

**Member Information**

**Member Name:** \_\_\_\_\_ **Date of Birth:** \_\_\_\_\_ **Member ID#:** \_\_\_\_\_  
**Gender:** \_\_\_\_\_ **Height:** \_\_\_\_\_ **Weight:** \_\_\_\_\_ **Allergies:** \_\_\_\_\_

**Drug Information**

**Medication Name:** \_\_\_\_\_ **NDC or HCPCS Code:** \_\_\_\_\_  
**Strength:** \_\_\_\_\_ **Regimen:** \_\_\_\_\_ **Route of Administration:** \_\_\_\_\_  
**Fill Date:** \_\_\_\_\_ **Fill Quantity:** \_\_\_\_\_ **Day Supply:** \_\_\_\_\_ **Refills:** \_\_\_\_\_  
**Administration Location (e.g., home, prescriber's office):** \_\_\_\_\_  
**Indication for Drug for Member (i.e. diagnosis intended to treat):** \_\_\_\_\_  
**ICD-10:** \_\_\_\_\_

**Billing Provider Information**

Physician billing (HCPCS code: \_\_\_\_\_)  Pharmacy billing (NDC: \_\_\_\_\_)  
**Provider NPI:** \_\_\_\_\_ **Provider Name:** \_\_\_\_\_  
**Provider Phone:** \_\_\_\_\_ **Provider Fax:** \_\_\_\_\_

**Prescriber Information**

**Prescriber NPI:** \_\_\_\_\_ **Prescriber Name:** \_\_\_\_\_  
**Prescriber Phone:** \_\_\_\_\_ **Prescriber Fax:** \_\_\_\_\_ **Specialty:** \_\_\_\_\_

**Rationale for Exception Request**

Compliance with the prior authorization process is a condition for payment by SoonerCare. Step therapy exception requests do not negate clinical prior authorization criteria requirements. All information must be provided and SoonerCare may verify through further requested documentation. The member's drug history will be reviewed prior to approval. If the member received medications other than through SoonerCare, please submit pharmacy records along with the prior authorization form.

**Type of Request:**

- New Therapy  Renewal  
If renewal: How did the member receive the medication?  
 Paid Under Insurance (Name: \_\_\_\_\_ Prior Authorization #: \_\_\_\_\_)  
 Other (Please explain: \_\_\_\_\_)

**Please indicate the rationale for step therapy exception in accordance with Oklahoma Statute Section 7310 of Title 63:**

- Required drug trial(s) are contraindicated. Documentation from the package insert regarding contraindication must be submitted. Specify details in following boxes (e.g., disease state, organ dysfunction, concurrent therapy, allergy):

<p><b>Diagnoses for Contraindication (include dates):</b></p>
<p><b>Concurrent Therapies (medication, dose, start date, end date, duration):</b></p>
<p><b>Allergies (specify nature of allergy and date):</b></p>

PLEASE PROVIDE THE INFORMATION REQUESTED AND RETURN TO:

University of Oklahoma College of Pharmacy  
Pharmacy Management Consultants  
Product Based Prior Authorization Unit  
Fax: 405-271-4147  
Phone: 1-800-522-0114 Option 4

**CONFIDENTIALITY NOTICE**

*This document, including any attachments, contains information which is confidential or privileged. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this information is prohibited. If you have received this document in error, please notify the sender immediately by telephone to arrange for the return of the transmitted documents or to verify their destruction.*

**State of Oklahoma  
Oklahoma Health Care Authority  
Step Therapy Exception Request Form**

**Member Name:** \_\_\_\_\_ **Date of Birth:** \_\_\_\_\_ **Member ID#:** \_\_\_\_\_

**Rationale for Exception Request Continued**

Compliance with the prior authorization process is a condition for payment by SoonerCare. Step therapy exception requests do not negate clinical prior authorization criteria requirements. All information must be provided and SoonerCare may verify through further requested documentation. The member's drug history will be reviewed prior to approval. If the member received medications other than through SoonerCare, please submit pharmacy records along with the prior authorization form. **Please indicate the rationale for step therapy exception in accordance with Oklahoma Statute Section 7310 of Title 63:**

- Required drug trial(s) are likely to cause an adverse event. Documentation of FDA MedWatch form and documentation of adverse drug reaction(s) must be submitted. Specify details in following boxes [e.g., history of adverse events associated with required drug trial(s), clinical condition that makes required drug trial(s) inappropriate]:

**History of adverse event associated with required drug trial(s) (medication, dose, start date, end date, duration, nature of adverse event):**

**Clinical condition that makes required drug trial(s) inappropriate (condition, dates):**

- Required drug trial(s) are expected to be ineffective. If yes, specify details in following boxes.

**Previous trial was ineffective. Medication dates, duration, doses, and response/reason for failure must be listed:**

**Other (detailed clinical information must be provided):**

- Member has tried required drug trial(s) through other health insurance. If yes, specify details in following box:

**Medication dates, duration, doses, and response/reason for failure must be listed:**

- Required drug trial(s) are not in the best interest of the member based on medical necessity. If yes, specific details regarding why selected medication is superior to required drug trial(s) must be provided in following box:

**Specific details regarding why selected medication is superior to required drug trial(s) must be provided:**

- Member is stable on requested medication. If yes, specify details in following box:

**Medication dates, duration, doses and most recent fill date/day supply, and method via which the medication was obtained (e.g., other insurance) must be listed:**

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The above format is to assist the physician to provide medical documentation that SoonerCare needs to review this request.

**Prescriber Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

*By signature, the physician confirms the criteria information above is accurate and verifiable in patient records. Specific information/documentation will be requested if necessary. Failure to complete this form in full will result in processing delays and shall not be considered.*

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