

MAJOR VIOLATIONS PENALTY SCHEDULE A

STATUTE	OAC 45:	VIOLATIONS	SUCCESSIVE VIOLATIONS								
			1st Suspension	Fine	2nd Suspension	Fine	3rd Suspension	Fine	4th All violations subject to revocation		
37A §2-105	45:25-3-2	Price discrimination by a Self-Distributing Winery.	10 Days	\$1,000	30 Days	\$3,000	Revocation				
37A §2-109B(3)	45:20-11-1 (Revoked)	Sale of wine over 15% by volume.	10 Days	\$1,000	30 Days	\$3,000	Revocation				
37A §2-109C(3)	45:20-9-1 (Revoked)	Sale of beer over 8.99% by volume.	10 Days	\$1,000	30 Days	\$3,000	Revocation				
37A §2-109C(3)	45:20-9-3	Unauthorized sale by a retail beer licensee.	30 Days	\$3,000	60 Days	\$6,000	Revocation				
37A §2-109C(3)	45:20-11-3	Unauthorized sale by a retail wine licensee.	30 Days	\$3,000	60 Days	\$6,000	Revocation				
37A §2-138 See also 37A §6-103A(1), 37A §6-105(1), and 37A §6-108(1).		Purchase by Mixed Beverage, Caterer, Special Events licensees and their employees from other than licensed Wholesaler or Beer Distributor.	30 Days	\$3,000	60 Days	\$6,000	Revocation				
37A §2-148A(21)		Procured a license through fraud, misrepresentation or concealment.			Revocation						
	(32)	Made any false representation or statement to the Commission.	15 Days	\$1,500	30 Days	\$3,000	Revocation				

(4) (3)	45:10-3-11	Maintained an unsanitary establishment or has supplied impure or otherwise deleterious beverages or food.	3 Days	\$ 300	10 Days	\$1,000	30 Days	\$3,000	Revocation
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37A §2-148A(5)	Store or possess on a Bottle Club premises any alcoholic beverage upon which the tax levied under §5-101 has not been paid (more than 10 bottles).	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation	
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37A §2-148A(76) (Was 7 before recent amendments.)	Had any permit or license suspended or revoked by the Tax Commission.								Revocation
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37A §2-148B(2)	Mixed Beverage or Caterer licensee who is a Manufacturer, Brewer or Wholesaler of alcoholic beverages.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000		Revocation
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37A §2-148B(3)	Has borrowed money or property or accepted gratuities or rebate from a Manufacturer or Wholesaler of alcoholic beverages.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000		Revocation
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(4)	Has obtained the use of equipment from any Manufacturer or Wholesaler of alcoholic beverages or any Agent thereof.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500		Revocation
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37A §2-148D(1) See also 37A §6-120.	Knowingly sold or allowed alcoholic Manufacturer or Wholesaler of alcoholic beverages to be sold, delivered or furnished to any person under twenty-one (21) or to a person visibly intoxicated, adjudged insane or mentally deficient.								Revocation
		Revocation	10 Days	\$1,000	15 Days	\$1,500	20 Days	\$2,000	(Note: A recent change to 37A §2-148E gave ABLE discretion to administer a fine rather than immediately revoke a license.)
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(2)	45:10-3-2 Licensee, any partner, officer or director convicted of a felony.								Revocation
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37A §2-148D(3)		Wine & Spirits Wholesaler, Beer Distributor Retail Spirits, Retail Beer- or Retail Wine licensee or any partner therein; convicted of a Prohibitory Law relating to the sale, manufacture or trans- transportation of alcoholic beverages.	Revocation						
37A §2-148H		Failure of any licensee to pay a fine or serve a suspension imposed by the Commission.	Revocation						
37A §2-156(C)		Sale by Package Store in anything other than retail container, sale of more than 20% non-alcohol, and sale or delivery off-premises.	5 Days	\$ 500	10 Days	\$1,000	20 Days	\$2,000	Revocation
37A §2-157(A)	45:25-3-3	Failure to post prices by a Self-Distributing Winery.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
37A §2-157(D)	45:25-3-5	Pooling delivery of alcohol by a Self- Distributing Winery.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
37A §3-101		Manufacture, rectify, sell, possess, store, import into or export from this state, transport or deliver any alcoholic beverage except as specifically provided in this Act.	30 Days	\$3,000	30 Days 60 Days	\$3,000 \$6,000	Revocation		
37A §3-106(A)	45:25-5-1	Failure to obtain Direct Shippers Permit	10 Days	\$1,000	30 Days	\$3,000	Revocation		
37A §3-116A See also 37A §3-119(2) and 37A §3-123A(2).		Discrimination or inducement in Sale to Wholesaler. without discrimination or inducement.	10 Days	\$1,000	30 Days	\$3,000	Revocation		

