-11-25](#)]