37A §3-119(1)		Financial or business interest with or in any retail premises with regard to the sale of alcoholic beverages or light beer.	Revocation				
See also 37A §3-116A and 37A §3-123A(2).	(2) 45:10-3-25	Lend money or other thing of value or offer Any financial interest, gift, or gratuity or other inducement to Retail Spirits, Retail Beer, Retail Wine, Mixed Beverage or Bottle Club licensee. or Caterer.	10 Days	\$1,000	30 Days	\$3,000	Revocation
	(3)	Guarantee financial obligation of licensee. Retail Spirits, Retail Beer, Retail Wine Mixed Beverage, Bottle Club licensee or Caterer.	10 Days	\$1,000	30 Days	\$3,000	Revocation
	(4)	Require Wholesaler, Beer Distributor, Retail Spirits, Retail Beer, Retail Wine, Mixed Beverage licensee or Caterer to reduce purchase any quota as condition of purchase.	10 Days	\$1,000	30 Days	\$3,000	Revocation
	(5)	Sale to Retailer, Mixed Beverage licensee or Caterer on consignment, etc.	10 Days	\$1,000	30 Days	\$3,000	Revocation
	(6) 45:10-3-26	Extend credit to any Retailer, Mixed Beverage or Caterer licensee.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §3-123A(1)	45:30-3-1 45:30-3-6 45:3-5-1 45:30-5-6	Discriminate in price between Wholesalers, Retailers and other licensees for alcoholic beverages bearing same brands, etc.	10 Days Warning	\$1,000 (Note: Changes per §3-123C(1) and (2)).	30 10 Days	\$3,000	Revocation after Opportunity to Cure.

37A §3-123A(2) See also 37A §3-116A and 37A §3-119(2).	45:10-3-24 45:10-3-25	Grant any discount, rebate, free goods, allowance or other inducement.	10 Days Warning	\$1,000	30 10 Days	\$3,000	Revocation
(Note: Changes per §3-123C(1) and (2)).							
37A §3-127D							
Sale of alcoholic beverage to member of bottle club.							
10 Days \$1,000 20 Days \$2,000 30 Days \$3,000 Revocation							
37A §5-133		Refill with any substance a container which contained any alcoholic beverages on which the tax under Section 5-101 has been paid.	30 Days	\$3,000	60 Days	\$6,000	Revocation
37A §6-101A(4)		Import, for personal use, more than one liter on which Oklahoma Excise Tax is delinquent.	Revocation				
(5)		Receive, possess or use any alcoholic bev- erage in violation of Oklahoma ABC Act.	30 Days	\$3,000	60 Days	\$6,000	Revocation
(6)		Transport more than one liter without valid documentation showing name and address of consignor and consignee.	Revocation				
(7)		Transporting an open container, accessible to the driver, while vehicle is in motion.	Revocation				
(8)		Drinking Consume spirits off the licensed premises or be intoxicated in a public place.	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-101(9)		Forcibly resist lawful arrest or interfere with an investigation.	Revocation				

(10)	Manufacture, duplicate or counterfeit Bottle Club membership cards without permission.	Revocation						
(12)	Knowingly possess a fraudulent Bottle Club membership card without permission.	Revocation						
37A §6-102A(1)	45:10-5-1 Receive, possess or sell alcoholic beverage except as authorized by ABC Act and the license or permit held.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
(2)	Employees of any licensee person under twenty-one (21) handling selling spirits or under eighteen (18) handling selling beer and or wine.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
(6)	Serve or sell alcoholic beverages with an expired license.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
37A §6-103A(1) See also 37A §2-138, 37A §6-105(1), and 37A §6-108(1).	Purchase or receive alcoholic beverage other than from a Brewer, Wholesaler, or Beer Distributor, Winery or Small Brewer licensee.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
(3)	Open store or sale by Retail Spirits licensee other than hours and days set forth.	30 Days	\$3,000	60 Days	\$6,000	Revocation or Revocation with criminal conviction		
37A §6-103(5)	Sell alcoholic beverage on credit by a Retail Spirits licensee.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	Revocation
(6)	Offer gift to consumer as inducement for sale by a Retail Sprits licensee.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	Revocation

37A §6-104(1)	Sell or deliver to a Retail Spirits or Wine, or receipt of same by Retail licensee, on Saturday or Sunday.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-104(2)	Sell or deliver to a Retail Spirits or Wine, or receipt of same by Retail licensee, on designated "closed" holidays.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	Revocation
37A §6-105(1) Also see also 37A §2-138, 37A §6-103A(1), and 37A §6-108(1).	Purchase or receive alcoholic beverage from other than Wine & Spirits Wholesaler or Beer Distributor.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
(3)	Unclear labeling of bottle to which might conceal quantity or content of such beverage which is kept for sale by Mixed Beverage licensee.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
37A §6-105(4)	Keep or knowingly permit alcoholic beverages to be kept, brought or consumed which are not allowed to be sold or served on licensed premises.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
(5) See also 37A §6-114.	Allow person under age twenty-one (21) within the designated bar area of a Mixed Beverage Club.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
37A §6-106(1)	Labeling of bottle to conceal quantity or content of such beverage by Bottle Club.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
(2)	Act as agent and purchase alcoholic beverage for Bottle Club member.	10 Days	\$1,000	30 Days	\$3,000	Revocation		
(3)	Use or allow pool system for storage or purchase of alcoholic beverages.	10 Days	\$1,000	30 Days	\$3,000	Revocation		

(5)		Sell any alcoholic beverage.	10 Days	\$1,000	30 Days	\$3,000	Revocation	
(6)		Deliver or furnish out of another members bottle.	5 Days	\$ 500	30 Days	\$3,000	Revocation	
37A §6-106(8)		Issue a membership card to a person under twenty one (21).	30 Days	\$3,000	Revocation			
(9)		Allow person under age of twenty one (21) within the designated bar area of a Bottle Club.	10 Days	\$1,000	20 Days	\$2,000	30 Days \$3,000	Revocation
37A §6-108(1) Also See also 37A §2-138, 37A §6-103A(1), and 37A §6-105(1).		Purchase or receive alcoholic beverage other than from Wine & Spirits Wholesaler, or Beer Distributor, Winery or Small Brewer.	30 Days	\$3,000	60 Days	\$6,000	Revocation	
37A §6-109(4)	45:10-3-10	Permit any illegal gambling, violation of drug laws, or prostitution, etc or other criminal conduct.	30 Days	\$3,000	60 Days	\$6,000	Revocation	
(5)		Refuse or fail to promptly open a door to the licensed premises upon request of ABLE employee agent, inspector or any other peace officer.	15 Days	\$1,500	30 Days	\$3,000	Revocation	
(7)		Destroy, damage, alter, remove, conceal or refuse to surrender potential evidence.	15 Days	\$1,500	30 Days	\$3,000	Revocation	
37A §6-110(1)		Permit performance of sexual acts or simulated sexual acts.	30 Days	\$3,000	60 Days	\$6,000	Revocation	

(2)		Permit Fondling of specified body parts. of the human anatomy.	310 Days \$3001,000	620 Days \$6002,000	Revocation
(3)		Permit Exposing figure of to view specified body parts portions of the human anatomy.	310 Days \$3001,000	620 Days \$6002,000	Revocation
(4)		Permit Using use of artificial devices or inanimate objects to depict prohibited sexual acts, including films, pictures or visual reproductions of prohibited acts.	310 Days \$3001,000	620 Days \$6002,000	Revocation
37A §6-114 See also 37A §6-105(5).		Allow persons under age twenty-one (21) in bar area which has, as its main purpose, the sale of alcoholic beverages.	10 Days \$1,000	20 Days \$2,000	30 Days \$3,000 Revocation
37A §6-1317	45:30-5-16	Acting without a license for which one is required under the Oklahoma ABC Act.	30 Days \$2,500 3,000	30 Days \$2,500 3,000	Revocation (Maximum, per statute.) (No license to revoke.)
3A §406	45:50-11-2	Conviction of Charity Games Distributor or Manufacturer licensee, partner, officer or stockholder for felony, or licensee not a U.S. citizen.			Revocation
3A §407	45:50-11-2	Violation of tax laws, failure to pay fine, or violation of Charity Games Act, Rules, etc., by Charity Games licensee.			Revocation
3A §426	45:50-11-2	Violation of Charity Games contraband prohibitions.			Revocation

45:10-3-15	Unauthorized replacement of wine or spirit. (Moved here for proper order of references to Rules alone.)	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
45:20-5-1(c)	Failure to have signs prohibiting persons under age twenty-one (21) from entry into Mixed Beverage Club.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
45:20-9-2	Delivery of beer to other than retail location.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
45:20-7-1	Failure to have signs prohibiting persons under age twenty-one (21) from entry into Bottle Club.	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
45:20-11-2	Delivery of wine to other than retail location.	30 Days	\$3,000	60 Days	\$6,000	Revocation		
45:30-5-26	Unauthorized replacement of beer product (Rule does not exist.)	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
45:10-3-15	Unauthorized replacement of wine or spirit (Moved above for proper order.)	10 Days	\$1,000	20 Days	\$2,000	30 Days	\$3,000	Revocation
45:50-11-2	Violation of any provision of the Charity Games Act for which mandatory revocation is not required.	30 Days	\$3,000	60 Days	\$6,000	90 Days	\$9,000	Revocation

MINOR VIOLATIONS PENALTY SCHEDULE B

STATUTE	OAC 45:	VIOLATIONS	SUCCESSIVE VIOLATIONS								
			1st Suspension	Fine	2nd Suspension	Fine	3rd Suspension	Fine	4th Suspension	Fine	
37A §2-102-132 <i>See also</i> 37A §6-117.	45:10-3-7	Employ an unlicensed person to work on licensed premises in the sale or distribution of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37A §2-133		Licensee responsible for act or omission of servant, agent, employee or representative.	Match suspension or fine for underlying (minor or major) violation.								
37A §2-142H		Failure to timely renew license.	None	\$5/Day	None	\$10/Day	None	\$25/Day	None	\$50/Day	
37A § 5 -143C		Failure to notify Commission of change in corporate officers, directors or principal managers of premises or failure to pay required fee for change. <i>(Since this is §2-143, not §5-143, it was moved here for proper order.)</i>	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37A §2-143D		Failure to notify Commission of acquisition of 15% or more corporate stock within 30 days of acquisition.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37A §2-148A(1)		General Civil Penalty Provision Violate any rule adopted by the Commission.	3 Days	\$ 150	6 Days	\$ 300	10 Days	\$ 500	10 Days + \$ 500	<i>(Note: §2-148A(1) is no longer in the statutes.)</i>	
37A §2-148A(65)		Misrepresented to a customer or the public any alcoholic beverage sold by the licensee.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500		
37A §2-148B(1)		Mixed Beverage or Caterer licensee h has acted as an Agent of a Manufacturer, or Brewer or Wholesaler of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days or Revocation		

(5)		Mixed Beverage or Caterer licensee has violated any of the provisions of the ABC Act for which mandatory revocation or suspension is not required.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
See also 37A §6-102A(1).	45:10-5-1	Any licensee has violated any provision of the ABC Act or any rule for which no specific suspension period or fine is provided. (Note: §2-148B(5), directly above, addresses this issue for specific licensees. The suspension periods and penalties here apply to all licensees.)	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
37A §2-154		Failure to display licenses in conspicuous place.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000
37A §2-156C	45:20-3-8 45:20-3-13	Retail sale or delivery off licensed premises; Take alcoholic beverages through passageway violation; for sale elsewhere permit use of licensed premises by other persons for business purposes.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500
37A §3-106EC(1)	45:25-5-2 (Revoked)	Delivery of more than 6-six 9-liter cases per year to an individual.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	30 Days + \$3,000
(3)	45:25-5-3 (Revoked)	Failure to have proper labeling on wine shipments.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$3,000	30 Days + \$3,000
(65)	45:25-5-4 (Revoked)	Failure to submit annual -quarterly Direct Shipper's report.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	30 Days + \$3,000
37A §3-118 See also 37A §6-102A(4)(b).		Retail sale for less than 6% markup.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500

(This is an important addition to the penalty schedule, providing an administrative penalty in addition to the criminal penalty in §6-102.)

37A §3-125A See also 37A §6-103A(3).	45:20-5-10	Sale or consumption of alcoholic beverages other than hours set forth. on the premises of a Mixed Beverage Club between 2:00 a.m. and 10:00 a.m. (Note: Statute was expanded to cover other licensees and sets time of 8:00 a.m., not 10:00)	5 Days \$ 250	10 Days \$ 500	15 Days + \$ 750	20 Days + \$1,000
37A §3-126	45:20-7-6	Dispense or consume alcoholic beverages on premises of Bottle Club between 2:00 a.m. and 10:00 a.m.	5 Days \$ 250	10 Days \$ 500	15 Days \$ 750	20 Days + \$ 750
37A §3-127		Serve to person, other than member, from member's individually owned bottle in Bottle Club.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-120 See also 37A §5-126.	45:20-3-7 45:20-5-9	Failure to keeping of books and records, including invoices, available for inspection for 3 years. by licensees.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-126 See also 37A §5-120.	45:20-3-7 45:20-5-9	Failure to keep records for 3 years.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §5-137B See also 37A §2-138.	45:20-5-9	Possess alcoholic beverage container on the licensed premises not listed on an invoice from the Wholesaler from whom purchased.	5 Days \$ 250	10 Days \$ 500	15 Days + \$ 750	20 Days + \$1,000
37A §5-143C		Failure to notify Commission of change in corporate officers, directors or principle managers of premises or failure to pay required fee for change.	3 Days \$ 150	10 Days \$ 500	20 Days \$1,000	20 Days + \$1,000

(This is §2-143, not §5-143, so it has been moved above for proper order.)

37A §6-101A(3) See also 37A §6-103A(2).	45:20-3-12	Open a retail container or consume alcoholic beverages on the premises of a Retail Package Store, Grocery Store, Convenience Store or Drug Store.	Letter of Caution	5 Days	\$ 250	10 Days	\$ 500	30 Days + \$1,500		
(11)		Consume or possess alcoholic beverages on the licensed premises of a bottle club unless such person possesses a valid membership card for that club issued by the club.		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-102A(3)		Give any alcoholic beverage as a prize for any type of competition.		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(4)		Inducement to stimulate consumption of alcoholic beverages including:		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		a. Deliver more than two drinks to one person at one time.		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
See also 37A §3-118.		b. Sell or offer discounted drinks for less than 6% below markup cost by Mixed Beverage licensee.		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		c. Sell or offer unlimited drinks during set period of time for a fixed price, except at function not open to public.		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-102A(4)		d. Sell or offer drinks for less than charged general public on any day, except at function not open to public.		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
		e. Increase volume of alcohol in a drink		3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500

without increasing the price regularly charged during the same calendar week.

		f. Promote contests which involve drinking or award drinks as prizes.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(5)		Permit any person to exit licensed premises with an open container of alcoholic beverage.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(7)		Drunk or intoxicated persons allowed to remain on a licensed premise.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
37A §6-103A(2) See also 37A §6-101A(3).	45:20-3-12	Permit any retail container to be opened or consumed on licensed premises.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500
37A §6-103B		Permit any person under twenty-one (21) to enter, remain or loiter about the licensed premises.	3 Days	\$ 150	6 Days	\$ 300	15 Days	+ \$300	30 Days + \$1,500
37A §6-105(2)		Transport alcoholic beverages without a Private Carrier license	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500
37A §6-106(4) (Note: Bottle Club)		Allow any person to enter or remain in a designated bar area without a valid membership card.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
(7) (Note: Bottle Club)		Serve alcoholic beverage to any person without a valid membership card.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500

37A §6-107(2)		Transport alcoholic beverages without a Private Carrier license.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
37A §6-109(1)		Consume or be under the influence of alcoholic beverages while on duty or violate educational tasting requirements.	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
(2)	45:10-3-10	Permit offensive or threatening language or conduct.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 300
(3)		Permit empty or discarded alcoholic beverage containers outside the licensed premises in public view.	Letter of Caution	3 Days \$ 150	6 Days \$ 300	15 Days + \$ 300
(6)		Permit a sealed or unsealed container of alcoholic beverage to be taken from the licensed premises.	10 Days \$ 500	20 Days \$1,000	30 Days \$1,500	30 Days + \$1,500
	45:10-3-10	Permit fire exit to be blocked or locked. (Note: This is new language we hope to add to the Rules this year.)	10 Days \$1,000	20 Days \$2,000	30 Days \$3,000	Revocation
	45:10-3-15	Returning merchandise delivered in error. (Moved here for proper order of references to Rules alone.)	Letter of Caution			
	45:10-3-20	Holding of check prohibited. (Moved here for proper order.)	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500
	45:10-3-21	Post-dated check prohibited. (Moved here for proper order.)	3 Days \$ 150	6 Days \$ 300	15 Days \$ 750	30 Days + \$1,500

45:10-3-30	Unauthorized Transfer of stock of terminated licensee, failure to submit No applications for permission to Director, by seller and purchaser, failure to submit Inventory & Report. (Moved here for proper order. Penalties added to discourage violations (Only a letter of caution before.))	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
45:25-1-4	Failure to provide appropriate winery tasting serving Area at a trade show or festival.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-1-5	Failure by a winery to provide event schedule and diagram.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-1-6	Failure by an Off-Site Event licensee to provide a designated area. (New Rule adopted in 2024.)	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:25-3-4	Failure to maintain records by a Self-Distributing Winery.	5 Days 3 Days	\$ 500 \$ 150	10 Days 6 Days	\$1,000 \$ 300	30 Days 15 Days	\$3,000 \$ 750	30 Days + \$3,000 30 Days + \$1,500 (Matching penalties for violation of next rule (and others) for consistency.)
45:30-3-13	Failure to maintain Records for by Wine and Spirits Wholesaler; requirements for keeping . (Moved here for proper order.)	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500
45:30-5-19	Failure by brewery to provide appropriate tasting serving area at a trade show or festival.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:30-5-20	Failure by a brewer to provide event schedule and a diagram.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:30-5-21	Failure by a brewer to provide a separate premise as required. (Note: 45:30-5-21 does not address this separate area and it is already covered by 45:30-5-19, above.)	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	10 Days + \$1,000

45:35-1-5 (Revoked)	Failure to provide barriers for a special event.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	10 Days + \$1,000
45:35-1-6	Failure by a Special Event licensee to provide a designated bar area; failure to post signs and failure to qualify for a Type II.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-1-7 (Rule does not exist.)	Failure by a Special Event licensee to sell alcohol by the individual drink.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	30 Days \$3,000
45:35-3-6	Failure by a Public Event licensee to provide a designated bar area; failure to post signs and failure to qualify for a Type II.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-5-4 (Revoked)	Failure to provide barriers for a Charitable Alcohol Beverage event.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	10 Days + \$1,000
45:35-5-5 (Revoked)	Failure by a Charitable Alcohol Beverage Event licensee to provide a designated bar area and/or failure to post signs.	5 Days	\$ 500	10 Days	\$1,000	30 Days	\$2,500	30 Days + \$2,500
45:35-5-6 (Revoked)	Failure by a Charitable Alcohol Beverage Event Licensee to provide a diagram.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	10 Days + \$1,000
45:35-9-4	Failure to comply with size of container for a Hotel mini bar.	3 Days	\$ 250	5 Days	\$ 500	10 Days	\$1,000	10 Days + \$1,000
45:10-3-15 (Moved above for proper order.)	Returning merchandise delivered in error.	Letter of Caution						

~~45:10 3 20 Holding of check prohibited. 3 Days \$ 150 6 Days \$ 300 15 Days \$ 750 30 Days + \$1,500
(Moved above for proper order.)~~

~~45:10 3 21 Post-dated check prohibited. 3 Days \$ 150 6 Days \$ 300 15 Days \$ 750 30 Days + \$1,500
(Moved above for proper order.)~~

~~45:10 3 30 Transfer of stock of terminated licensee, Letter of Caution
Applications for Permission to Director,
by seller and purchaser, Inventory & Report.
(Moved above for proper order.)~~

~~45:20 7 2 Improper label. 3 Days \$ 150 6 Days \$ 300 15 Days \$ 750 30 Days + \$1,500
(Revoked Bottle Club Rule.)~~

~~45:30 3 13 Records for Wholesaler; requirements 3 Days \$ 150 6 Days \$ 300 15 Days \$ 750 30 Days + \$1,500
for keeping.
(Moved above for proper order.)~~

45:40-3-65 Failure to display proper signage Signs Letter of Caution 3 Days \$ 150 6 Days \$ 300 15 Days + \$ 750
~~to be used~~ on vehicles owned by Wine and
Spirits Wholesalers.

45:40-7-5 Failure to comply with requirements for 3 Days \$ 150 3 Days \$ 300 15 Days \$ 750 30 Days + \$1,500
Alteration of licensed premises.
